

DEPARTMENT OF PUBLIC HEALTH

[HTTP://WWW.STATE.CT.US/DPH/](http://www.state.ct.us/dph/)

AGENCY DESCRIPTION:

The Department of Public Health (DPH) is responsible for: Protecting the health and safety of the people of Connecticut; Actively working to prevent disease and promote wellness through education and programs such as prenatal care, immunizations, AIDS awareness, and nutrition supplements; Monitoring infectious diseases, environmental and occupational health hazards; Regulating health care providers

such as health facilities, health professionals, and emergency medical services; Providing testing and monitoring support through the state laboratory and Collecting and analyzing health data to help plan policy for the future. DPH is also the repository for all birth, marriage, and death certificates

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RECOMMENDED SIGNIFICANT CHANGES

<i>Reductions to Current Services</i>	2001-2002	2002-2003	
• Reduce Agency Personal Services	-329,398	-536,969	
• Transfer Equipment to CEPF	-1,228,041	-965,546	
• Reduce Inflation and Other Miscellaneous Reductions	-1,956,916	-3,803,920	
• Continue FY 2001 Allotment Recision	-334,040	-334,040	
• Eliminate Rate Setting Function in Emergency Medical Services	-51,150	-51,150	
• Eliminate Nail Technician Licensure Program	-171,000	-185,502	
• Transfer Funding for Teen Pregnancy Prevention to Department of Social Services to Consolidate Funding	-53,216	-54,493	
• Eliminate Office of Multicultural Health	-180,000	-180,000	
• Reduce Grants to Community Health Centers to Reflect Federally Mandated Reimbursement from the Department of Social Services	-1,307,061	-1,307,061	
• Reduce Per Capita Subsidies for Health Departments and Districts	-327,000	-327,000	
<i>New or Expanded Services</i>	2001-2002	2002-2003	2003-2004
• Provide \$500,000 each year to Expand the "Easy Breathing" Asthma Initiative to Other Cities from the Tobacco Health and Education Fund			
• Provide \$500,000 each year to Expand School Based Dental Clinics and Community Oral Health Initiatives from the Tobacco Health and Education Fund			

AGENCY PROGRAMS:

<i>Personnel Summary</i>	As of 06/30/2000		2000-2001	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
<i>Permanent Fulltime Positions</i>	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	457	88	5	550	550	544	550	544
Federal Contributions	268	56	14	338	337	338	337	337
Private Contributions	16	2	0	18	18	18	18	18
			1999-2000	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
<i>Other Positions Equated to Full Time</i>			Actual	Estimated	Requested	Recommended	Requested	Recommended
General Fund			7	7	6	6	6	6
Federal Contributions			4	4	4	4	4	4
Private Contributions			1	1	1	1	1	1
<i>Agency Programs by Total Funds (Net of Reimbursements)</i>	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
	Actual	Estimated	Requested	Services	Requested	Requested	Services	Recommended
Community Health	102,846,550	109,742,340	112,923,436	112,924,246	109,401,660	115,694,367	115,709,977	110,530,236
Regulatory Services	21,970,426	22,888,717	25,579,266	25,690,183	25,359,373	26,435,542	26,591,749	26,294,580
Policy, Planning and Evaluation	4,178,349	4,932,391	5,681,422	5,678,629	5,508,756	5,580,346	5,579,521	5,412,905
Laboratory Services	8,164,365	7,910,872	8,628,784	8,448,908	7,935,302	8,784,849	8,630,772	8,168,416
Management, Admin & Special Services	14,569,832	16,237,938	17,161,014	16,693,844	15,573,801	17,754,803	17,073,724	15,887,021
TOTAL Agency Programs - All Funds Gross	151,729,522	161,712,258	169,973,922	169,435,810	163,778,892	174,249,907	173,585,743	166,293,158
Less Turnover	0	0	-500,000	-1,207,812	-1,207,812	-500,000	-1,268,029	-1,268,029
Less Personal Services Reduction	0	0	0	0	-280,904	0	0	-453,096
TOTAL Agency Programs - All Funds Net	151,729,522	161,712,258	169,473,922	168,227,998	162,290,176	173,749,907	172,317,714	164,572,033
<i>Summary of Funding</i>								
General Fund Net	72,055,519	74,965,475	83,030,720	81,784,796	75,846,974	86,516,750	85,084,557	77,338,876
Bond Funds	1,018,528	1,210,000	0	0	0	0	0	0
Federal Contributions	77,016,504	84,021,239	85,158,704	85,158,704	85,158,704	85,939,442	85,939,442	85,939,442
Private Contributions	1,638,971	1,515,544	1,284,498	1,284,498	1,284,498	1,293,715	1,293,715	1,293,715
TOTAL Agency Programs - All Funds Net	151,729,522	161,712,258	169,473,922	168,227,998	162,290,176	173,749,907	172,317,714	164,572,033

PROGRAM: COMMUNITY HEALTH

Statutory References:

C.G.S. Sections 8-219e, 8-266-270a, 10a-132b-d, 10-204a, 10-206, 10-206b, 16-261a, 17b-185, 17b-808, 19a-2, 19a-5, 19a-7, 19a-7d, 19a-7h, 19a-25, 19a-36 19a-48-50, 19a-53-56, 19a-59b-c, 19a-61, 19a-74, 19a-110, 19a-110a, 19a-111 a-b, 19a-112a, 19a-116, 19a-121, 19a-215, 19a-250-269, 19a-329-331, 19a-490(a), 20-474, 20-475-482, 31-40a, 31-400, 45a-691, 47a-4a, 47a-7, 47a-11, 47a-50-55, and 52-146k and PA 99-2

Statement of Need and Program Objectives:

To promote health and prevent disease by lowering the financial barriers to care and improving access to primary and preventive health care services.

To reduce preventable infectious diseases, chronic diseases and environmental health hazards through surveillance, immunizations, and education, prevention, and health treatment.

Program Description:

The bureau's prevention/education programs have as their primary objective the development and maintenance of a healthy individual. These efforts begin with encouraging proper prenatal care; continue with prescribed immunizations, recommended diet and exercise; and proceed through life with educating individuals to make choices that will enhance health and promote wellness.

Prevention efforts are also aimed at reducing death and illness by: monitoring trends and risk factors for preventable diseases, limiting the spread of infectious diseases transmitted by contaminated food and water, reducing accidents and injuries, and assessing the health effects of toxic substances in the environment and the workplace and protecting the public from exposure to such toxins.

The bureau is comprised of the following divisions:

The Family Health Division encompasses Children with Special Health Care Needs, Genetics, Adolescent Pregnancy Prevention/Young Parents, Maternal Mortality, SIDS, School and Adolescent Health, Community and Primary Health, Rape Crisis Prevention, and Oral Health Programs.

The Infectious Disease Division encompasses HIV/AIDS surveillance and perinatal epidemiology, epidemiology, immunizations, sexually transmitted disease, tuberculosis, and emerging infections programs.

The Health Education and Intervention Division encompasses cardiovascular disease prevention, breast and cervical cancer screening, nutrition, tobacco prevention and control, injury prevention and WIC programs.

The AIDS Prevention and Intervention Program Division encompasses programs to prevent HIV infection and to provide health care and social services for people already infected.

The Program Support and Contracts Management Division encompasses contracts development and management, the Behavioral Risk Factor Surveillance Survey, the Fetal Infant Mortality Review Program, Tuberculosis Billing and Coordination of the Maternal and Child Health and Preventive Health and Health Services Block Grants.

The Environmental Epidemiology and Occupational Health Division encompasses toxic hazards, asthma and birth defect surveillance, adult and childhood lead poisoning prevention, and occupational health programs.

Program Measure

	1999-2000	2000-2001	2001-2002	2002-2003
Newborns born to HIV infected women/Percent of these newborns HIV infected (%)	<u>Actual</u> 80/5%	<u>Estimated</u> 80/5%	<u>Projected</u> 80/2%	<u>Projected</u> 80/2%
New TB cases identified/Percent of cases completing treatment (%)	115/95%	109/95%	102/95%	96/95%

Personnel Summary

Permanent Fulltime Positions	As of 06/30/2000		2000-2001	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	58	7	0	65	65	65	65	65
Federal Contributions	128	24	1	153	152	153	152	152
Private Contributions	2	0	0	2	2	2	2	2

Other Positions Equated to Full Time

	1999-2000	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Federal Contributions	4	4	4	4	4	4
Private Contributions	1	1	1	1	1	1

Financial Summary

(Net of Reimbursements)	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	3,696,358	4,083,070	4,146,523	4,147,970	4,147,970	4,337,643	4,334,653	4,334,653
Other Expenses	1,596,948	1,727,773	1,776,320	1,785,038	1,702,376	1,831,147	1,847,194	1,710,829

Capital Outlay

Equipment	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
	0	0	35,000	25,067	0	0	0	0

Other Current Expenses

	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
Young Parents Program	204,937	199,381	220,478	220,478	198,912	230,841	230,840	198,912
Pregnancy Healthline	114,342	111,129	122,810	119,311	110,798	128,582	122,174	110,798
Needle and Syringe Exchange Program	425,782	400,050	443,366	443,366	399,998	464,204	464,204	399,998
Comm Svs Support for AIDS Victims	211,367	215,594	227,021	227,020	215,594	237,690	237,690	215,594
Teen Pregnancy Prevention Campaign	50,000	52,020	53,216	53,216	0	54,494	54,493	0
Children's Health Initiatives	1,432,313	1,618,959	1,794,269	1,794,269	1,618,761	1,878,600	1,878,600	1,618,761
Tobacco Education	177,358	200,000	200,000	204,600	200,000	200,000	209,510	200,000
CT Immunization Registry	220,807	220,807	225,886	225,886	220,807	231,307	231,307	220,807
Newborn Hearing Screening	75,287	0	0	0	0	0	0	0
Childhood Lead Poisoning	257,815	265,242	279,300	279,300	265,242	292,427	292,427	265,242
AIDS Services	3,496,366	4,041,591	4,256,317	4,255,795	4,041,591	4,456,363	4,455,817	4,041,591
Liability Coverage f/Retired Physician	4,500	4,682	4,930	4,930	4,235	5,162	5,162	4,235
Breast & Cervical Cancer Detection	1,866,037	1,932,221	2,034,629	2,034,629	1,932,221	2,130,257	2,130,257	1,932,221
Services for Children Affected by AIDS	264,207	286,110	301,274	301,274	286,110	315,434	315,434	286,110

Children w/Special Hlth Care Needs	714,000	728,280	766,879	766,879	728,280	802,922	802,922	728,280
<i>Pmts to Other Than Local Governments</i>								
Community Health Services	7,325,839	7,532,868	8,133,233	8,133,233	6,225,433	8,515,495	8,515,495	6,225,433
Rape Crisis	453,002	462,062	486,551	486,551	462,062	509,419	509,419	462,062
X-Ray Screening and Tuberculosis Care	729,336	621,527	654,468	654,468	621,527	685,228	685,228	621,527
Genetic Diseases Programs	659,154	704,722	742,072	742,072	704,722	776,950	776,949	704,722
Loan Repayment Program	155,456	186,500	198,974	198,974	194,500	203,749	203,749	194,500
Immunization Services	7,024,846	7,126,548	7,504,255	7,504,255	7,126,548	7,856,955	7,856,955	7,126,548
<i>Pmts to Local Governments</i>								
Venereal Disease Control	225,127	231,255	243,512	243,512	231,255	254,957	254,957	231,255
School Based Health Clinics	5,259,179	5,638,399	6,147,834	6,147,834	5,838,399	6,436,782	6,436,782	5,838,399
TOTAL-General Fund	36,640,363	38,590,790	40,999,117	40,999,927	37,477,341	42,836,608	42,852,218	37,672,477
<i>Additional Funds Available</i>								
Bond Funds	1,000	0	0	0	0	0	0	0
Private Contributions	723,342	494,967	495,716	495,716	495,716	501,477	501,477	501,477
Federal Contributions								
10557 Special Supplement Food Pgm-WIC	33,874,278	33,073,949	33,768,636	33,768,636	33,768,636	34,513,051	34,513,051	34,513,051
10561 Matching Grants-Food Stamp Pgm	153,495	608,494	608,494	608,494	608,494	608,494	608,494	608,494
14900 Lead Abatement Grant	75,194	103,180	0	0	0	0	0	0
20600 State & Community Highway Safety	58,398	0	0	0	0	0	0	0
84186 Drug Free Schools/Comm-State	26,589	0	0	0	0	0	0	0
93110 Maternal and Child Health	167,784	150,000	150,000	150,000	150,000	150,000	150,000	150,000
93116 Tuberculosis Control Program	686,064	877,051	950,464	950,464	950,464	978,977	978,977	978,977
93118 AIDS Activity	6,706,126	6,670,326	6,709,570	6,709,570	6,709,570	6,750,777	6,750,777	6,750,777
93130 Primary Care Services Planning	182,808	246,209	246,209	246,209	246,209	246,209	246,209	246,209
93161 Toxic Subst & Disease Registry	509,001	569,191	569,191	569,191	569,191	569,191	569,191	569,191
93163 State Loan Repayment Program	146,624	150,000	150,000	150,000	150,000	150,000	150,000	150,000
93197 Childhood Lead Poisoning Prevent	413,014	525,000	525,000	525,000	525,000	525,000	525,000	525,000
93235 Abstinence Education	199,124	330,484	330,484	330,484	330,484	330,484	330,484	330,484
93268 Childhood Immunization Grants	2,481,301	3,643,124	3,728,418	3,728,418	3,728,418	3,816,270	3,816,270	3,816,270
93283 CDC-Investigations & Tech Assist	3,284,808	3,958,550	3,968,125	3,968,125	3,968,125	3,991,303	3,991,303	3,991,303
93917 HIV Care Formula Grants	8,158,316	12,920,500	12,920,500	12,920,500	12,920,500	12,920,500	12,920,500	12,920,500
93919 Breast and Cervical Cancer	1,521,085	1,466,000	1,466,000	1,466,000	1,466,000	1,466,000	1,466,000	1,466,000
93987 Health Programs for Refugees	70,233	81,368	83,809	83,809	83,809	86,323	86,323	86,323
93988 Health Programs for Refugees	251,138	247,346	247,346	247,346	247,346	247,346	247,346	247,346
93991 Preventive Hlth & Hlth Svc Bl Gt	2,810,106	1,718,462	1,689,008	1,689,008	1,689,008	1,689,008	1,689,008	1,689,008
93994 Maternal/Child Hlth Svc Block Gt	3,706,359	3,317,349	3,317,349	3,317,349	3,317,349	3,317,349	3,317,349	3,317,349
TOTAL-All Funds	102,846,550	109,742,340	112,923,436	112,924,246	109,401,660	115,694,367	115,709,977	110,530,236
Community Health								

PROGRAM: REGULATORY SERVICES

Statutory Reference:

C.G.S. Sections 10-212a, 17-585-588, 19-36, 19a-2, 19a-5, 19a-14, 19a-28-31, 19a-77-87a, 19a-93, 19a-94, 19a-176-181, 19a-183, 19a-332, 19a-332a-332d, 19a-333, 19a-420-428, 19a-490-504c, 19a-520-553, 19a-555, 20-341a-341m, 20-358, 20-442, 22a-434a, and 25-32

Statement of Need and Program Objectives:

To ensure the quality of health, environmental and emergency medical services through the development and enforcement of state and federal laws and regulations.

To promote the expansion or development of new services to meet consumer needs.

Program Description:

Regulatory activities within the Department of Public Health are consolidated into the Bureau of Regulatory Services. This provides one focus within the agency for the protection of public health by ensuring competent and capable health care and environmental service providers. Across the bureau, this is generally accomplished through regulating access to the environmental and health care professions and through regulatory oversight of health care and child day care facilities, and environmental services.

The bureau consists of five major program components which have the responsibility for implementing state licensure and federal certification programs.

The Division of Health Systems Regulation licenses, certifies and registers health, environmental and emergency medical personnel. The division receives and processes all licensure and relicensure applications, and develops and administers examinations. The division also investigates complaints and monitors impaired practitioners. Under the program of health facilities licensure, the division regulates health

care and treatment services as well as physical environment and fire safety in a range of health care settings, including hospitals, long term care facilities, outpatient clinics, hemodialysis units, home health and hospice providers, and emergency medical service providers. Direct surveillance of more than 1,800 of these health care providers is accomplished through on-site inspections conducted at least on a biennial basis. As the designated state survey agency, the Division of Health Systems Regulation is under contract with the Department of Health and Human Services and the Connecticut Department of Social Services to administer the federal program of certification for review of 700 health care providers and suppliers. On-site inspections determine compliance with federal requirements and eligibility for Medicare and Medicaid reimbursement.

The Division of Environmental Health enforces provisions of the Safe Drinking Water Act and monitors 4,000 water supplies that serve 3.2 million consumers. Enforcement actions are taken to prevent consumer exposure to bacterial and chemical contaminants. A multi-million dollar federally funded drinking water revolving loan program was instituted in 1998 to assist in public water supply capital improvement projects. The division consults with local health officials, professional engineers, architects, and the Department of Environmental Protection concerning septic system siting, approval of engineered plans for public swimming pool construction, and safe use of public bathing areas, and is responsible for the certification of environmental laboratories. The division ensures compliance with asbestos and radon control strategies, enforces regulations pertaining to asbestos abatement projects in residential, public and commercial buildings, and ensures the proper development and implementation of asbestos management plans in private and public schools. The division oversees lead inspection and abatement activities. In addition,

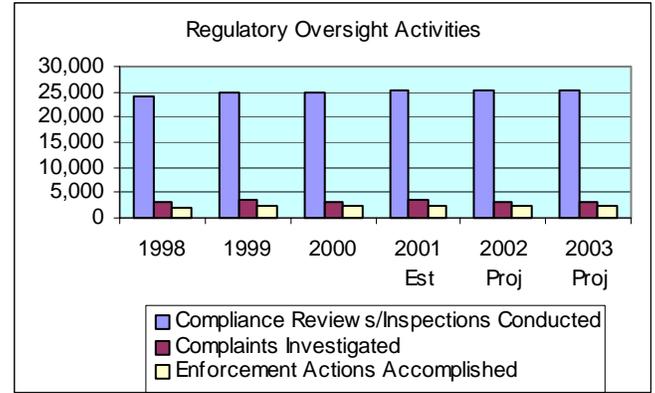
Budget-in-Detail

division staff certify local health officials to inspect food safety hazards in retail food establishments.

The Division of Community Based Regulation regulates and responds to complaints for 1,637 child day care centers and group day care homes and nearly 5,000 family day care homes. The licensure process includes application review; background screening; technical assistance; on-site inspection; and review of building/zoning, local health, and fire safety certificates. The division licenses substance abuse and mental health facilities, youth camps, and residential care homes and certifies Intermediate Care Facilities/Mental Retardation as eligible for Medicaid reimbursement.

The Legal Office provides prosecutorial, regulatory and legislative support to the bureau.

Outcome Measure:



Program Measure

Regulated practitioners: New licenses or certificates issued/
 Total licenses or certificates issued
 Percent of long term care facilities in substantial compliance with State and Federal regulatory requirements
 Percent of population served by community drinking water supply systems meeting drinking water quality standards

	1999-2000 Actual	2000-2001 Estimated	2001-2002 Projected	2002-2003 Projected
Regulated practitioners: New licenses or certificates issued/ Total licenses or certificates issued	13,257 / 187,244	18,250 / 193,120	14,000 / 193,500	14,250 / 193,500
Percent of long term care facilities in substantial compliance with State and Federal regulatory requirements	97%	97.60%	98%	98%
Percent of population served by community drinking water supply systems meeting drinking water quality standards	99.60%	99.60%	99.70%	99.80%

Personnel Summary

Permanent Fulltime Positions

	As of 06/30/2000		2000-2001 Change	2000-2001 Total	2001-2002 Requested	2001-2002 Recommended	2002-2003 Requested	2002-2003 Recommended
General Fund	170	35	3	208	208	205	208	205
Federal Contributions	81	20	9	110	110	110	110	110
Private Contributions	14	2	0	16	16	16	16	16

Other Positions Equated to Full Time

	1999-2000 Actual	2000-2001 Estimated	2001-2002 Requested	2001-2002 Recommended	2002-2003 Requested	2002-2003 Recommended
General Fund	3	3	3	3	3	3

Financial Summary

	1999-2000 Actual	2000-2001 Estimated	2001-2002 Requested	Current Services	2001-2002 Recommended	2002-2003 Requested	Current Services	2002-2003 Recommended
(Net of Reimbursements)								
Personal Services	9,129,985	10,079,380	11,972,492	11,976,671	11,825,671	12,632,337	12,623,629	12,458,127
Other Expenses	1,029,836	792,299	844,287	848,431	803,401	864,558	872,134	801,915
<u>Capital Outlay</u>								
Equipment	12,752	9,400	134,362	96,229	0	3,900	2,746	0
<u>Other Current Expenses</u>								
AIDS Services	420	494	506	520	494	518	544	494
Medicaid Administration	2,884,084	3,321,126	3,680,368	3,821,081	3,812,111	3,853,345	4,011,812	3,993,267
Year 2000 Conversion	37,154	0	0	0	0	0	0	0
Insurance Recovery	1,948	0	0	0	0	0	0	0
<u>Pmts to Other Than Local Governments</u>								
Emergency Medical Services Training	35,700	36,414	37,252	37,252	36,414	38,146	38,146	36,414
Emergency Med Svcs Regional Offices	385,672	373,885	402,433	402,433	373,716	412,091	412,091	373,716
TOTAL-General Fund	13,517,551	14,612,998	17,071,700	17,182,617	16,851,807	17,804,895	17,961,102	17,663,933
<u>Additional Funds Available</u>								
Bond Funds	68,862	0	0	0	0	0	0	0
Private Contributions	774,946	757,670	763,782	763,782	763,782	767,238	767,238	767,238
Federal Contributions								
10559 Summer Food Svc Pgm for Children	22,187	25,988	26,898	26,898	26,898	27,839	27,839	27,839
66007 Air Pollution Control	65,876	90,000	90,000	90,000	90,000	90,000	90,000	90,000
66032 State Indoor Radon Grants	194,190	211,000	220,000	220,000	220,000	230,000	230,000	230,000
66432 Public Water System Supervision	1,481,445	1,245,160	1,215,000	1,215,000	1,215,000	1,215,000	1,215,000	1,215,000
66468 Wastewater Operator Training Grt	1,099,690	1,385,000	1,385,000	1,385,000	1,385,000	1,385,000	1,385,000	1,385,000
66701 Toxic Subst Compliance Monitor	108,461	173,000	173,000	173,000	173,000	173,000	173,000	173,000
66707 TSCA Title IV State Lead Grants	398,248	370,238	370,238	370,238	370,238	370,238	370,238	370,238
93127 Emergency Med Svc for Children	99,709	100,000	100,000	100,000	100,000	100,000	100,000	100,000
93197 Childhood Lead Poisoning Prevent	129,348	67,200	67,200	67,200	67,200	67,200	67,200	67,200
93667 Social Services Block Grant	495,603	687,665	687,665	687,665	687,665	687,665	687,665	687,665
93777 Cert Health Care Providers	2,726,162	2,829,853	3,105,283	3,105,283	3,105,283	3,213,967	3,213,967	3,213,967

93991 Preventive Hlth & Hlth Svc Bl Gt	444,138	32,945	3,500	3,500	3,500	3,500	3,500	3,500
99125 Other Federal Assistance	344,010	300,000	300,000	300,000	300,000	300,000	300,000	300,000
TOTAL-All Funds	21,970,426	22,888,717	25,579,266	25,690,183	25,359,373	26,435,542	26,591,749	26,294,580

Regulatory Services

PROGRAM: OFFICE OF POLICY, PLANNING AND EVALUATION

Statutory Reference:

C.G.S. Sections 19a-2a, 19a-4j, 19a-7, 19a-7a, 19a-25, 19-72 through 19a-74, 19a-150, 19a-493, 7-36 through 7-42, 7-44 through 7-61 through 7-72, 7-74, 7-148, 46b-20 through 46b-30, 46b-32 through 46b-35, 46b-68, P.A. 00-57, and P.A. 00-216, Sec. 12 and 22

Statement of Need and Program Objectives:

Assessment and policy development are core functions of a state public health agency.

To conduct health surveillance, priority setting, and policy analysis to address public health concerns.

To develop an integrated public health data system to support health policy development, state health planning, surveillance, analysis, assurance, and priority setting for the agency.

To develop, implement, and evaluate plans and policies that promote the public's health and address the health needs of the state.

To improve the health of all Connecticut residents by eliminating disparities in disease, disability, and death rates among ethnic, racial, and cultural populations.

Program Description:

The activities of the office are carried out by its four constituent units:

The Policy Development Unit plans, develops, and promotes public health policy. It participates in several key committees focusing on

major healthcare issues, including access, financing, managed care, safety net providers, and quality assurance.

The Planning and Analysis unit provides data and technical assistance to support the agency, other state agencies, government officials, local health directors, other community health providers, and the public. The unit leads state health planning, sets public health priorities, and develops policy recommendations for resource allocation. It is the state contact for the Healthy People initiative and oversees the Healthy Connecticut initiative. Its recent publications include: Multicultural Health The Health Status of Minority Groups in Connecticut; Looking Toward 2000 An Assessment of Health Status and Health Services; the annual Registration Report and Connecticut Population Estimates; and issue briefs.

The Multicultural Health Program coordinates the agency's efforts to reduce disparities and improve health status among cultural, ethnic, and racial groups in Connecticut.

The Health Information Systems Unit oversees two major health databases. The Vital Records Registry receives and registers births, deaths, and fetal deaths statewide. It records marriages, processes adoptions, and, since 1998, processes paternity acknowledgments submitted by hospitals, the Department of Social Services, courts of competent jurisdiction, and individuals. The Connecticut Tumor Registry is the oldest population-based cancer registry in the country. The database comprises incidence, treatment, follow-up, survival, and mortality data for the 600,000 reported cancers diagnosed since 1935.

Program Measure

	1999-2000	2000-2001	2001-2002	2002-2003
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Vital records processed: birth/	43,253/	44,000/	44,000/	44,000/
death/	29,645/	30,000/	30,000/	30,000/
marriage	20,589	21,000	21,000	21,000
Acknowledgements of paternity for children born to unmarried women	10,627	11,000	11,000	12,000

Personnel Summary

	As of 06/30/2000		2000-2001	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Fulltime Positions</i>								
General Fund	36	17	0	53	53	50	53	50
Federal Contributions	28	7	2	37	37	37	37	37
<i>Other Positions Equated to Full Time</i>								
General Fund				1	1	1	1	1

Financial Summary

(Net of Reimbursements)	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	1,933,459	2,133,863	2,924,699	2,925,720	2,775,720	3,083,425	3,081,300	2,931,300
Other Expenses	453,104	228,824	243,839	245,036	237,807	249,691	251,879	237,375

Capital Outlay

	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Equipment	0	0	17,655	12,644	0	3,000	2,112	0
TOTAL-General Fund	2,386,563	2,362,687	3,186,193	3,183,400	3,013,527	3,336,116	3,335,291	3,168,675

Additional Funds Available

	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Bond Funds	20,405	0	0	0	0	0	0	0
Private Contributions	34,126	159,119	0	0	0	0	0	0

Federal Contributions

	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
93283 CDC-Investigations & Tech Assist	74,010	654,000	654,000	654,000	654,000	654,000	654,000	654,000
93393 Cancer Cause & Prevent Research	1,258,188	1,224,236	1,289,892	1,289,892	1,289,892	1,343,842	1,343,842	1,343,842
93991 Preventive Hlth & Hlth Svc Bl Gt	2,333	0	0	0	0	0	0	0
93994 Maternal/Child Hlth Svc Block Gt	137,208	104,517	104,517	104,517	104,517	104,517	104,517	104,517
99125 Other Federal Assistance	265,516	427,832	446,820	446,820	446,820	141,871	141,871	141,871
TOTAL-All Funds	4,178,349	4,932,391	5,681,422	5,678,629	5,508,756	5,580,346	5,579,521	5,412,905

Policy, Planning and Evaluation

PROGRAM: LABORATORY SERVICES

Statutory Reference:

Sections 19a-26, 19a-29, 19a-55, 19a-111a, b; and 25-40

Statement of Need and Program Objectives:

To protect the health and safety of Connecticut residents by providing high quality, timely and cost-effective laboratory services for detection of infectious, chronic, genetic and occupational diseases and environmental threats.

To provide scientific expertise to health and environmental agencies for assessment and program planning.

Program Description:

The Public Health Laboratory supports the needs of all communities in the state by analysis of samples submitted by state agencies, local

health departments, and health care facilities and providers. The laboratory is comprised of the following services:

Biological Science Services provides testing for bacterial, viral, fungal, and parasitic agents of diseases; screens for genetic diseases of newborns; and assists in the surveillance of diseases of public health importance, such as West Nile Virus.

Environmental Chemistry Services evaluates toxic chemicals in housing and schools, in the air, river and lake waters, wastewater, drinking water, fish and shellfish, landfills, industrial waste, hazardous spills, consumer products, and soils. The laboratory also tests samples derived from children and adults for elevated levels of lead in blood.

Program Measure

Quality of laboratory testing: Number of external accreditations earned/Percent correct on proficiency performance

1999-2000	2000-2001	2001-2002	2002-2003
<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
11/98.8%	12/99%	12/99%	13/99.5%

Personnel Summary

	As of 06/30/2000		2000-2001	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	97	15	0	112	112	112	112	112
Federal Contributions	14	1	0	15	15	15	15	15
			1999-2000	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Other Positions Equated to Full Time			1	1	0	0	0	0
General Fund								

Financial Summary

(Net of Reimbursements)	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	4,862,677	5,367,407	5,630,426	5,632,391	5,632,391	5,868,386	5,864,341	5,864,341
Other Expenses	1,574,267	1,190,203	1,268,342	1,274,567	1,236,965	1,298,839	1,310,221	1,234,776
<u>Capital Outlay</u>								
Equipment	0	0	662,656	474,590	0	545,591	384,177	0
<u>Other Current Expenses</u>								
Newborn Hearing Screening	6,714	0	0	0	0	0	0	0
AIDS Services	22,657	26,680	28,094	28,094	26,680	29,414	29,414	26,680
Year 2000 Conversion	544,923	0	0	0	0	0	0	0
TOTAL-General Fund	7,011,238	6,584,290	7,589,518	7,409,642	6,896,036	7,742,230	7,588,153	7,125,797
<u>Additional Funds Available</u>								
Bond Funds	179,983	210,000	0	0	0	0	0	0
Private Contributions	70,212	74,141	0	0	0	0	0	0
Federal Contributions								
66432 Public Water System Supervision	134,889	123,148	135,000	135,000	135,000	135,000	135,000	135,000
93116 Tuberculosis Control Program	108,525	108,525	111,781	111,781	111,781	115,134	115,134	115,134
93118 AIDS Activity	121,206	150,000	150,000	150,000	150,000	150,000	150,000	150,000
93197 Childhood Lead Poisoning Prevent	61,295	63,200	63,200	63,200	63,200	63,200	63,200	63,200
93268 Childhood Immunization Grants	43,729	50,000	50,000	50,000	50,000	50,000	50,000	50,000
93283 CDC-Investigations & Tech Assist	193,852	378,000	378,000	378,000	378,000	378,000	378,000	378,000
93991 Preventive Hlth & Hlth Svc BI Gt	189,580	132,156	113,873	113,873	113,873	113,873	113,873	113,873
93994 Maternal/Child Hlth Svc Block Gt	49,856	37,412	37,412	37,412	37,412	37,412	37,412	37,412
TOTAL-All Funds	8,164,365	7,910,872	8,628,784	8,448,908	7,935,302	8,784,849	8,630,772	8,168,416

Laboratory Services

PROGRAM: MANAGEMENT, ADMINISTRATIVE AND SPECIAL SERVICES

Statutory Reference:

C.G.S. Section 19a-1a, 19a-1d, 19a-2a, 19a-14, 19a-178, 19a-200 et seq., 19a-240 et seq.

Statement of Need and Program Objectives:

To establish program direction and ensure conformance with overall agency policy.

Program Description:

The Office of the Commissioner, Office of Affirmative Action, Bureau of Administrative and Support Services, Office of Health Communications,

and Public Health Hearings Office support the major program areas of the agency.

The Office of the Commissioner establishes program direction and ensures conformance with overall agency policy.

The Office of Affirmative Action develops and implements Equal Opportunity/Affirmative Action programs, and ensures compliance with applicable state and federal mandates.

The Bureau of Administrative and Support Services assures that the agency's financial and administrative activities are carried out in an efficient and accountable manner. The bureau has direct responsibility

for: the Fiscal Office, Contracts Administration/Audit Office, Data Processing Division, Human Resources Office, and Public Health Laboratory.

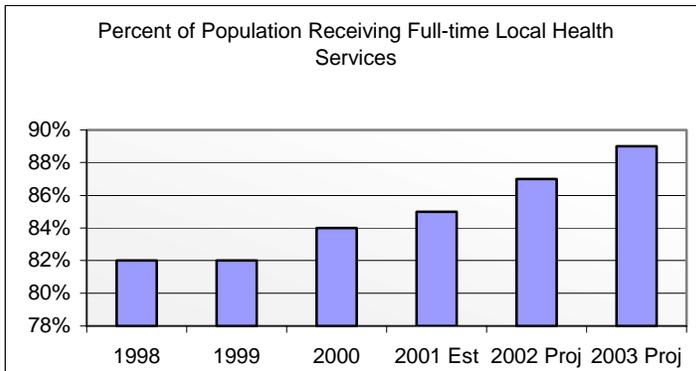
The Office of Health Communications is the agency's primary support area for government/constituency relations, communications, and regulations.

The Public Health Hearing Office provides support for 16 gubernatorially-appointed licensing boards and adjudicates contested case matters for professions and programs for which there is no board.

The Office of Local Health Administration is the agency's liaison with local health departments and directors. Through technical assistance, consultation, program development and monitoring, and per capita grant-in-aid funding, the Office maintains and strengthens Connecticut's local public health infrastructure.

The Office of Emergency Medical Services coordinates, oversees and develops the emergency medical response system in the State of Connecticut. This Office supports the efforts of police, fire and ambulance services in the treatment and transport of sick or injured persons; conducts surveillance and systems monitoring activities; and implements programs for injury prevention, disaster preparedness, and mass casualty planning.

Outcome Measure:



Program Measure

Percent of population receiving full-time local health services

1999-2000	2000-2001	2001-2002	2002-2003
<u>Actual</u> 84%	<u>Estimated</u> 85%	<u>Projected</u> 87%	<u>Projected</u> 89%

Personnel Summary

Permanent Fulltime Positions
General Fund
Federal Contributions

As of 06/30/2000	2000-2001	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003	
<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
96	14	2	112	112	112	112	112
17	4	2	23	23	23	23	23

Other Positions Equated to Full Time
General Fund

1999-2000	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
2	2	2	2	2	2

Financial Summary

(Net of Reimbursements)
Personal Services
Other Expenses

1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
5,410,596	6,043,366	6,588,528	6,590,829	6,542,335	6,967,497	6,962,694	6,878,821
1,743,232	2,305,317	2,456,538	2,468,594	2,344,617	2,515,494	2,537,539	2,340,271

Capital Outlay

Equipment

0	0	866,399	620,511	1,000	820,155	577,511	1,000
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Other Current Expenses

Newborn Hearing Screening
Childhood Lead Poisoning
Breast & Cervical Cancer Detection
Year 2000 Conversion

2,013	0	70,000	70,000	70,000	70,000	70,000	70,000
513	528	556	556	528	582	582	528
18,754	19,489	20,522	20,522	19,489	21,486	21,486	19,489
1,205,523	0	0	0	0	0	0	0

Pmts to Local Governments

Local & District Departments of Health
TOTAL-General Fund

4,119,173	4,446,010	4,681,649	4,446,010	4,119,010	4,901,687	4,446,010	4,119,010
12,499,804	12,814,710	14,684,192	14,217,022	13,096,979	15,296,901	14,615,822	13,429,119

Additional Funds Available

Bond Funds
Private Contributions

748,278	1,000,000	0	0	0	0	0	0
36,345	29,647	25,000	25,000	25,000	25,000	25,000	25,000

Federal Contributions

10557 Special Supplement Food Pgm-WIC
41253 Linked Birth & Infant Death
66468 Wastewater Operator Training Grt
93116 Tuberculosis Control Program
93118 AIDS Activity
93197 Childhood Lead Poisoning Prevent
93268 Childhood Immunization Grants
93283 CDC-Investigations & Tech Assist
93393 Cancer Cause & Prevent Research
93777 Cert Health Care Providers
93919 Breast and Cervical Cancer
93991 Preventive Hlth & Hlth Svc Bl Gt
93994 Maternal/Child Hlth Svc Block Gt
99125 Other Federal Assistance

504,068	515,661	554,475	554,475	554,475	565,564	565,564	565,564
2,483	2,500	2,500	2,500	2,500	2,500	2,500	2,500
37,702	0	0	0	0	0	0	0
68,720	68,720	70,782	70,782	70,782	72,905	72,905	72,905
10,397	15,000	15,000	15,000	15,000	15,000	15,000	15,000
54,444	54,000	54,000	54,000	54,000	54,000	54,000	54,000
64,005	65,925	67,903	67,903	67,903	69,939	69,939	69,939
0	172,000	172,000	172,000	172,000	172,000	172,000	172,000
257,978	255,513	264,061	264,061	264,061	267,257	267,257	267,257
170,420	170,420	170,420	170,420	170,420	170,420	170,420	170,420
14,999	30,000	30,000	30,000	30,000	30,000	30,000	30,000
18,260	965,338	970,737	970,737	970,737	970,737	970,737	970,737
50,773	42,580	42,580	42,580	42,580	42,580	42,580	42,580
31,156	35,924	37,364	37,364	37,364	0	0	0
14,569,832	16,237,938	17,161,014	16,693,844	15,573,801	17,754,803	17,073,724	15,887,021

Management, Admin & Special Services

AGENCY FINANCIAL SUMMARY - GENERAL FUND

<i>Current Expenses by Minor Object</i>	1999-2000 <u>Actual</u>	2000-2001 <u>Estimated</u>	2001-2002 <u>Requested</u>	2001-2002 <u>Recommended</u>	2002-2003 <u>Requested</u>	2002-2003 <u>Recommended</u>
<i>Personal Services</i>						
Permanent Fulltime Positions	24,161,084	26,727,548	30,174,693	29,845,797	31,683,003	31,301,356
Other Positions	245,037	275,263	306,275	290,609	340,435	306,810
Other	490,531	551,025	611,425	607,036	676,400	667,430
Overtime	136,423	153,250	170,275	180,645	189,450	191,646
TOTAL-Personal Services Gross	25,033,075	27,707,086	31,262,668	30,924,087	32,889,288	32,467,242
Less Reimbursements						
Less Turnover	0	0	-500,000	-1,207,812	-500,000	-1,268,029
Less Personal Services Reductions	0	0	0	-280,904	0	-453,096
TOTAL-Personal Services Net	25,033,075	27,707,086	30,762,668	29,435,371	32,389,288	30,746,117
<i>Other Expenses-Contractual Services</i>						
Advertising	18,676	19,550	20,000	19,550	20,480	19,550
Printing And Binding	122,907	158,624	162,272	158,624	166,165	158,624
Dues And Subscriptions	43,862	50,420	51,580	50,420	52,819	50,420
Rents, Storage & Leasing	111,103	124,998	127,874	124,998	130,943	124,998
Telecommunication Services	173,660	159,700	163,374	159,700	167,294	159,700
General Repairs	169,840	154,067	157,611	154,067	161,394	154,067
Motor Vehicle Expenses	197,122	184,148	188,384	184,148	192,906	184,148
Fees For Outside Professional Services	1,563,683	1,098,178	1,324,711	1,228,928	1,368,765	1,228,928
Fees For Non-Professional Services	262,102	166,038	169,857	166,038	173,933	166,038
DP Services, Rentals and Maintenance	525,476	609,675	623,699	609,675	638,668	609,675
Postage	355,993	387,030	395,932	387,030	405,434	387,030
Travel	42,005	42,226	43,198	42,226	44,235	42,226
Other Contractual Services	164,890	334,601	342,300	334,601	350,517	334,601
<i>Other Expenses-Commodities</i>						
Agricultural, Horticultural, And Dairy	1,062	1,000	1,024	1,000	1,048	1,000
Books	48,682	65,115	66,613	65,115	68,211	65,115
Maintenance and Motor Vehicle Supplies	36,852	41,368	42,320	41,368	43,336	41,368
Medical Supplies	1,363,961	1,078,432	1,103,236	1,078,432	1,129,713	1,078,432
Office Supplies	269,216	250,255	256,012	200,255	262,155	200,255
Miscellaneous Commodities	29,410	393,360	402,409	393,360	412,067	393,360
<i>Other Expenses-Sundry</i>						
Sundry - Other Items	896,829	925,631	946,920	925,631	969,646	925,631
TOTAL-Other Expenses Gross	6,397,387	6,244,416	6,589,326	6,325,166	6,759,729	6,325,166
Less Reimbursements						
TOTAL-Other Expenses Net	6,397,387	6,244,416	6,589,326	6,325,166	6,759,729	6,325,166
<i>Other Current Expenses</i>						
Young Parents Program	204,937	199,381	220,478	198,912	230,841	198,912
Pregnancy Healthline	114,342	111,129	122,810	110,798	128,582	110,798
Needle and Syringe Exchange Program	425,782	400,050	443,366	399,998	464,204	399,998
Comm Svcs Support for AIDS Victims	211,367	215,594	227,021	215,594	237,690	215,594
Teen Pregnancy Prevention Campaign	50,000	52,020	53,216	0	54,494	0
Children's Health Initiatives	1,432,313	1,618,959	1,794,269	1,618,761	1,878,600	1,618,761
Tobacco Education	177,358	200,000	200,000	200,000	200,000	200,000
CT Immunization Registry	220,807	220,807	225,886	220,807	231,307	220,807
Newborn Hearing Screening	84,014	0	70,000	70,000	70,000	70,000
Childhood Lead Poisoning	258,328	265,770	279,856	265,770	293,009	265,770
AIDS Services	3,519,443	4,068,765	4,284,917	4,068,765	4,486,295	4,068,765
Liability Coverage f/Retired Physician	4,500	4,682	4,930	4,235	5,162	4,235
Breast & Cervical Cancer Detection	1,884,791	1,951,710	2,055,151	1,951,710	2,151,743	1,951,710
Services for Children Affected by AIDS	264,207	286,110	301,274	286,110	315,434	286,110
Children w/Special Hlth Care Needs	714,000	728,280	766,879	728,280	802,922	728,280
Medicaid Administration	2,884,084	3,321,126	3,680,368	3,812,111	3,853,345	3,993,267
Year 2000 Conversion	1,787,600	0	0	0	0	0
Insurance Recovery	1,948	0	0	0	0	0
TOTAL-Other Current Expenses	14,239,821	13,644,383	14,730,421	14,151,851	15,403,628	14,333,007
<i>Pmts to Other Than Local Govts</i>						
Community Health Services	7,325,839	7,532,868	8,133,233	6,225,433	8,515,495	6,225,433
Emergency Medical Services Training	35,700	36,414	37,252	36,414	38,146	36,414
Emergency Med Svcs Regional Offices	385,672	373,885	402,433	373,716	412,091	373,716
Rape Crisis	453,002	462,062	486,551	462,062	509,419	462,062
X-Ray Screening and Tuberculosis Care	729,336	621,527	654,468	621,527	685,228	621,527
Genetic Diseases Programs	659,154	704,722	742,072	704,722	776,950	704,722
Loan Repayment Program	155,456	186,500	198,974	194,500	203,749	194,500
Immunization Services	7,024,846	7,126,548	7,504,255	7,126,548	7,856,955	7,126,548
TOTAL-Pmts to Other Than Local Govts	16,769,005	17,044,526	18,159,238	15,744,922	18,998,033	15,744,922
<i>Pmts to Local Governments</i>						

Budget-in-Detail

Local & District Departments of Health	4,119,173	4,446,010	4,681,649	4,119,010	4,901,687	4,119,010
Venereal Disease Control	225,127	231,255	243,512	231,255	254,957	231,255
School Based Health Clinics	5,259,179	5,638,399	6,147,834	5,838,399	6,436,782	5,838,399
TOTAL-Pmts to Local Governments	9,603,479	10,315,664	11,072,995	10,188,664	11,593,426	10,188,664

Character & Major Object Summary

	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	25,033,075	27,707,086	30,762,668	30,065,769	29,435,371	32,389,288	31,598,588	30,746,117
Other Expenses Net	6,397,387	6,244,416	6,589,326	6,621,666	6,325,166	6,759,729	6,818,967	6,325,166
Capital Outlay	12,752	9,400	1,716,072	1,229,041	1,000	1,372,646	966,546	1,000
Other Current Expenses	14,239,821	13,644,383	14,730,421	14,871,726	14,151,851	15,403,628	15,564,675	14,333,007
Pmts to Other Than Local Governments	16,769,005	17,044,526	18,159,238	18,159,238	15,744,922	18,998,033	18,998,032	15,744,922
Pmts to Local Governments	9,603,479	10,315,664	11,072,995	10,837,356	10,188,664	11,593,426	11,137,749	10,188,664
TOTAL-General Fund Net	72,055,519	74,965,475	83,030,720	81,784,796	75,846,974	86,516,750	85,084,557	77,338,876
<u>Additional Funds Available</u>								
Bond Funds	1,018,528	1,210,000	0	0	0	0	0	0
Federal Contributions	77,016,504	84,021,239	85,158,704	85,158,704	85,158,704	85,939,442	85,939,442	85,939,442
Private Contributions	1,638,971	1,515,544	1,284,498	1,284,498	1,284,498	1,293,715	1,293,715	1,293,715
TOTAL-All Funds Net	151,729,522	161,712,258	169,473,922	168,227,998	162,290,176	173,749,907	172,317,714	164,572,033

OFFICE OF HEALTH CARE ACCESS

AGENCY DESCRIPTION:

The mission of the Office of Health Care Access (OHCA) is to ensure that the citizens of Connecticut have access to a quality health care delivery system.

The agency fulfills its mission by advising policy makers of health care issues; informing the public and the industry of statewide and national

trends; and designing and directing health care system development. The Office of Health Care Access consists of two major programs: Administration and Operations.

AGENCY PROGRAM INDEX

Administration and Communications

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Operations

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RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Reduce Agency Personal Services
- Transfer Equipment to CEPF
- Reduce Inflation and Other Miscellaneous Reductions

	<u>2001-2002</u>	<u>2002-2003</u>
• Reduce Agency Personal Services	-38,425	-67,509
• Transfer Equipment to CEPF	-48,000	-48,000
• Reduce Inflation and Other Miscellaneous Reductions	-27,616	-38,942

Appropriations From FY 2001 Surplus

- Provide \$2.0 Million for Distressed Hospital Grant Program, Outpatient Data Collection, and Other Related Efforts

Funds will be utilized to continue the Hospital Grant program which permits hospitals to evaluate alternative service models.

Funds will also allow the agency to collect and analyze data to evaluate access and hospital performance in both the inpatient and outpatient settings.

AGENCY PROGRAMS:

Personnel Summary

	As of 06/30/2000		2000-2001	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Fulltime Positions								
General Fund	28	8	0	36	36	36	36	36
Private Contributions	1	1	0	2	0	0	0	0

Agency Programs by Total Funds (Net of Reimbursements)

	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Administration and Communications	1,847,664	1,352,967	1,588,125	1,543,458	1,499,490	1,221,437	1,194,446	1,083,565
Operations	1,317,428	1,613,328	2,065,862	2,049,529	2,004,072	2,179,162	2,165,153	2,161,455
TOTAL Agency Programs - All Funds Gross	3,165,092	2,966,295	3,653,987	3,592,987	3,503,562	3,400,599	3,359,599	3,245,020
Less Turnover	0	0	-50,000	-50,000	-50,000	-50,000	-50,000	-50,000
Less Personal Services Reduction	0	0	0	0	-24,616	0	0	-39,872
TOTAL Agency Programs - All Funds Net	3,165,092	2,966,295	3,603,987	3,542,987	3,428,946	3,350,599	3,309,599	3,155,148
Summary of Funding								
General Fund Net	2,815,344	2,618,241	3,203,987	3,142,987	3,028,946	3,350,599	3,309,599	3,155,148
Bond Funds	2,732	62,554	0	0	0	0	0	0
Private Contributions	347,016	285,500	400,000	400,000	400,000	0	0	0
TOTAL Agency Programs - All Funds Net	3,165,092	2,966,295	3,603,987	3,542,987	3,428,946	3,350,599	3,309,599	3,155,148

PROGRAM: ADMINISTRATION AND COMMUNICATIONS

Statutory Reference:

C.G.S. Section 19a-610 through 19a-614, 19a-634 and 19a-643

Statement of Need and Program Objectives:

To enable the delivery of essential support services through general administration.

Program Description:

The Office of the Commissioner provides the overall leadership to the Agency.

Program Measure

	1999-2000	2000-2001	2001-2002	2002-2003
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Health services data inquiries	225	250	275	275
Consumer inquiries processed	850	850	500	350
Consumer Ed Reports Issued	5	6	3	3
Consumer Educational Seminars	15	20	0	0
FOI Requests	600	600	650	650
Web Site Inquiries	1,000	1,000	1,100	1,100

Personnel Summary	As of 06/30/2000		2000-2001	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
<i>Permanent Fulltime Positions</i>								
General Fund	7	1	0	8	8	8	8	8
Private Contributions	1	1	0	2	0	0	0	0
Financial Summary	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
(Net of Reimbursements)	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	858,240	655,889	710,633	723,871	749,996	754,910	765,798	734,071
Other Expenses	269,206	348,024	376,492	369,587	347,494	385,527	378,648	347,494
Capital Outlay								
Equipment	0	1,000	101,000	50,000	2,000	81,000	50,000	2,000
Other Current Expenses								
Administration-Uncompensated Care	97,682	0	0	0	0	0	0	0
Distressed Hospital Evaluation	121,379	0	0	0	0	0	0	0
Year 2000 Conversion	151,409	0	0	0	0	0	0	0
TOTAL-General Fund	1,497,916	1,004,913	1,188,125	1,143,458	1,099,490	1,221,437	1,194,446	1,083,565
Additional Funds Available								
Bond Funds	2,732	62,554	0	0	0	0	0	0
Private Contributions	347,016	285,500	400,000	400,000	400,000	0	0	0
TOTAL-All Funds	1,847,664	1,352,967	1,588,125	1,543,458	1,499,490	1,221,437	1,194,446	1,083,565

Administration and Communications**PROGRAM: OPERATIONS****Statutory Reference:**

C.G.S. Sections 19a-611, 19a-613, 19a-630 and 19a-633 through 19a-683, as modified by Public Act 98-150

Statement of Need and Program Objectives:

To monitor and insure the cost effectiveness of the health care delivery system, to develop partnerships with the health care community, and to serve as a resource to monitor and analyze evolving trends in health care.

To provide statewide evaluation of and authorization for accessible, cost effective, quality health care through Certificates of Need.

To restrain unwarranted proliferation of expensive medical technology and services by insuring that any expansion and/or modernization of the private or state health care industry is well-planned, needed and as cost effective as possible.

To limit and monitor the growth in health cost increases by acute care general hospitals through semi-annual audit and establishment of annual net revenue limits.

To monitor hospital financial stability in the competitive market and to calculate hospitals comparative costs.

To monitor contractual and financial arrangements between health care payers and providers to assure an open and competitive process for the benefit of health care consumers.

To conduct investigations to ensure compliance with CON authorizations, implement other regulatory requirements, and conduct fact finding as necessary.

To process Freedom of Information requests for hospital financial and utilization data, health services data and CON filings.

To administer a statewide hospital inpatient discharge abstract and billing database. Performance of data analyses using appropriate statistical and epidemiological methodologies. Development of reports relating to utilization, cost quality, performance, outcomes, and access to health care services.

To provide liaison with technical consultation to other state agencies, the federal government, health care providers, facilities, payers, business associations, coalitions, academic and research institutions and consultants on issues of health care finance and access.

Program Description:

The Operations program consists of five components: Public & Government Relations, Health System Development, Research & Evaluation, Information Services, and Fiscal & Administrative Services.

The Public & Government Relations Unit (PGR) is responsible for disseminating information to various constituents in addition to managing government relations at the state, municipal and federal levels, with both the legislative and executive branches. PGR consists of the following major functions: Media Relations; Publications,

Reports and Website Development; Legislative/Agency Liaison; Responds to Information Requests; and Consumer Outreach.

The Health System Development Unit (HSD) is responsible for agency mandates related to hospital and health care expenditures. It serves as the primary activity center for carrying out statutes and regulations. HSD consists of the following major functions: Certificate of Need (CON) Review; Hospital Financial and Statistical Reporting; Monitoring and Enforcement of CON and Hospital Financial Activity; Uncompensated Care Program; Health System Design and Development.

The Research & Evaluation Unit (R&E) analyzes, interprets, and communicates health care information to legislators, the health care industry and the public. Some of the information comes from OHCA's databases, other information comes from secondary data or published sources. The topics of these analyses are determined by various legislative mandates the agency must respond to, requests for information from outside the agency, and OHCA's mission and strategic plan. R&E consists of the following major functions: Research Analysis and Report Preparation; Policy Analysis and Development; Statewide Inpatient Discharge and Billing Database; Hospital Study Project; ACHIEVE Initiative/RWJ Grant.

The Information Services Unit (IS) is responsible for providing information service support and defining the agency's information needs. The key objective for the unit is to increase the agency's effectiveness in utilizing information. This objective is accomplished by: Close collaboration with the other units to define the agency data and analysis needs based on their strategic direction; Standardization, integration, and expansion of the agency's data collection activities; and Implementation of data analysis tools for internal and external access to the data.

The Fiscal & Administrative Services Unit (FAS) is responsible for providing administrative support to the agency. FAS consists of the following major functions: Contract Administration; Budget Development & Analysis; Purchasing; Accounts Payable, Accounts Receivable; Inventory; Personnel; Affirmative Action.

Outcome Measure:A more informed public regarding health care issues and trends.

The Office of Health Care Access optimized staff and technology resources in 1999-2000 to develop, produce and disseminate to Connecticut health care consumers, policy makers, and the industry a greater number of informational materials than ever before. The number of detailed reports produced and released in 1999-2000 doubled that of each of the previous two years. In addition, **OHCA** launched a series of one-page summary sheets that provide summary information on discrete topics of interest. To maximize circulation of these publications, all of **OHCA's** 1999-2000 releases were added to its website.

Budget-in-Detail

Program Measure	1999-2000	2000-2001	2001-2002	2002-2003
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Special health data analysis	75	100	100	100
Discharge records processed	1,864,500	3,176,500	3,200,000	3,200,000
Agency Reports	6	6	7	7
Public Hearings	16	16	20	20
CON requests	70	70	75	75
CON determinations	150	150	175	175
CON Modification requests	25	25	25	25
Total Certificate of Need	261	261	295	295
Calculated rate of growth in below inflation net revenue limits	32	32	32	32
Monitor financial condition in competitive market place	32	32	32	32
Monitor discount agreements	1,400	1,600	1,600	1,600
Uncompensated Care Program:				
Audit hospital data for purposes of state revenue maximization to ensure compliance with Federal & State statutes & regulations	32	32	32	32
Oversight of Preferred Provider Networks	110	125	0	0
Investigations	1	1	2	2

Personnel Summary

	As of 06/30/2000		2000-2001	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Fulltime Positions	21	7	0	28	28	28	28	28
General Fund								

Financial Summary

(Net of Reimbursements)	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	1,171,517	1,519,984	1,970,370	1,957,132	1,917,198	2,081,379	2,070,491	2,074,581
Other Expenses	145,911	93,344	95,492	92,397	86,874	97,783	94,662	86,874
TOTAL-General Fund	1,317,428	1,613,328	2,065,862	2,049,529	2,004,072	2,179,162	2,165,153	2,161,455

Operations

AGENCY FINANCIAL SUMMARY - GENERAL FUND

Current Expenses by Minor Object	1999-2000	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Personal Services						
Permanent Fulltime Positions	1,729,308	1,859,477	2,339,753	2,331,587	2,472,764	2,454,248
Other Positions	280,212	294,200	316,300	311,024	334,000	325,716
Other	19,674	21,546	24,150	23,783	28,525	27,688
Overtime	563	650	800	800	1,000	1,000
TOTAL-Personal Services Gross	2,029,757	2,175,873	2,681,003	2,667,194	2,836,289	2,808,652
Less Reimbursements						
Less Turnover	0	0	-50,000	-50,000	-50,000	-50,000
Less Personal Services Reductions	0	0	0	-24,616	0	-39,872
TOTAL-Personal Services Net	2,029,757	2,175,873	2,631,003	2,592,578	2,786,289	2,718,780
Other Expenses-Contractual Services						
Advertising	7,809	8,000	8,184	8,000	8,380	8,000
Printing And Binding	8,172	15,000	15,345	15,000	15,713	15,000
Dues And Subscriptions	2,457	3,000	3,070	3,000	3,143	3,000
Utility Services	380	0	0	0	0	0
Rents, Storage & Leasing	18,350	19,000	19,437	19,000	19,903	19,000
Telecommunication Services	11,124	10,000	10,230	10,000	10,476	10,000
General Repairs	3,627	2,500	2,558	2,500	2,619	2,500
Motor Vehicle Expenses	3,873	4,000	4,092	4,000	4,190	4,000
Fees For Outside Professional Services	242,761	243,953	270,025	246,953	276,504	246,953
Fees For Non-Professional Services	292	0	0	0	0	0
DP Services, Rentals and Maintenance	3,119	1,000	1,023	1,000	1,048	1,000
Postage	5,000	5,000	5,115	5,000	5,238	5,000
Travel	10,551	19,000	19,437	19,000	19,906	19,000
Other Contractual Services	585	100	102	100	104	100
Other Expenses-Commodities						
Agricultural, Horticultural, And Dairy	870	1,000	1,024	1,000	1,048	1,000
Books	4,314	2,000	2,046	2,000	2,095	2,000
Maintenance and Motor Vehicle Supplies	691	0	0	0	0	0
Office Supplies	32,617	52,459	53,666	52,459	54,954	52,459
Miscellaneous Commodities	6,258	45,356	46,400	45,356	47,513	45,356

Other Expenses-Sundry

Sundry - Other Items	52,267	10,000	10,230	0	10,476	0
TOTAL-Other Expenses Gross	415,117	441,368	471,984	434,368	483,310	434,368
Less Reimbursements						
TOTAL-Other Expenses Net	415,117	441,368	471,984	434,368	483,310	434,368

Other Current Expenses

Administration-Uncompensated Care	97,682	0	0	0	0	0
Distressed Hospital Evaluation	121,379	0	0	0	0	0
Year 2000 Conversion	151,409	0	0	0	0	0
TOTAL-Other Current Expenses	370,470	0	0	0	0	0

Character & Major Object Summary

	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	2,029,757	2,175,873	2,631,003	2,631,003	2,592,578	2,786,289	2,786,289	2,718,780
Other Expenses Net	415,117	441,368	471,984	461,984	434,368	483,310	473,310	434,368
Capital Outlay	0	1,000	101,000	50,000	2,000	81,000	50,000	2,000
Other Current Expenses	370,470	0	0	0	0	0	0	0
TOTAL-General Fund Net	2,815,344	2,618,241	3,203,987	3,142,987	3,028,946	3,350,599	3,309,599	3,155,148
<u>Additional Funds Available</u>								
Bond Funds	2,732	62,554	0	0	0	0	0	0
Private Contributions	347,016	285,500	400,000	400,000	400,000	0	0	0
TOTAL-All Funds Net	3,165,092	2,966,295	3,603,987	3,542,987	3,428,946	3,350,599	3,309,599	3,155,148

OFFICE OF THE CHIEF MEDICAL EXAMINER

[HTTP://WWW.STATE.CT.US/OCME/](http://www.state.ct.us/ocme/)

AGENCY DESCRIPTION:

The Office of the Chief Medical Examiner (OCME), functioning under the regulations of the Commission on Medicolegal Investigations, investigates fatalities in the following categories: deaths due to any form of injury, whether resulting from accident, suicide or homicide; sudden or unexpected deaths not due to readily recognizable disease; deaths occurring under suspicious circumstances (e.g. child abuse); deaths of any individual whose body is to be disposed of in a manner which will render it unavailable for later examination; deaths at or related to the workplace; and deaths due to disease which might constitute a threat to the public health.

Information provided by the agency may prevent unnecessary litigation; protect those who may have been falsely accused and lead to proper adjudication in criminal matters. Medicolegal investigations also protect the public health by diagnosing previously unsuspected contagious disease; by identifying hazardous environmental conditions in the workplace, in the home and elsewhere; by identifying trends such as changes in numbers of homicides, traffic fatalities, and drug and alcohol related deaths; and by identifying new types and forms of drugs appearing in the state, or existing drugs/substances becoming new subjects of abuse.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Reduce Agency Personal Services
- Transfer Equipment to CEPF
- Reduce Inflation and Other Miscellaneous Reductions

Reallocations or Transfers

- Reallocate Funds from Other Expenses to Personal Services for the Addition of 2 Field Investigator Positions

	<u>2001-2002</u>	<u>2002-2003</u>
	-34,254	-54,812
	-90,800	-84,800
	-17,042	-54,577
	-20,000	-20,000

AGENCY PROGRAMS:

Personnel Summary

Permanent Fulltime Positions

General Fund	As of 06/30/2000		2000-2001	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
	49	2	0	51	51	53	51	53

Other Positions Equated to Full Time

General Fund	1999-2000	2000-2001	2001-2002	2001-2002	2001-2002	2001-2002	2002-2003	2002-2003
		<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Requested</u>	<u>Recommended</u>
		7	7	7	7	7	7	7

Agency Programs by Total Funds (Net of Reimbursements)

	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Autopsies and Examinations	4,577,432	4,562,594	5,003,561	4,932,106	4,803,429	5,215,150	5,107,541	4,967,278
TOTAL Agency Programs - All Funds Gross	4,577,432	4,562,594	5,003,561	4,932,106	4,803,429	5,215,150	5,107,541	4,967,278
Less Turnover	0	0	0	-35,000	-35,000	0	-37,000	-37,000
Less Personal Services Reduction	0	0	0	0	-33,419	0	0	-53,926
TOTAL Agency Programs - All Funds Net	4,577,432	4,562,594	5,003,561	4,897,106	4,735,010	5,215,150	5,070,541	4,876,352
<u>Summary of Funding</u>								
General Fund Net	4,576,269	4,562,594	5,003,561	4,897,106	4,735,010	5,215,150	5,070,541	4,876,352
Special Funds, Non-Appropriated	1,163	0	0	0	0	0	0	0
TOTAL Agency Programs - All Funds Net	4,577,432	4,562,594	5,003,561	4,897,106	4,735,010	5,215,150	5,070,541	4,876,352

PROGRAM: AUTOPSIES AND EXAMINATIONS

Statutory Reference:

C.G.S. Sections 19a-400 through 19a-414

Statement of Need and Program Objectives:

To provide accurate certification of the cause of death and to identify, document and interpret relevant forensic scientific information for use in criminal and civil legal proceedings necessary in the investigation of violent, suspicious and sudden unexpected deaths.

Program Description:

The initial investigation begins when the death is reported to the Office of the Chief Medical Examiner. Either an assistant medical examiner, one of the selected physicians in private practice throughout the state, or an in-house investigator is notified of the death. The body is examined and inquiry into the circumstances surrounding the death is made. The information obtained is provided to one of six full-time forensic pathologists who determines whether an autopsy will be required to complete the investigation.

Medicolegal autopsies are performed by a forensic pathologist at the Farmington facility. The agency accepts jurisdiction over some 11,000 investigations per year and performs approximately 1,500 medicolegal autopsies annually.

In conjunction with such examinations, toxicological (chemical) analysis of body fluids and tissues and other forensic scientific examinations are performed in the laboratories of the OCME. In-facility laboratories help to insure quality control and promote continuity of the chain of evidence required in legal proceedings.

Complete records of all investigations are filed at the Farmington office and are available to the family of the deceased; to any federal, state or municipal governmental agency or public health authority investigating the death; to insurance companies with a legitimate interest in the death; to all parties in civil litigation proceedings; and to treating physicians. In addition, records may be made available to any other individual with the written consent of the family or by court order.

The office shares information with other state agencies involved in monitoring fatalities such as the Department of Children and Families, the Department of Transportation and the Department of Public Health, as well as the various agencies interested in the control of narcotics and other drugs. There may be involvement with federal agencies such as the Occupational Safety and Health Administration (when death may relate to a hazard in the workplace), the National Transportation Safety Board (in the event of an airplane crash), the Federal Bureau of Investigation (when death is incident to violation of federal law), or the DEA (Drug Enforcement Agency). Deaths due to potentially contagious disease are promptly reported to the epidemiology section of the Connecticut Department of Public Health.

Forensic pathologists are actively involved in the education of law enforcement officers, medical and law students, pathology residents, attorneys, EMT's, nurses and other interested groups. The professional staff is available for pre-trial conferences with attorneys

from both sides of litigation to discuss available forensic, pathologic and other scientific information.

Outcome Measure:

OCME's goals are to have at least 90% of the bodies that are transported to the Farmington facility available for the family within 24 hours of arrival and to have at least 90% of autopsy reports (including all appropriate/relevant testing) finalized within 30 days of the autopsy. The agency is developing the ability to track this information and this data will be included in future budget documents.

Program Measure

	1999-2000	2000-2001	2001-2002	2002-2003
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Investigations (Deaths Reported)	14,689	14,900	15,200	15,500
Autopsies	1,522	1,550	1,550	1,550
Transportation of Bodies	1,470	1,500	1,500	1,500
Medical Records Transmitted	2,970	3,000	3,000	3,000
Court Appearances (Civil and Criminal)	79	80	80	80

Personnel Summary

	As of 06/30/2000		2000-2001	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Fulltime Positions</i>								
General Fund	49	2	0	51	51	53	51	53
<i>Other Positions Equated to Full Time</i>			1999-2000	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
General Fund			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
			7	7	7	7	7	7

Financial Summary

	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Personal Services	3,047,049	3,285,430	3,524,555	3,527,000	3,588,165	3,672,609	3,675,000	3,768,114
Other Expenses	1,339,943	1,269,664	1,352,706	1,306,806	1,207,764	1,390,241	1,340,241	1,191,664
<u>Capital Outlay</u>								
Equipment	4,735	7,500	126,300	98,300	7,500	152,300	92,300	7,500
<u>Other Current Expenses</u>								
Year 2000 Conversion	184,542	0	0	0	0	0	0	0
TOTAL-General Fund	4,576,269	4,562,594	5,003,561	4,932,106	4,803,429	5,215,150	5,107,541	4,967,278
<u>Additional Funds Available</u>								
Special Funds, Non-Appropriated	1,163	0	0	0	0	0	0	0
TOTAL-All Funds	4,577,432	4,562,594	5,003,561	4,932,106	4,803,429	5,215,150	5,107,541	4,967,278

Autopsies and Examinations

AGENCY FINANCIAL SUMMARY - GENERAL FUND

Current Expenses by Minor Object

	1999-2000	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Personal Services</i>						
Permanent Fulltime Positions	2,644,163	2,836,963	2,973,532	3,037,142	3,100,292	3,195,797
Other Positions	218,214	234,375	240,618	240,618	250,009	250,009
Other	113,051	142,627	235,350	235,350	243,655	243,655
Overtime	71,621	71,465	75,055	75,055	78,653	78,653
TOTAL-Personal Services Gross	3,047,049	3,285,430	3,524,555	3,588,165	3,672,609	3,768,114
Less Reimbursements						
Less Turnover	0	0	0	-35,000	0	-37,000
Less Personal Services Reductions	0	0	0	-33,419	0	-53,926
TOTAL-Personal Services Net	3,047,049	3,285,430	3,524,555	3,519,746	3,672,609	3,677,188

Other Expenses-Contractual Services

Dues And Subscriptions	4,239	4,016	4,108	4,016	4,207	4,016
Rents, Storage & Leasing	12,571	9,911	10,139	9,911	10,382	9,911
Telecommunication Services	31,252	24,612	25,178	24,612	25,782	24,612
General Repairs	65,207	51,787	52,978	51,787	54,249	51,787
Motor Vehicle Expenses	20,580	15,501	15,858	15,501	16,239	15,501

Budget-in-Detail

Fees For Outside Professional Services	781,379	781,774	853,595	719,874	879,152	703,774
Fees For Non-Professional Services	88,664	90,125	92,198	90,125	94,411	90,125
DP Services, Rentals and Maintenance	38,549	28,525	29,181	28,525	29,882	28,525
Postage	6,270	4,942	5,056	4,942	5,177	4,942
Travel	22,524	18,343	18,766	18,343	19,217	18,343
Other Contractual Services	25,504	20,903	21,383	20,903	21,895	20,903

Other Expenses-Commodities

Agricultural, Horticultural, And Dairy	2,652	2,513	2,571	2,513	2,633	2,513
Books	16,788	11,908	12,182	11,908	12,474	11,908
Law Enforcement, Clothing\Personal Supplies	4,749	4,500	4,603	4,500	4,713	4,500
Maintenance and Motor Vehicle Supplies	11,710	10,096	10,328	10,096	10,576	10,096
Medical Supplies	171,301	163,092	166,843	163,092	170,847	163,092
Office Supplies	35,659	26,788	27,403	26,788	28,061	26,788
Miscellaneous Commodities	345	328	336	328	344	328
TOTAL-Other Expenses Gross	1,339,943	1,269,664	1,352,706	1,207,764	1,390,241	1,191,664
Less Reimbursements						
TOTAL-Other Expenses Net	1,339,943	1,269,664	1,352,706	1,207,764	1,390,241	1,191,664

Other Current Expenses

Year 2000 Conversion	184,542	0	0	0	0	0
TOTAL-Other Current Expenses	184,542	0	0	0	0	0

Character & Major Object Summary

	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	3,047,049	3,285,430	3,524,555	3,492,000	3,519,746	3,672,609	3,638,000	3,677,188
Other Expenses Net	1,339,943	1,269,664	1,352,706	1,306,806	1,207,764	1,390,241	1,340,241	1,191,664
Capital Outlay	4,735	7,500	126,300	98,300	7,500	152,300	92,300	7,500
Other Current Expenses	184,542	0	0	0	0	0	0	0
TOTAL-General Fund Net	4,576,269	4,562,594	5,003,561	4,897,106	4,735,010	5,215,150	5,070,541	4,876,352
<u>Additional Funds Available</u>								
Special Funds, Non-Appropriated	1,163	0	0	0	0	0	0	0
TOTAL-All Funds Net	4,577,432	4,562,594	5,003,561	4,897,106	4,735,010	5,215,150	5,070,541	4,876,352

DEPARTMENT OF MENTAL RETARDATION

HTTP://WWW.STATE.CT.US/DMR/

AGENCY DESCRIPTION:

It is the responsibility of the Department of Mental Retardation (DMR) to plan for and assist in the development of a comprehensive array of supports and services for Connecticut citizens who have mental retardation or, as of 7/1/95, Prader-Willi Syndrome. The department is also mandated to plan for and assist in the development of a comprehensive array of services for persons who have autism. As of 7/1/96, DMR was mandated to administer a system to provide early intervention services for all infants and toddlers under the age of three who have any type of disability or significant developmental delay.

Eligibility for Services

According to the CGS Section 1-1g: "Mental retardation means a significantly sub-average general intellectual functioning existing concurrently with deficits in adaptive behavior and manifested during the developmental period." Thus, three factors must be in place for a person to be diagnosed as having mental retardation. (1) The person has an intelligence quotient (IQ) of 70 or less as measured by a standardized intelligence test. (2) The everyday behavior or adaptive behavior of the person is markedly below what is expected for someone of the same age in the same cultural group. (3) The mental retardation occurred before the individual's 18th birthday.

The Department Mission

The mission of the Department of Mental Retardation is to join with others to create the conditions under which all people with mental retardation experience: Presence and participation in Connecticut town life; Opportunities to develop and exercise competence; Opportunities to make choices in the pursuit of a personal future; Good relationships with family members and friends; Respect and dignity.

In furtherance of its mission, the department will: Respect the individual and value personal initiative; Foster partnerships among individuals, families, and communities; Promote full employment and access to quality health care and desirable housing; Recognize the importance of families and support them; Contribute to the social and economic future

of Connecticut; Create a work culture where teamwork and collaboration prevail; Support the capacity of communities to include all their members; Use resources wisely and effectively.

Services and Trends

The department provides services to Connecticut citizens with mental retardation or Prader-Willi syndrome and to infants and toddlers with significant developmental delays who are enrolled in the Birth to Three system. Persons with mental retardation have much in common with non-disabled citizens in Connecticut, although individuals who have mental retardation often need lifelong support to exercise their rights and become full and contributing members of their communities. As of June 30, 2000, the Department of Mental Retardation served over 17,800 persons, including those enrolled in the Birth to Three System. The table below illustrates whom the department served at the end of fiscal year 2000.

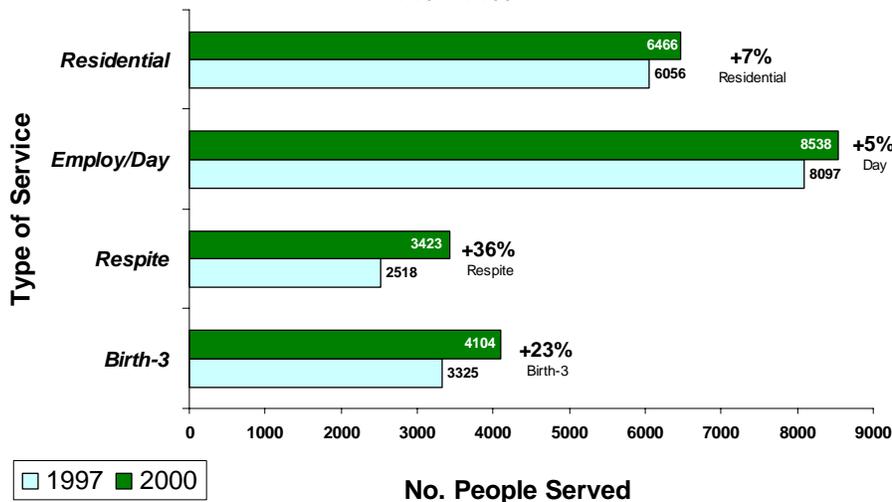
WHO	No.	Percent
<i>Birth to Three</i>	4,104	23%
<i>Children at Home</i>	3,532	20%
<i>Children with Residential Support</i>	395	2%
<i>Adults Living at Home</i>	3,718	21%
<i>Adults with Residential Support</i>	6,071	34%
<i>Total Living at Home</i>	11,354	
<i>Total with Residential Support</i>	6,466	
Total Served	17,820	100%

Over the past four years Birth to Three and Respite services and supports have grown at a substantially faster rate than the more traditional residential and day program services. Birth to Three and Respite are family centered supports that are preventative in nature and help to reduce the need for more expensive specialized services later on.

Outcome Measure:

4 YEAR GROWTH IN SERVICES

Comparison of Changes by Type of Service
FY97 - FY00

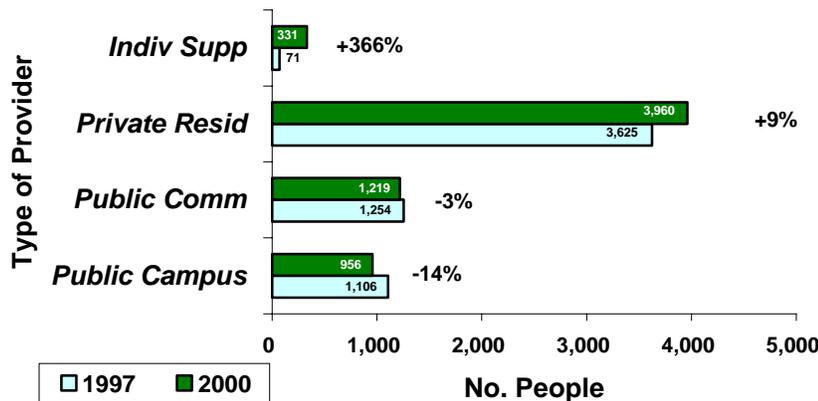


The most significant growth in residential supports has taken place for self-directed individual supports, which afford the individual and his/her family a much greater degree of choice and control over where to live and who will provide the care and support. During this four year time period, residential services provided by private agencies have also

grown while public sector services have undergone a reduction. During this same time period, Community-based residential supports and services have expanded while congregate living and non-community based residential services have decreased.

Outcome Measure:

**4-Year Change in Type of Residential Provider
FY97 - FY00**



AGENCY PROGRAM INDEX

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RECOMMENDED SIGNIFICANT CHANGES

	<u>2001-2002</u>	<u>2002-2003</u>
Reductions to Current Services		
• Reduce Agency Personal Services	-2,660,350	-4,232,898
• Transfer Equipment to CEPF	-890,000	-570,000
• Reduce Inflation and Other Miscellaneous Reductions	-877,218	-1,781,305
• Continue FY 2001 Allotment Recision	-212,211	-212,211
• Fund Excess Energy Costs from FY 2001 Surplus	-486,231	-471,830
• Adjust the Workers' Compensation Account to Reflect the Sale of Certain Claim Liabilities to a Private Insurer	-2,631,414	-2,570,555
Within Current Services		
• Annualize Early Intervention, Day and Community Placement Programs	8,730,399	11,294,014
• Fund Early Intervention Growth	1,805,610	2,068,285
<i>Support increased use of Birth-to-Three and Newborn Hearing Screening services</i>		
• Provide Funding for Children with Mental Retardation Aging Out of the Department of Children and Families and Local School Districts	3,498,660	7,081,288
• Provide Day programs for High School Graduates	2,523,585	5,107,736
<i>Provide day services (effective in August) for 204 estimated graduates in each fiscal year.</i>		
• Provide Funding for Cooperative Placements growth	2,589,407	4,209,971
• Provide Cost of Living Increases for Private Providers	8,145,037	13,743,233
Reallocations or Transfers		
• Change Status of Pilot Program for Cooperative Placements Grant		
<i>This grant, which is no longer a pilot program, is being transferred to an Other Current Expense account for greater flexibility.</i>		

AGENCY PROGRAMS:

Personnel Summary	As of 06/30/2000		2000-2001	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
Permanent Fulltime Positions								
General Fund	4,370	211	0	4,581	4,581	4,581	4,581	4,561
Federal Contributions	16	0	1	17	17	17	17	17

Other Positions Equated to Full Time	1999-2000	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
	Actual	Estimated	Requested	Recommended	Requested	Recommended
General Fund	735	725	821	725	821	725
Federal Contributions	21	21	21	21	21	21

Agency Programs by Total Funds (Net of Reimbursements)	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Resource Services								
Case Management	15,909,645	16,024,960	17,973,536	17,973,536	17,935,658	18,684,288	18,684,288	18,639,935
Family Support	14,629,022	15,986,109	18,113,110	17,752,578	17,634,791	18,837,528	18,389,368	18,092,407
Specialized Support	33,430,613	32,472,709	35,806,541	35,806,541	35,502,836	37,300,887	37,300,991	36,792,833
Staff Development	1,400,440	1,400,873	1,694,266	1,689,044	1,666,175	1,741,808	1,741,808	1,709,533
TOTAL Program	65,369,720	65,884,651	73,587,453	73,221,699	72,739,460	76,564,511	76,116,455	75,234,708
Employment Opportunities & Day Service								
Birth to Three	26,153,969	26,785,149	29,270,784	29,254,025	29,241,697	30,385,749	30,327,365	30,151,188
Community Work Services	16,150,004	18,218,763	18,758,613	18,163,755	18,148,683	19,767,425	19,149,672	19,011,158
Group Supported Employment	38,371,219	39,197,637	43,872,105	42,212,567	42,200,757	46,690,878	44,965,833	44,595,063
Day Support Options	56,167,771	60,467,471	65,821,743	63,835,552	63,813,604	69,360,789	67,297,415	66,859,780
Individual Placement	9,051,893	10,055,842	11,209,708	10,782,193	10,782,042	11,949,222	11,504,765	11,410,782
TOTAL Program	145,894,856	154,724,862	168,932,953	164,248,092	164,186,783	178,154,063	173,245,050	172,027,971
Residential Services								
Community Training Homes	5,693,305	5,631,811	6,306,378	6,086,999	6,004,629	6,590,199	6,314,078	6,259,907
Community Living Arrangements	249,088,312	264,413,194	293,073,236	285,288,884	283,912,060	305,244,294	295,687,720	292,388,459
Campus Units	103,642,673	103,646,474	110,218,644	109,153,709	106,375,193	113,813,209	112,592,408	110,059,864
Other Private Facilities	2,550,381	2,778,148	3,133,559	3,014,370	3,014,066	3,278,728	3,128,711	3,099,816
Supported Living	35,320,232	38,975,126	45,483,330	44,098,923	44,032,850	49,287,867	47,577,009	47,064,626
TOTAL Program	396,294,903	415,444,753	458,215,147	447,642,885	443,338,798	478,214,297	465,299,926	458,872,672
Management Services								
State Management	8,495,272	11,390,332	12,511,563	12,498,612	12,840,487	12,885,822	12,872,871	13,049,239
Regional Management	20,035,445	20,013,831	21,755,734	21,723,834	21,085,212	22,543,795	22,524,823	21,790,184
TOTAL Program	28,530,717	31,404,163	34,267,297	34,222,446	33,925,699	35,429,617	35,397,694	34,839,423
TOTAL Agency Programs - All Funds Gross	636,090,196	667,458,429	735,002,850	719,335,122	714,190,740	768,362,488	750,059,125	740,974,774
Less Turnover	0	0	-7,800,196	-7,800,000	-7,800,000	-7,800,196	-7,800,000	-7,800,000
Less Personal Services Reduction	0	0	0	0	-2,613,042	0	0	-4,164,796
TOTAL Agency Programs - All Funds Net	636,090,196	667,458,429	727,202,654	711,535,122	703,777,698	760,562,292	742,259,125	729,009,978
<u>Summary of Funding</u>								
General Fund Net	627,434,761	656,786,675	718,687,878	703,020,346	695,262,922	752,047,516	733,744,349	720,495,202
Federal Contributions	8,345,717	10,458,347	8,331,755	8,331,755	8,331,755	8,331,755	8,331,755	8,331,755
Private Contributions	309,718	213,407	183,021	183,021	183,021	183,021	183,021	183,021
TOTAL Agency Programs - All Funds Net	636,090,196	667,458,429	727,202,654	711,535,122	703,777,698	760,562,292	742,259,125	729,009,978

PROGRAM: RESOURCE SERVICES

Statutory Reference:

C.G.S. Section 17a-210

Statement of Need and Program Objectives:

To assist individuals with mental retardation and their families to identify and obtain supports and services necessary to maintain their safety and welfare, and enhance their quality of life.

To coordinate planning and delivery of supports and services based on individual needs and preferences.

To coordinate training and staff development for department employees and private sector staff.

Personnel Summary

Permanent Fulltime Positions	As of 06/30/2000		2000-2001	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	774	43	0	817	817	817	817	817

Other Positions Equated to Full Time

	1999-2000	2000-2001	2001-2002	2001-2002	2001-2002	2002-2003	2002-2003
	Actual	Estimated	Requested	Requested	Recommended	Requested	Recommended
General Fund	130	130	140	140	130	140	130

**Financial Summary
(Net of Reimbursements)**

	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	48,380,542	48,978,492	54,719,187	54,719,187	54,719,187	56,778,060	56,778,060	56,778,060
Other Expenses	1,214,826	1,107,897	1,254,460	1,254,460	1,069,943	1,284,291	1,284,291	1,070,580
Capital Outlay	0	0	5,222	0	0	0	0	0
Other Current Expenses	6,614,745	5,264,982	5,830,428	5,830,380	6,092,981	6,057,879	6,057,884	6,270,358
Pmts to Other Than Local Governments	9,152,611	10,531,625	11,776,501	11,416,017	10,855,694	12,442,626	11,994,565	11,114,055
TOTAL-General Fund	65,362,724	65,882,996	73,585,798	73,220,044	72,737,805	76,562,856	76,114,800	75,233,053
<u>Additional Funds Available</u>								
Federal Contributions	47	0	0	0	0	0	0	0
Private Contributions	6,949	1,655	1,655	1,655	1,655	1,655	1,655	1,655
TOTAL-All Funds	65,369,720	65,884,651	73,587,453	73,221,699	72,739,460	76,564,511	76,116,455	75,234,708
Resource Services								

SUBPROGRAM: CASE MANAGEMENT

Statutory Reference:

C.G.S. Section 17a-210

Program Description:

Case management is available to all individuals eligible for DMR services. DMR personnel from the five DMR regions provide this

service to more than 13,000 clients. Case Management is designed to assist individuals and their families to plan for and coordinate needed supports and services, including those directly provided by DMR, purchased from private providers, or self-directed.

<i>Personnel Summary</i>	As of 06/30/2000		2000-2001	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Fulltime Positions</i>								
General Fund	288	13	0	301	301	301	301	301
			1999-2000	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
<i>Other Positions Equated to Full Time</i>			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund			11	11	12	11	12	11
Financial Summary								
(Net of Reimbursements)	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	15,647,718	15,783,489	17,702,410	17,702,410	17,702,410	18,406,637	18,406,637	18,406,637
Other Expenses	261,927	241,471	271,126	271,126	233,248	277,651	277,651	233,298
TOTAL-General Fund	15,909,645	16,024,960	17,973,536	17,973,536	17,935,658	18,684,288	18,684,288	18,639,935
Case Management								

SUBPROGRAM: FAMILY SUPPORT

Statutory Reference:

C.G.S. Section 17a-210

Program Description:

Family supports are available for families to assist their family member with mental retardation to live at home. Supports may include respite support (temporary support out of the home) to more than 3,000

families, financial assistance to families to purchase respite, family support grants (flat grants of \$250 per month per family) to defray extraordinary expenses, adaptive devices such as specialized wheelchairs and communication devices, and coordination of community recreation opportunities.

<i>Personnel Summary</i>	As of 06/30/2000		2000-2001	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Fulltime Positions</i>								
General Fund	46	21	0	67	67	67	67	67
			1999-2000	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
<i>Other Positions Equated to Full Time</i>			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund			41	41	44	41	44	41
Financial Summary								
(Net of Reimbursements)	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	3,884,126	3,960,954	5,015,982	5,015,982	5,015,982	5,215,114	5,215,114	5,215,114
Other Expenses	149,172	127,054	152,863	152,863	122,082	156,159	156,159	122,205
<u>Other Current Expenses</u>								
Family Support Grants	988,067	1,008,185	1,031,421	1,031,373	1,008,185	1,056,225	1,056,126	1,008,185
Clinical Services	21,488	21,488	22,627	22,627	21,488	23,690	23,690	21,488
Temporary Support Services	203,564	207,644	212,420	212,420	207,644	217,519	217,519	207,644
Community Temporary Support Services	67,000	68,340	69,912	69,912	68,340	71,590	71,590	68,340
Community Respite Care Programs	328,800	335,376	343,090	343,090	335,376	351,324	351,324	335,376
<u>Pmts to Other Than Local Governments</u>								
Respite Care	1,781,600	2,113,767	2,162,394	2,162,384	2,113,767	2,214,303	2,214,280	2,113,767
Family Reunion Program	121,584	138,656	149,006	140,000	140,000	152,582	140,000	140,000
Employment Opportunities & Day Svcs	616,698	676,452	774,478	742,882	742,882	823,308	790,459	783,731
Community Residential Services	6,466,923	7,328,193	8,178,917	7,859,045	7,859,045	8,555,714	8,153,107	8,076,557
TOTAL-General Fund	14,629,022	15,986,109	18,113,110	17,752,578	17,634,791	18,837,528	18,389,368	18,092,407
Family Support								

SUBPROGRAM: SPECIALIZED SUPPORT

Statutory Reference:

C.G.S. Section 17a-210

Program Description:

Specialized supports are available to individuals who require assistance due to physical disabilities, challenging behavior, or health

needs. Department staff, contracted specialists, and community providers conduct assessments and develop plans for specialized support services that include: behavioral, physical and occupational therapy, nursing, medical, dental, dietary, psychological, psychiatric, communication and adaptive devices.

Personnel Summary	As of 06/30/2000		2000-2001	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Fulltime Positions</i>								
General Fund	419	8	0	427	427	427	427	427
<i>Other Positions Equated to Full Time</i>			1999-2000	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
General Fund			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
			78	78	84	78	84	78
Financial Summary	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	27,835,141	28,214,299	30,703,532	30,703,532	30,703,532	31,815,688	31,815,688	31,815,688
Other Expenses	783,289	720,650	809,427	809,427	695,891	828,929	828,929	696,355
<u>Other Current Expenses</u>								
Cooperative Placements Program	0	0	0	0	511,706	0	0	689,083
Clinical Services	4,644,675	3,261,548	3,780,221	3,780,221	3,590,052	3,957,896	3,958,000	3,590,052
<u>Pmts to Other Than Local Governments</u>								
Pilot Pgm for Cooperative Placements	165,806	274,557	511,706	511,706	0	696,719	696,719	0
TOTAL-General Fund	33,428,911	32,471,054	35,804,886	35,804,886	35,501,181	37,299,232	37,299,336	36,791,178
<u>Additional Funds Available</u>								
Private Contributions	1,655	1,655	1,655	1,655	1,655	1,655	1,655	1,655
Federal Contributions								
84181 Handicapped Infants & Toddlers	47	0	0	0	0	0	0	0
TOTAL-All Funds	33,430,613	32,472,709	35,806,541	35,806,541	35,502,836	37,300,887	37,300,991	36,792,833
Specialized Support								

SUBPROGRAM: STAFF SUPPORT

Statutory Reference:

C.G.S. Section 17a-210

Program Description

Ongoing staff development opportunities are available to public and private employees at regional and statewide levels. Training programs

designed to develop and enhance employee competence occur in the classroom, on-the-job, and through mentoring activities. Programs include: New Employee Orientation, DMR mission and policies, health and wellness, safety and basic protections, medication administration, specialized supports, individual and family support, case management, supervision, diversity, and quality improvement.

Personnel Summary	As of 06/30/2000		2000-2001	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Fulltime Positions</i>								
General Fund	21	1	0	22	22	22	22	22
Financial Summary	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	1,013,557	1,019,750	1,297,263	1,297,263	1,297,263	1,340,621	1,340,621	1,340,621
Other Expenses	20,438	18,722	21,044	21,044	18,722	21,552	21,552	18,722
<u>Capital Outlay</u>								
Equipment	0	0	5,222	0	0	0	0	0
<u>Other Current Expenses</u>								
Human Resource Development	361,151	362,401	370,737	370,737	350,190	379,635	379,635	350,190
TOTAL-General Fund	1,395,146	1,400,873	1,694,266	1,689,044	1,666,175	1,741,808	1,741,808	1,709,533
<u>Additional Funds Available</u>								
Private Contributions	5,294	0	0	0	0	0	0	0
TOTAL-All Funds	1,400,440	1,400,873	1,694,266	1,689,044	1,666,175	1,741,808	1,741,808	1,709,533
Staff Development								

PROGRAM: EMPLOYMENT OPPORTUNITIES AND DAY SERVICES

Statutory Reference:

C.G.S. Section 17a-210, 17a-217, 17a-226, and 17a-240

Statement of Need and Program Objectives:

To assist families to meet the needs of their infants and toddlers with developmental delays or disabilities

To assist adults with mental retardation to develop and enhance their work skills and secure/retain paid employment in community business settings

To provide opportunities for adults with mental retardation to experience valued adult roles within the community.

Personnel Summary	As of 06/30/2000		2000-2001	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Fulltime Positions</i>								
General Fund	518	2	-2	518	518	518	518	518
Federal Contributions	14	0	0	14	14	14	14	14
			1999-2000	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003

Budget-in-Detail

<i>Other Positions Equated to Full Time</i>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	29	29	30	29	30	29
Federal Contributions	21	21	21	21	21	21

Financial Summary (Net of Reimbursements)	1999-2000 <u>Actual</u>	2000-2001 <u>Estimated</u>	2001-2002 <u>Requested</u>	Current <u>Services</u>	2001-2002 <u>Recommended</u>	2002-2003 <u>Requested</u>	Current <u>Services</u>	2002-2003 <u>Recommended</u>
Personal Services	28,316,308	28,516,308	29,238,486	29,238,486	29,238,486	30,127,778	30,127,778	30,127,778
Other Expenses	938,453	855,289	952,924	874,960	825,451	974,563	895,959	839,500
Capital Outlay	0	0	21,400	11,800	0	19,200	9,600	0
Other Current Expenses	14,731,409	15,214,964	17,571,501	17,571,501	18,394,912	18,481,414	18,440,193	19,389,267
Pmts to Other Than Local Governments	94,228,032	100,373,979	113,510,912	108,913,615	108,090,204	120,913,378	116,133,790	114,033,696
TOTAL-General Fund	138,214,202	144,960,540	161,295,223	156,610,362	156,549,053	170,516,333	165,607,320	164,390,241
<u>Additional Funds Available</u>								
Federal Contributions	7,648,538	9,732,206	7,605,614	7,605,614	7,605,614	7,605,614	7,605,614	7,605,614
Private Contributions	32,116	32,116	32,116	32,116	32,116	32,116	32,116	32,116
TOTAL-All Funds	145,894,856	154,724,862	168,932,953	164,248,092	164,186,783	178,154,063	173,245,050	172,027,971

Employment Opportunities & Day Service

SUBPROGRAM: BIRTH TO THREE SYSTEM

Statutory Reference:

Public Act 96-185

Program Description:

The department is responsible for administrative oversight of a statewide interagency Birth to Three System to ensure that eligible

children and their families receive early intervention services. The system includes: policy setting, managing state and federal funding, contracting, quality assurance, data management, complaint resolution, training, and public awareness. More than 4,000 children and families are served.

Personnel Summary	As of 06/30/2000		2000-2001	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
<i>Permanent Fulltime Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	91	0	0	91	91	91	91	91
Federal Contributions	14	0	0	14	14	14	14	14

<i>Other Positions Equated to Full Time</i>	1999-2000 <u>Actual</u>	2000-2001 <u>Estimated</u>	2001-2002 <u>Requested</u>	2001-2002 <u>Recommended</u>	2002-2003 <u>Requested</u>	2002-2003 <u>Recommended</u>
General Fund	7	7	7	7	7	7
Federal Contributions	21	21	21	21	21	21

Financial Summary (Net of Reimbursements)	1999-2000 <u>Actual</u>	2000-2001 <u>Estimated</u>	2001-2002 <u>Requested</u>	Current <u>Services</u>	2001-2002 <u>Recommended</u>	2002-2003 <u>Requested</u>	Current <u>Services</u>	2002-2003 <u>Recommended</u>
Personal Services	6,204,776	6,254,412	6,517,579	6,517,579	6,517,579	6,718,081	6,718,081	6,718,081
Other Expenses	182,695	168,895	189,539	172,780	160,452	194,089	176,926	160,513
<u>Other Current Expenses</u>								
Early Intervention	14,731,409	15,214,964	17,571,501	17,571,501	17,571,501	18,481,414	18,440,193	18,280,429
TOTAL-General Fund	21,118,880	21,638,271	24,278,619	24,261,860	24,249,532	25,393,584	25,335,200	25,159,023
<u>Additional Funds Available</u>								
Federal Contributions								
84027 Special Education Grts to States	1,020,417	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000
84181 Handicapped Infants & Toddlers	4,014,672	4,146,878	3,992,165	3,992,165	3,992,165	3,992,165	3,992,165	3,992,165
TOTAL-All Funds	26,153,969	26,785,149	29,270,784	29,254,025	29,241,697	30,385,749	30,327,365	30,151,188

Birth to Three

SUBPROGRAM: COMMUNITY WORK SERVICES

Statutory Reference:

C.G.S. Section 17a-226

Program Description:

Sheltered employment programs available through the department and the private sector offer more than 1,200 adults with mental retardation

contract work from industry and specialized skill training in service-oriented occupations. Vocational evaluation and individual service plans form the base for training.

Personnel Summary	As of 06/30/2000		2000-2001	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
<i>Permanent Fulltime Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	66	0	-2	64	64	64	64	64

<i>Other Positions Equated to Full Time</i>	1999-2000 <u>Actual</u>	2000-2001 <u>Estimated</u>	2001-2002 <u>Requested</u>	2001-2002 <u>Recommended</u>	2002-2003 <u>Requested</u>	2002-2003 <u>Recommended</u>
General Fund	2	2	2	2	2	2

Financial Summary (Net of Reimbursements)	1999-2000 <u>Actual</u>	2000-2001 <u>Estimated</u>	2001-2002 <u>Requested</u>	Current <u>Services</u>	2001-2002 <u>Recommended</u>	2002-2003 <u>Requested</u>	Current <u>Services</u>	2002-2003 <u>Recommended</u>

Personal Services	3,360,241	3,381,343	3,213,131	3,213,131	3,213,131	3,314,417	3,314,417	3,314,417
Other Expenses	107,898	94,671	106,249	96,848	93,576	108,635	99,173	93,603
<u>Capital Outlay</u>								
Equipment	0	0	21,400	11,800	0	19,200	9,600	0
<u>Other Current Expenses</u>								
Cooperative Placements Program	0	0	0	0	48,122	0	0	64,803
<u>Pmts to Other Than Local Governments</u>								
Employment Opportunities & Day Svcs	11,411,800	12,517,506	14,115,239	13,539,382	13,539,382	15,005,180	14,406,489	14,283,863
Pilot Pgm for Cooperative Placements	15,593	25,820	48,122	48,122	0	65,521	65,521	0
TOTAL-General Fund	14,895,532	16,019,340	17,504,141	16,909,283	16,894,211	18,512,953	17,895,200	17,756,686
<u>Additional Funds Available</u>								
Private Contributions	2,072	2,072	2,072	2,072	2,072	2,072	2,072	2,072
Federal Contributions								
93667 Social Services Block Grant	1,252,400	2,197,351	1,252,400	1,252,400	1,252,400	1,252,400	1,252,400	1,252,400
TOTAL-All Funds	16,150,004	18,218,763	18,758,613	18,163,755	18,148,683	19,767,425	19,149,672	19,011,158
Community Work Services								

SUBPROGRAM: GROUP SUPPORTED EMPLOYMENT

Statutory Reference:
C.G.S. Section 17a-226

Program Description:

Group supported employment offers employment training and supervision of a group of about 2,700 individuals with mental

retardation through manufacturing or service contracts within small business settings. Individuals work in small groups at wage rates commensurate with those paid to the typical workforce. Supported employment services are primarily offered by private agencies under contract with the department.

Personnel Summary

Permanent Fulltime Positions

General Fund

As of 06/30/2000	2000-2001	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003	
Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
37	1	0	38	38	38	38	38

Other Positions Equated to Full Time

General Fund

1999-2000	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
Actual	Estimated	Requested	Recommended	Requested	Recommended
4	4	4	4	4	4

Financial Summary

(Net of Reimbursements)

Personal Services

Other Expenses

Other Current Expenses

Cooperative Placements Program

Pmts to Other Than Local Governments

Employment Opportunities & Day Svcs

Pilot Pgm for Cooperative Placements

TOTAL-General Fund

Additional Funds Available

Private Contributions

Federal Contributions

93667 Social Services Block Grant

TOTAL-All Funds

Group Supported Employment

1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
2,014,513	2,020,482	2,254,016	2,254,016	2,254,016	2,314,507	2,314,507	2,314,507
176,542	157,952	176,928	161,585	149,775	181,118	165,463	153,611
0	0	0	0	589,443	0	0	793,768
35,439,440	35,740,127	40,301,989	38,657,794	38,657,794	42,842,961	41,133,571	40,783,448
190,995	316,267	589,443	589,443	0	802,563	802,563	0
37,821,490	38,234,828	43,322,376	41,662,838	41,651,028	46,141,149	44,416,104	44,045,334
2,250	2,250	2,250	2,250	2,250	2,250	2,250	2,250
547,479	960,559	547,479	547,479	547,479	547,479	547,479	547,479
38,371,219	39,197,637	43,872,105	42,212,567	42,200,757	46,690,878	44,965,833	44,595,063

SUBPROGRAM: DAY SUPPORT OPTIONS

Statutory Reference:

C.G.S. Sections 17a-217 and 17a-226

Program Description:

Day Support Options offer adults with mental retardation opportunities to experience valued adult roles, develop relationships, and make personal choices while participating in leisure, recreation, and volunteer

service activities within their community. Activities occur in a variety of natural settings such as stores, libraries, restaurants, recreational facilities, and community centers. Day Support Options are primarily offered by private agencies under contract with the department to more than 3,000 individuals.

Personnel Summary

Permanent Fulltime Positions

General Fund

As of 06/30/2000	2000-2001	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003	
Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
320	1	0	321	321	321	321	321

Other Positions Equated to Full Time

General Fund

1999-2000	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
Actual	Estimated	Requested	Recommended	Requested	Recommended
14	14	15	14	15	14

Budget-in-Detail

Financial Summary	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	16,399,857	16,520,006	16,888,872	16,888,872	16,888,872	17,403,422	17,403,422	17,403,422
Other Expenses	464,193	427,184	472,816	437,009	415,061	483,151	447,497	425,186
<u>Pmts to Other Than Local Governments</u>								
Employment Opportunities & Day Svcs	38,650,862	42,395,803	47,807,196	45,856,812	45,856,812	50,821,357	48,793,637	48,378,313
TOTAL-General Fund	55,514,912	59,342,993	65,168,884	63,182,693	63,160,745	68,707,930	66,644,556	66,206,921
<u>Additional Funds Available</u>								
Private Contributions	27,794	27,794	27,794	27,794	27,794	27,794	27,794	27,794
Federal Contributions								
93667 Social Services Block Grant	625,065	1,096,684	625,065	625,065	625,065	625,065	625,065	625,065
TOTAL-All Funds	56,167,771	60,467,471	65,821,743	63,835,552	63,813,604	69,360,789	67,297,415	66,859,780
Day Support Options								

SUBPROGRAM: DAY INDIVIDUALIZED PLACEMENT

Statutory Reference:

C.G.S. Sections 17a-217 and 17a-226

Program Description:

Individualized placement offers job development services, on-the-job training, and supervision to nearly 1,000 adults with mental retardation

employed in typical business settings with wage rates and benefits commensurate with those paid to the typical workforce. Individual placement services are primarily offered by private agencies under contract with the department.

Personnel Summary

	As of 06/30/2000		2000-2001	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	4	0	0	4	4	4	4	4
<i>Other Positions Equated to Full Time</i>			1999-2000	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
General Fund			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
			2	2	2	2	2	2

Financial Summary

(Net of Reimbursements)	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	336,921	340,065	364,888	364,888	364,888	377,351	377,351	377,351
Other Expenses	7,125	6,587	7,392	6,738	6,587	7,570	6,900	6,587
<u>Other Current Expenses</u>								
Cooperative Placements Program	0	0	0	0	185,846	0	0	250,267
<u>Pmts to Other Than Local Governments</u>								
Employment Opportunities & Day Svcs	8,459,123	9,278,740	10,463,077	10,036,216	10,036,216	11,122,756	10,678,969	10,588,072
Pilot Pgm for Cooperative Placements	60,219	99,716	185,846	185,846	0	253,040	253,040	0
TOTAL-General Fund	8,863,388	9,725,108	11,021,203	10,593,688	10,593,537	11,760,717	11,316,260	11,222,277
<u>Additional Funds Available</u>								
Federal Contributions								
93667 Social Services Block Grant	188,505	330,734	188,505	188,505	188,505	188,505	188,505	188,505
TOTAL-All Funds	9,051,893	10,055,842	11,209,708	10,782,193	10,782,042	11,949,222	11,504,765	11,410,782
Individual Placement								

PROGRAM: RESIDENTIAL SERVICES

Statutory Reference:

C.G.S. Sections 17a-210, 17A-218, 17A-227 and 17s-228

Statement of Need and Program Objectives:

To provide individuals with mental retardation with safe and comfortable homes that promote opportunities to develop relationships,

exercise personal choice, develop competence, and participate in community life

Personnel Summary

	As of 06/30/2000		2000-2001	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	2,707	151	0	2,858	2,858	2,858	2,858	2,838
<i>Other Positions Equated to Full Time</i>			1999-2000	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
General Fund			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
			561	550	635	550	635	550

Financial Summary

(Net of Reimbursements)	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	162,535,281	163,002,173	176,555,685	176,163,845	176,163,845	183,040,263	182,502,089	182,502,089
Other Expenses	14,358,247	14,574,832	14,490,315	14,452,762	13,826,061	14,528,260	14,618,737	13,835,471
Capital Outlay	0	0	1,109,537	878,200	0	671,251	560,400	0

Other Current Expenses	13,748,943	14,512,040	15,220,939	14,646,281	18,848,831	15,602,319	15,008,712	21,607,080
Pmts to Other Than Local Governments	205,574,733	223,278,009	250,760,972	241,424,098	234,422,362	264,294,505	252,532,289	240,850,333
TOTAL-General Fund	396,217,204	415,367,054	458,137,448	447,565,186	443,261,099	478,136,598	465,222,227	458,794,973
<i>Additional Funds Available</i>								
Private Contributions	77,699	77,699	77,699	77,699	77,699	77,699	77,699	77,699
TOTAL-All Funds	396,294,903	415,444,753	458,215,147	447,642,885	443,338,798	478,214,297	465,299,926	458,872,672

Residential Services

SUBPROGRAM: COMMUNITY TRAINING HOMES

Statutory Reference:

C.G.S. Sections 17a-227 and 17a-228

by department staff to share their home with one to three individuals with mental retardation. About 500 persons with mental retardation are receiving this opportunity.

Program Description:

Community training homes offer children and adults the opportunity to live within a family setting. Families are recruited, licensed, and trained

Personnel Summary

Permanent Fulltime Positions

General Fund	As of 06/30/2000		2000-2001	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
	10	0	0	10	10	10	10	10

Other Positions Equated to Full Time

General Fund	1999-2000		2000-2001	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
	Actual	Estimated	Requested	Estimated	Requested	Recommended	Requested	Recommended
	1		1	1	1	1	1	1

Financial Summary

(Net of Reimbursements)

Personal Services	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
	573,196	579,513	684,436	684,436	684,436	709,536	709,536	709,536
Other Expenses	12,129	11,213	12,583	12,583	11,213	12,884	12,884	11,213

Pmts to Other Than Local Governments

Respite Care	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
	135,128	0	0	0	0	0	0	0

Community Residential Services	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
	4,972,852	5,041,085	5,609,359	5,389,980	5,308,980	5,867,779	5,591,658	5,539,158

TOTAL-General Fund	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
	5,693,305	5,631,811	6,306,378	6,086,999	6,004,629	6,590,199	6,314,078	6,259,907

Community Training Homes

SUBPROGRAM: COMMUNITY LIVING ARRANGEMENTS

Statutory Reference:

C.G.S. Sections 17a-227 and 17a-228

are small in size and generally serve six or fewer individuals. To capture federal reimbursement, the majority of residences are enrolled under a Medicaid Home and Community Based Waiver. A small number of homes are certified Intermediate Care Facilities for the Mentally Retarded (ICF/MR). Total census in these homes is more than 3,300 persons.

Program Description:

Community residences operated by DMR regions or private agencies under contract with the department offer individuals with mental retardation opportunities to live in typical community housing. Homes

Personnel Summary

Permanent Fulltime Positions

General Fund	As of 06/30/2000		2000-2001	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
	1,029	79	0	1,108	1,108	1,108	1,108	1,108

Other Positions Equated to Full Time

General Fund	1999-2000		2000-2001	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
	Actual	Estimated	Requested	Estimated	Requested	Recommended	Requested	Recommended
	364		364	353	423	353	423	353

Financial Summary

(Net of Reimbursements)

Personal Services	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
	71,147,036	71,619,882	79,463,216	79,463,216	79,463,216	82,139,059	82,139,059	82,139,059
Other Expenses	5,172,673	5,597,136	5,287,200	5,278,472	5,035,616	5,181,481	5,406,179	5,038,391

Capital Outlay

Equipment	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
	0	0	363,700	330,000	0	279,477	260,000	0

Other Current Expenses

Pilot Program for Client Services	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
	2,120,572	2,152,588	2,202,098	2,202,098	2,202,098	2,254,948	2,254,948	2,235,129

Cooperative Placements Program	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
	0	0	0	0	1,141,778	0	0	1,537,564

Clinical Services	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
	231,702	231,702	243,982	237,031	231,702	255,449	242,720	231,702

Workers' Compensation Claims	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
	3,041,056	3,508,278	3,695,530	3,588,968	2,799,544	3,784,223	3,675,103	2,903,936

Year 2000 Conversion	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
	4,327	0	0	0	0	0	0	0

Pmts to Other Than Local Governments

Rent Subsidy Program	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
	374,727	400,661	411,726	409,876	400,661	421,607	419,713	400,661

Family Placements	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
	1,738,092	1,764,331	1,804,911	1,804,911	1,804,911	1,848,229	1,848,229	1,831,985

Budget-in-Detail

Emergency Placements	3,302,992	3,353,515	3,430,646	3,430,646	3,430,646	3,512,982	3,512,982	3,482,107
Community Residential Services	161,562,649	175,149,957	195,005,929	187,379,368	187,379,368	203,989,718	194,390,560	192,565,405
Pilot Pgm for Cooperative Placements	369,966	612,624	1,141,778	1,141,778	0	1,554,601	1,515,707	0
TOTAL-General Fund	249,065,792	264,390,674	293,050,716	285,266,364	283,889,540	305,221,774	295,665,200	292,365,939
<i>Additional Funds Available</i>								
Private Contributions	22,520	22,520	22,520	22,520	22,520	22,520	22,520	22,520
TOTAL-All Funds	249,088,312	264,413,194	293,073,236	285,288,884	283,912,060	305,244,294	295,687,720	292,388,459

Community Living Arrangements

SUBPROGRAM: CAMPUS UNITS

Statutory Reference:

C.G.S. Sections 17a-210

Program Description:

The department operates regional campus facilities and one training school campus facility. Admissions to Southbury Training School are

closed. All regional campus units as well as Southbury Training School are certified Intermediate Care Facilities for the Mentally Retarded (ICF/MR) and are therefore eligible for 50% Medicaid reimbursement to the state. The population of these units has declined to a level below 940, including 665 at Southbury as of December 2000.

Personnel Summary

	As of 06/30/2000		2000-2001	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
<i>Permanent Fulltime Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	1,586	71	0	1,657	1,657	1,657	1,657	1,637

Other Positions Equated to Full Time

			1999-2000	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
General Fund			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
			178	178	191	178	191	178

Financial Summary

	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	86,230,504	86,160,696	91,321,554	90,929,714	90,929,714	94,902,567	94,364,393	94,364,393
Other Expenses	9,009,481	8,814,904	9,020,708	9,006,395	8,636,821	9,160,129	9,040,634	8,643,229
<i>Capital Outlay</i>								
Equipment	0	0	745,837	548,200	0	391,774	300,400	0
<i>Other Current Expenses</i>								
Human Resource Development	912	912	933	933	912	955	955	912
Clinical Services	428,803	428,803	451,530	239,030	220,299	472,752	255,607	220,299
Workers' Compensation Claims	7,905,981	8,185,980	8,622,903	8,374,258	6,532,268	8,829,853	8,575,240	6,775,852
Year 2000 Conversion	11,813	0	0	0	0	0	0	0
TOTAL-General Fund	103,587,494	103,591,295	110,163,465	109,098,530	106,320,014	113,758,030	112,537,229	110,004,685
<i>Additional Funds Available</i>								
Private Contributions	55,179	55,179	55,179	55,179	55,179	55,179	55,179	55,179
TOTAL-All Funds	103,642,673	103,646,474	110,218,644	109,153,709	106,375,193	113,813,209	112,592,408	110,059,864

Campus Units

SUBPROGRAM: OTHER PRIVATE FACILITIES

Statutory Reference:

C.G.S. Sections 17a-227 and 17a-228

Program Description:

Specialized services are purchased for individuals with chronic medical conditions or behavioral challenges from three types of privately operated facilities:

Habilitative Nurseries – provide 24-hour nursing supervision for people ranging in age from infants to adults who have serious or chronic medical conditions. The department licenses these facilities and provides case management support.

Residential Schools – provide residential and educational support to children with behavioral challenges who are unable to remain at home with their family. Educational costs are paid by the child's local public school. The department licenses CT facilities and provides case management support.

Long Term Care (LTC) Facilities – deliver nursing care to elderly individuals and other adults with serious or chronic medical conditions. Facilities are licensed by the Department of Public Health. Costs are paid for by the Department of Social Services. The department provides case management support and supplements facility services by purchasing or providing recreation and day services.

Personnel Summary

	As of 06/30/2000		2000-2001	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
<i>Permanent Fulltime Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	1	1	0	2	2	2	2	2

Other Positions Equated to Full Time

			1999-2000	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
General Fund			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
			1	1	1	1	1	1

Financial Summary

	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	35,154	35,795	83,181	83,181	83,181	87,882	87,882	87,882
Other Expenses	2,689	2,486	2,790	2,790	2,486	2,857	2,857	2,486

Pmts to Other Than Local Governments

Community Residential Services	2,512,538	2,739,867	3,047,588	2,928,399	2,928,399	3,187,989	3,037,972	3,009,448
TOTAL-General Fund	2,550,381	2,778,148	3,133,559	3,014,370	3,014,066	3,278,728	3,128,711	3,099,816

Other Private Facilities**SUBPROGRAM: SUPPORTED LIVING****Statutory Reference:**

C.G.S. Sections 17a-218 and 17a-227 (d)

Program Description:

Supported living is offered to individuals with mental retardation who need less than 24-hour support to live in their own apartment or home. Employees of the department or contracted private agencies provide

assistance to individuals to live as independently as possible. Such supports include assistance in locating an apartment or home in the community, and assistance with health care, shopping, managing finances, housekeeping, transportation, employment, developing relationships, and leisure activities. Nearly 1,400 individuals have taken advantage of this housing option.

Personnel Summary

<i>Permanent Fulltime Positions</i>	As of 06/30/2000		2000-2001	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	81	0	0	81	81	81	81	81
			1999-2000	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
<i>Other Positions Equated to Full Time</i>			Actual	Estimated	Requested	Recommended	Requested	Recommended
General Fund			17	17	19	17	19	17

Financial Summary

(Net of Reimbursements)	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	4,549,391	4,606,287	5,003,298	5,003,298	5,003,298	5,201,219	5,201,219	5,201,219
Other Expenses	161,275	149,093	167,034	152,522	139,925	170,909	156,183	140,152
<u>Other Current Expenses</u>								
Cooperative Placements Program	0	0	0	0	5,716,453	0	0	7,697,909
Clinical Services	3,327	3,327	3,503	3,503	3,327	3,668	3,668	3,327
Temporary Support Services	450	450	460	460	450	471	471	450
<u>Pmts to Other Than Local Governments</u>								
Rent Subsidy Program	2,013,328	2,276,954	2,489,933	2,370,244	2,316,954	2,549,692	2,427,130	2,316,954
Emergency Placements	131,360	132,686	135,738	135,738	135,738	138,996	138,996	137,774
Community Residential Services	26,608,821	28,739,150	31,966,911	30,716,705	30,716,705	33,439,605	31,866,035	31,566,841
Pilot Pgm for Cooperative Placements	1,852,280	3,067,179	5,716,453	5,716,453	0	7,783,307	7,783,307	0
TOTAL-General Fund	35,320,232	38,975,126	45,483,330	44,098,923	44,032,850	49,287,867	47,577,009	47,064,626

Supported Living**PROGRAM: MANAGEMENT SERVICES****Statutory Reference:**

C.G.S. Section 17a-210

Statement of Need and Program Objectives:

To plan, develop, implement, and direct a comprehensive array of services for Connecticut's citizens with mental retardation.

Personnel Summary

<i>Permanent Fulltime Positions</i>	As of 06/30/2000		2000-2001	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	371	15	2	388	388	388	388	388
Federal Contributions	2	0	1	3	3	3	3	3
			1999-2000	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
<i>Other Positions Equated to Full Time</i>			Actual	Estimated	Requested	Recommended	Requested	Recommended
General Fund			15	16	16	16	16	16

Financial Summary

(Net of Reimbursements)	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	22,259,628	22,644,311	25,562,522	25,549,571	25,502,263	26,630,685	26,617,734	26,549,632
Other Expenses	5,106,769	7,866,767	7,806,874	7,806,874	7,560,737	7,910,866	7,910,866	7,427,092
Capital Outlay	1,000	1,000	32,900	1,000	1,000	19,972	1,000	1,000
Other Current Expenses	273,234	64,007	67,309	67,309	64,007	70,402	70,402	64,007
TOTAL-General Fund	27,640,631	30,576,085	33,469,605	33,424,754	33,128,007	34,631,925	34,600,002	34,041,731
<u>Additional Funds Available</u>								
Federal Contributions	697,132	726,141	726,141	726,141	726,141	726,141	726,141	726,141
Private Contributions	192,954	101,937	71,551	71,551	71,551	71,551	71,551	71,551
TOTAL-All Funds	28,530,717	31,404,163	34,267,297	34,222,446	33,925,699	35,429,617	35,397,694	34,839,423

Management Services

SUBPROGRAM: STATE MANAGEMENT

Statutory Reference:

C.G.S. Sections 17a-210

Program Description:

To ensure that a comprehensive, integrated, equitable and cost-effective system of services is available to individuals with mental retardation through coordinated planning, systems improvement and standardization of best practices.

State Management is organized according to the following functions:

Family and Community Services

- Individual and Family Support
- Birth to Three
- Operations Center (Private Provider Council & Contract Support)
- Regional programs and services

Affirmative Action

Office of the Ombudsperson

Chief of Staff

- Communications & Constituent Services
- Human Resources

Legal and Government

- Legislative Affairs
- Legal Affairs
- Forensic Services
- Quality Assurance
- Investigations

Administrative Services

- Audit
- Budget
- Engineering Services
- Revenue Enhancement
- Payroll Services

Strategic Leadership Center

- Enterprise Technology
- Health Services
- Planning and Development
- Project Management
- Information Management

Personnel Summary

	As of 06/30/2000		2000-2001	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
<i>Permanent Fulltime Positions</i>								
General Fund	112	6	2	120	120	120	120	120
Federal Contributions	2	0	1	3	3	3	3	3
			1999-2000	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
<i>Other Positions Equated to Full Time</i>			Actual	Estimated	Requested	Recommended	Requested	Recommended
General Fund			3	4	4	4	4	4

Financial Summary

(Net of Reimbursements)	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	6,439,379	6,527,148	8,272,185	8,259,234	8,211,926	8,639,034	8,626,083	8,557,981
Other Expenses	967,099	3,989,273	3,392,551	3,392,551	3,785,036	3,396,868	3,396,868	3,647,733
<u>Capital Outlay</u>								
Equipment	1,000	1,000	1,000	1,000	1,000	1,000	1,000	1,000
<u>Other Current Expenses</u>								
Human Resource Development	3,181	3,007	3,076	3,076	3,007	3,150	3,150	3,007
Clinical Services	61,000	61,000	64,233	64,233	61,000	67,252	67,252	61,000
Year 2000 Conversion	192,701	0	0	0	0	0	0	0
TOTAL-General Fund	7,664,360	10,581,428	11,733,045	11,720,094	12,061,969	12,107,304	12,094,353	12,270,721
<u>Additional Funds Available</u>								
Private Contributions	133,780	82,763	52,377	52,377	52,377	52,377	52,377	52,377
Federal Contributions								
93630 Development Disabil-Support/Advo	666,161	666,161	666,161	666,161	666,161	666,161	666,161	666,161
93667 Social Services Block Grant	30,971	59,980	59,980	59,980	59,980	59,980	59,980	59,980
TOTAL-All Funds	8,495,272	11,390,332	12,511,563	12,498,612	12,840,487	12,885,822	12,872,871	13,049,239

State Management

SUBPROGRAM: REGIONAL MANAGEMENT

Statutory Reference:

C.G.S. Sections 17a-210

Program Description:

Regional and Training School Directors and assistant directors manage the delivery of services to people with mental retardation in their respective regions and at the Southbury Training School. These services include:

Public Programs including day and residential services for adults in campus programs and community living arrangements, or receiving supported living services or individual supports.

Contract Management including private sector residential services (community living arrangements, supported living and individual supports) the Birth to Three system for infants and toddlers, and day services for adults.

Individual and Family Support including case management. Individual and family services, public early intervention services for infants and toddlers, and community health coordination.

Administrative Services including fiscal resources, human resources, staff development affirmative action, and technology.

Personnel Summary

	As of 06/30/2000		2000-2001	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
<i>Permanent Fulltime Positions</i>								
General Fund	259	9	0	268	268	268	268	268

Other Positions Equated to Full Time General Fund	1999-2000	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003		
	<u>Actual</u> 12	<u>Estimated</u> 12	<u>Requested</u> 12	<u>Recommended</u> 12	<u>Requested</u> 12	<u>Recommended</u> 12		
Financial Summary	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	15,820,249	16,117,163	17,290,337	17,290,337	17,290,337	17,991,651	17,991,651	17,991,651
Other Expenses	4,139,670	3,877,494	4,414,323	4,414,323	3,775,701	4,513,998	4,513,998	3,779,359
<u>Capital Outlay</u>								
Equipment	0	0	31,900	0	0	18,972	0	0
<u>Other Current Expenses</u>								
Year 2000 Conversion	16,352	0	0	0	0	0	0	0
TOTAL-General Fund	19,976,271	19,994,657	21,736,560	21,704,660	21,066,038	22,524,621	22,505,649	21,771,010
<u>Additional Funds Available</u>								
Private Contributions	59,174	19,174	19,174	19,174	19,174	19,174	19,174	19,174
TOTAL-All Funds	20,035,445	20,013,831	21,755,734	21,723,834	21,085,212	22,543,795	22,524,823	21,790,184
Regional Management								

AGENCY FINANCIAL SUMMARY - GENERAL FUND

Current Expenses by Minor Object	1999-2000	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	188,840,085	187,784,705	208,040,946	207,699,003	216,129,336	215,986,292
Other Positions	24,479,653	25,947,031	27,181,277	27,101,614	28,082,819	27,693,981
Other	13,476,351	14,005,048	14,349,434	14,349,434	14,705,203	14,702,504
Overtime	34,695,670	35,632,748	36,732,471	36,701,730	37,887,676	37,802,782
TOTAL-Personal Services Gross	261,491,759	263,369,532	286,304,128	285,851,781	296,805,034	296,185,559
Less Reimbursements	0	-228,248	-228,248	-228,000	-228,248	-228,000
Less Turnover	0	0	-7,800,196	-7,800,000	-7,800,196	-7,800,000
Less Personal Services Reductions	0	0	0	-2,613,042	0	-4,164,796
TOTAL-Personal Services Net	261,491,759	263,141,284	278,275,684	275,210,739	288,776,590	283,992,763
<u>Other Expenses-Contractual Services</u>						
Advertising	49,893	51,673	52,861	51,673	54,130	51,673
Printing And Binding	22,867	24,590	25,155	24,590	25,758	24,590
Dues And Subscriptions	12,485	13,234	13,538	13,234	13,862	13,234
Utility Services	2,862,956	2,892,409	2,536,737	2,836,914	2,525,363	2,836,914
Rents, Storage & Leasing	2,030,316	2,203,224	2,263,125	2,278,407	2,317,442	2,278,407
Telecommunication Services	895,226	985,250	1,007,897	985,250	1,032,086	985,250
General Repairs	630,993	1,081,890	657,297	632,414	673,072	632,414
Motor Vehicle Expenses	2,406,864	2,453,749	2,510,152	2,453,749	2,649,702	2,453,749
Fees For Outside Professional Services	848,912	840,218	868,889	840,218	889,739	840,218
Fees For Non-Professional Services	1,254,742	1,887,540	1,554,142	1,687,540	1,378,619	1,325,130
DP Services, Rentals and Maintenance	250,771	1,022,896	1,824,740	1,022,896	1,791,424	1,714,050
Postage	154,208	158,095	161,729	158,095	165,609	158,095
Travel	657,714	659,389	683,496	641,177	699,900	635,514
Other Contractual Services	311,943	315,908	323,171	315,908	228,657	315,908
<u>Other Expenses-Commodities</u>						
Agricultural, Horticultural, And Dairy	3,707,043	3,758,905	3,845,309	3,758,905	3,937,613	3,758,905
Books	80,317	81,627	83,503	81,627	85,508	81,627
Law Enforcement, Clothing/Personal Supplies	771,809	780,753	798,699	780,753	817,866	780,753
Maintenance and Motor Vehicle Supplies	2,202,299	2,247,178	2,285,628	1,935,067	2,340,485	1,935,067
Medical Supplies	725,138	711,808	749,532	711,808	767,520	711,808
Fuel	417,764	437,817	420,271	275,335	420,271	275,335
Office Supplies	510,418	991,573	1,014,366	991,573	1,038,043	558,943
Highway Materials	30,187	30,614	31,318	30,614	32,070	30,614
Miscellaneous Commodities	783,286	807,866	826,436	807,866	846,656	807,866
<u>Other Expenses-Sundry</u>						
Sundry - Other Items	144	146	149	146	152	146
TOTAL-Other Expenses Gross	21,618,295	24,438,352	24,538,140	23,315,759	24,731,547	23,206,210
Less Reimbursements	0	-33,567	-33,567	-33,567	-33,567	-33,567
TOTAL-Other Expenses Net	21,618,295	24,404,785	24,504,573	23,282,192	24,697,980	23,172,643
<u>Other Current Expenses</u>						
Human Resource Development	365,244	366,320	374,746	354,109	383,740	354,109
Family Support Grants	988,067	1,008,185	1,031,421	1,008,185	1,056,225	1,008,185
Pilot Program for Client Services	2,120,572	2,152,588	2,202,098	2,202,098	2,254,948	2,235,129
Cooperative Placements Program	0	0	0	8,193,348	0	11,033,394

Budget-in-Detail

Clinical Services	5,390,995	4,007,868	4,566,096	4,127,868	4,780,707	4,127,868
Early Intervention	14,731,409	15,214,964	17,571,501	17,571,501	18,481,414	18,280,429
Temporary Support Services	204,014	208,094	212,880	208,094	217,990	208,094
Community Temporary Support Services	67,000	68,340	69,912	68,340	71,590	68,340
Community Respite Care Programs	328,800	335,376	343,090	335,376	351,324	335,376
Workers' Compensation Claims	10,947,037	11,694,258	12,318,433	9,331,812	12,614,076	9,679,788
Year 2000 Conversion	225,193	0	0	0	0	0
TOTAL-Other Current Expenses	35,368,331	35,055,993	38,690,177	43,400,731	40,212,014	47,330,712
<u>Pmts to Other Than Local Govts</u>						
Rent Subsidy Program	2,388,055	2,677,615	2,901,659	2,717,615	2,971,299	2,717,615
Respite Care	1,916,728	2,113,767	2,162,394	2,113,767	2,214,303	2,113,767
Family Reunion Program	121,584	138,656	149,006	140,000	152,582	140,000
Employment Opportunities & Day Svcs	94,577,923	100,608,628	113,461,979	108,833,086	120,615,562	114,817,427
Family Placements	1,738,092	1,764,331	1,804,911	1,804,911	1,848,229	1,831,985
Emergency Placements	3,434,352	3,486,201	3,566,384	3,566,384	3,651,978	3,619,881
Community Residential Services	202,123,783	218,998,252	243,808,704	234,192,497	255,040,805	240,757,409
Pilot Pgm for Cooperative Placements	2,654,859	4,396,163	8,193,348	0	11,155,751	0
TOTAL-Pmts to Other Than Local Govts	308,955,376	334,183,613	376,048,385	353,368,260	397,650,509	365,998,084

Character & Major Object Summary

	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	261,491,759	263,141,284	278,275,684	277,871,089	275,210,739	288,776,590	288,225,661	283,992,763
Other Expenses Net	21,618,295	24,404,785	24,504,573	24,389,056	23,282,192	24,697,980	24,709,853	23,172,643
Capital Outlay	1,000	1,000	1,169,059	891,000	1,000	710,423	571,000	1,000
Other Current Expenses	35,368,331	35,055,993	38,690,177	38,115,471	43,400,731	40,212,014	39,577,191	47,330,712
Pmts to Other Than Local Governments	308,955,376	334,183,613	376,048,385	361,753,730	353,368,260	397,650,509	380,660,644	365,998,084
TOTAL-General Fund Net	627,434,761	656,786,675	718,687,878	703,020,346	695,262,922	752,047,516	733,744,349	720,495,202
<u>Additional Funds Available</u>								
Federal Contributions	8,345,717	10,458,347	8,331,755	8,331,755	8,331,755	8,331,755	8,331,755	8,331,755
Private Contributions	309,718	213,407	183,021	183,021	183,021	183,021	183,021	183,021
TOTAL-All Funds Net	636,090,196	667,458,429	727,202,654	711,535,122	703,777,698	760,562,292	742,259,125	729,009,978

DEPARTMENT OF MENTAL HEALTH & ADDICTION SERVICES

AGENCY DESCRIPTION:

[HTTP://WWW.DMHAS.STATE.CT.US/](http://www.dmhas.state.ct.us/)

The Department of Mental Health and Addiction Services is responsible for: Improving the quality of life of the people of Connecticut by providing an integrated network of comprehensive, effective and efficient mental health and addiction services that foster self-sufficiency, dignity and respect.

The Service and Management Objectives are to: Develop comprehensive, accessible, and locally based systems of care; Promote input from consumers, clients, families and advocates;

Address the special needs of clients from Connecticut's urban centers; Emphasize risk management and public safety; Promote an interactive system of public and private care; Apply state-of-the-art models to care management and delivery; Assure accountability for both state and private providers; Manage services in the context of healthcare and welfare reform; and Enhance strategic planning and collaboration across state agencies.

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RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2001-2002</u>	<u>2002-2003</u>
• Reduce Agency Personal Services	-1,566,481	-2,503,743
• Transfer Equipment to CEPF	-1,812,809	-1,515,063
• Reduce Inflation and Other Miscellaneous Reductions	-1,051,297	-2,208,868
• Continue FY 2001 Allotment Recision	-850,858	-850,858
• Fund Excess Energy Costs from FY 2001 Surplus	-977,657	-1,003,807
• Reduce Funding General Assistance Managed Care to Reflect Changes in Eligibility <i>The State Administered General Assistance (SAGA) Program in the Department of Social Services will no longer be an entitlement program. This reduction reflects similar limitations on participation in the behavioral health program operated by DMHAS for SAGA-eligible clients.</i>	-4,000,000	-4,000,000
• Adjust the Workers' Compensation Account to Reflect the Sale of Certain Claim Liabilities to a Private Insurer	-1,511,140	-1,516,406
• Eliminate the Pre-Trial Alcohol Education Program	-1,226,321	-1,226,321
• Eliminate the Pre-Trial Drug Education Program	-1,148,352	-1,148,352
• Reduce Funding for Regional Action Councils	-240,000	-240,000
• Reduce Administrative Workforce by 10 Positions	-200,000	-577,500
• Eliminate General Fund Support for Gambling Treatment Programs	-102,300	-104,755

Within Current Services

• Provide Cost of Living Increases for Private Providers	9,723,228	13,238,389
• Restructuring of the Provision of Laboratory Services in the General Assistance Behavioral Health Program	-1,000,000	-1,000,000
• Convert Four Community Based Initiatives to Private, Non-Profit Operation	-268,320	-374,920
• Reduce Grants for Substance Abuse Services to Reflect Savings in Contracting for Methadone Maintenance Services	-250,000	-250,000

Reallocations or Transfers

• Transfer Psychiatric Disproportionate Share Hospital Funding from Department of Social Services <i>Funds are transferred from the Department of Social Services to reflect the lower level of federal funding available to psychiatric facilities for Disproportionate Share Payments.</i>	35,000,000	35,000,000
• Transfer Funding from Pre-Trial Drug Education Program to Judicial to Permit the Community Service Labor Program to Provide Drug Education Services	-500,000	-500,000
• Realign Funding for PILOTS from Grants for Mental Health Services to Housing Supports and Services		
• Realign Funding for Jail Diversion Program from Managed Service System to Jail Diversion		
• Realign Funding for the Project Safe Initiative from Pre-Trial Drug Education Program to Grants for Substance Abuse Services		
• Realign Funding for the Community Health Care Van from Grants for Mental Health Services to Grants for Substance Abuse Services		
• Fund Tobacco Enforcement Activities from the Tobacco and Health Trust Fund <i>Funding to support Tobacco Enforcement activities of the Department to assure reduction in rates of purchase of tobacco products by minors will be provided from the Tobacco and Health Trust Fund. In FY 02, \$450,000 will be provided and, in FY 03, \$472,000. In FY 01, funds were made available for this purpose from the \$5.0 million in funding for tobacco projects provided to the Office of Policy and Management.</i>		

New or Expanded Services

	<u>2001-2002</u>	<u>2002-2003</u>	<u>2003-2004</u>
• Enhance Community Services <i>Funding totalling \$1.5 million in FY02 and \$3.0 million in FY03 will support Phase II of the PILOTS Initiative. The balance of funds, \$8.5 million in FY02 and \$17 million in FY03 will be used to expand community services and address the</i>	10,000,000	20,000,000	

Budget-in-Detail

recommendations of the Blue Ribbon Commission.

- Increase Rates for Hospitals
Hospital rates will be increased as a part of the restructuring of the Hospital Reimbursement System in the Department of Social Services.

2,127,893 1,593,664

Appropriations From FY 2001 Surplus

- Provide \$650,000 to Plan and Implement a Specialty Behavioral Health Plan from the FY 2001 Surplus
These funds are provided to permit DMHAS to analyse and transfer fee-for-service Medicaid behavioral health care into a state-run managed care environment.

AGENCY PROGRAMS:

Personnel Summary	As of 06/30/2000		2000-2001	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
<i>Permanent Fulltime Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	3,452	138	-8	3,582	3,582	3,572	3,582	3,578
Federal Contributions	15	0	-5	10	10	10	10	10
Private Contributions	8	0	6	14	8	8	8	8
<i>Other Positions Equated to Full Time</i>			1999-2000	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
General Fund			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Federal Contributions			261	242	242	242	242	242
Private Contributions			3	1	1	0	0	0
			0	2	0	0	0	0
Agency Programs by Total Funds (Net of Reimbursements)	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Community Services								
Substance Abuse Services	35,571,178	39,704,486	31,124,517	30,672,411	30,361,745	32,065,721	31,476,589	30,505,299
Mental Health Services	68,295,527	76,711,145	67,111,378	64,977,768	73,052,025	69,634,781	67,711,791	83,190,264
Long Term Care and Shelters	2,062,437	1,918,333	1,872,971	1,895,178	1,884,010	1,973,042	2,013,710	1,978,348
Residential Support Services	52,445,603	51,823,392	47,927,322	48,462,779	49,905,421	48,143,905	49,486,408	51,309,230
Case Management	37,791,677	39,568,054	53,271,433	53,369,446	52,999,570	55,137,639	55,832,671	54,692,301
Social and Vocational Rehabilitation	27,735,989	27,973,541	25,292,131	25,541,396	25,491,703	25,978,660	26,518,912	26,003,372
Special Programs	10,148,042	30,102,065	36,472,220	37,248,754	37,161,685	37,955,520	40,209,391	39,545,269
TOTAL Program	234,050,453	267,801,016	263,071,972	262,167,732	270,856,159	270,889,268	273,249,472	287,224,083
Inpatient Hospital Services								
Mental Health Inpatient Services	62,325,351	62,851,881	87,121,439	86,045,036	85,119,189	89,721,408	89,302,091	88,109,613
Substance Abuse Inpatient Services	27,142,703	27,649,781	35,838,165	35,432,324	35,073,166	36,809,969	36,629,621	36,120,451
Forensic Inpatient Services	40,112,988	42,319,385	43,467,919	43,051,723	42,709,264	45,122,133	44,918,747	44,363,523
TOTAL Program	129,581,042	132,821,047	166,427,523	164,529,083	162,901,619	171,653,510	170,850,459	168,593,587
Advocacy and Prevention								
Research	4,164,798	4,763,589	4,919,722	4,892,217	4,845,334	4,651,064	4,632,498	4,502,913
Substance Abuse Prev & Intervention	14,367,060	22,743,409	20,749,336	20,722,433	17,373,703	16,657,303	16,633,224	13,145,137
Consultation, Education and Training	7,572,267	7,787,414	4,268,011	4,234,636	4,199,071	4,408,769	4,389,986	4,325,563
TOTAL Program	26,104,125	35,294,412	29,937,069	29,849,286	26,418,108	25,717,136	25,655,708	21,973,613
Management and Support								
General Assistance	25,854,349	29,531,814	32,827,414	31,749,294	28,384,660	31,968,290	32,090,175	28,688,819
Disproportionate Share Payments	59,329,835	60,142,534	70,213,633	72,101,910	70,216,591	74,586,830	80,064,437	76,463,182
TOTAL Agency Programs - All Funds Gross	-138,000,000	-112,640,000	-112,640,000	-112,640,000	-77,640,000	-112,640,000	-112,640,000	-77,640,000
Less Turnover	0	0	-6,292,439	-6,292,439	-6,292,439	-6,557,760	-6,557,760	-6,557,760
Less Personal Services Reduction	0	0	0	0	-1,439,154	0	0	-2,356,578
TOTAL Agency Programs - All Funds Net	336,919,804	412,950,823	443,545,172	441,464,866	473,405,544	455,617,274	462,712,491	496,388,946
Summary of Funding								
General Fund Net	298,060,734	363,331,948	406,984,984	404,904,678	436,845,356	425,805,326	432,900,543	466,576,998
Special Funds, Non-Appropriated	2,007,369	2,067,590	678,351	678,351	678,351	0	0	0
Bond Funds	2,323,085	3,029,727	0	0	0	0	0	0
Federal Contributions	30,946,354	41,021,696	33,315,109	33,315,109	33,315,109	27,181,979	27,181,979	27,181,979
Private Contributions	3,582,262	3,530,862	2,566,728	2,566,728	2,566,728	2,629,969	2,629,969	2,629,969
TOTAL Agency Programs - All Funds Net	336,919,804	412,981,823	443,545,172	441,464,866	473,405,544	455,617,274	462,712,491	496,388,946

PROGRAM: COMMUNITY SERVICES

Statutory Reference:

C.G.S. Sections 17a-476 and 17a-635(4)

Statement of Need and Program Objectives:

To increase the level of functioning of adults with mental illnesses, to avoid unnecessary and costly hospitalizations through timely evaluation, diagnosis and treatment in community-based mental health settings and to enable adults with mental illnesses to live in their own communities through participation in needed residential, vocational and social support services.

To reduce the negative consequences associated with alcohol and other drugs by reducing alcohol and other drug abusing behavior through a system which is responsive to the individual's needs, by increasing the number of individuals seeking care, by increasing the participation of family and significant others in treatment, and by funding a range of direct care services that are responsive to varying individual levels of dysfunction.

Program Description:

Community Services consist of seven components: substance abuse services, mental health services, long term care and shelters,

residential support services, case management, social and vocational rehabilitation and special programs.

Community mental health services focus on individuals recently discharged from inpatient settings or on persons with currently heightened psychiatric symptoms already living in the community. For persons recently discharged from inpatient settings, community programs focus on symptom reduction, promote a smooth transition back into the community, and provide a transition toward independent living. For persons with heightened psychiatric symptoms, community

programs help support people in their communities and prevent unnecessary admissions to institutions.

Community substance abuse services provide treatment and rehabilitation for individuals, regardless of ability to pay, in a variety of community settings. These services are arrayed in a continuum of care that affords an individual a progression of appropriate levels of care in four primary treatment settings: residential detoxification, methadone maintenance and ambulatory drug detoxification, alcohol and drug outpatient services, and residential rehabilitation.

Personnel Summary

	As of 06/30/2000		2000-2001	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
<i>Permanent Fulltime Positions</i>								
General Fund	1,133	55	0	1,188	1,188	1,188	1,188	1,188
Private Contributions	1	0	-1	0	0	0	0	0
			1999-2000	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
<i>Other Positions Equated to Full Time</i>			Actual	Estimated	Requested	Recommended	Requested	Recommended
General Fund			95	104	104	104	104	104

Financial Summary

	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
(Net of Reimbursements)								
Personal Services	79,779,017	84,597,658	77,779,097	75,051,515	75,010,758	80,814,575	78,311,484	78,264,405
Other Expenses	8,209,326	8,516,874	8,457,563	8,318,570	7,884,424	8,679,176	8,582,172	7,924,688
Capital Outlay	0	0	749,764	353,612	0	745,064	567,759	0
Other Current Expenses	23,200,104	42,035,622	50,859,936	52,103,758	63,906,019	52,832,793	55,720,655	76,426,072
Pmts to Other Than Local Governments	97,666,274	106,780,942	105,247,297	106,361,962	104,076,643	108,903,894	111,153,636	105,695,152
TOTAL-General Fund	208,854,721	241,931,096	243,093,657	242,189,417	250,877,844	251,975,502	254,335,706	268,310,317
<i>Additional Funds Available</i>								
Bond Funds	1,977,739	1,749,041	0	0	0	0	0	0
Federal Contributions	21,922,202	23,514,385	19,682,517	19,682,517	19,682,517	18,592,929	18,592,929	18,592,929
Private Contributions	1,295,791	606,494	295,798	295,798	295,798	320,837	320,837	320,837
TOTAL-All Funds	234,050,453	267,801,016	263,071,972	262,167,732	270,856,159	270,889,268	273,249,472	287,224,083
Community Services								

SUBPROGRAM: SUBSTANCE ABUSE SERVICES

Statutory Reference:

C.G.S. Section 17a-635(4)

Statement of Need and Program Objectives:

To reduce the negative consequences associated with alcohol and other drugs by reducing alcohol and other drug abusing behavior through a system that is responsive to individual needs, by increasing the number of individuals seeking care, by increasing the participation of family and significant others in treatment, and by funding a range of direct care services which are responsive to varying levels of dysfunction.

To prevent homelessness and to help move clients from inpatient and residential settings to subsequent levels of care when their treatment in those intensive programs is completed.

Program Description:

Treatment and rehabilitation is provided for individuals, regardless of ability to pay, in a variety of community settings. There are four primary treatment settings.

Residential Detoxification is medical management of the withdrawal from alcohol and drugs along with referral counseling.

Methadone Maintenance and Ambulatory Drug Detoxification combines medication and counseling services specifically for heroin and other opiate addicted individuals in a non-residential setting.

Alcohol and Drug Outpatient serves individuals, groups and families through counseling services for those addicted and significant others who are affected.

Residential Rehabilitation offers treatment services in a structured, therapeutic environment for individuals not capable of maintaining a drug free lifestyle. Such services include halfway houses and residential drug-free programs.

This range of services represents a continuum of care, which affords an individual a progression of appropriate levels of care.

Program Measure

	1999-2000	2000-2001	2001-2002	2002-2003
	Actual	Estimated	Projected	Projected
Community Based Residential Rehabilitation: (Intensive, Intermediate, Long Term Tx & Rehab)				
Number of Beds	960	960	960	960
Occupancy Ratio (%)	88	88	88	88
Clients Served	5,780	5,780	5,780	5,780
Residential Detoxification:				
Number of Beds	140	140	140	140
Occupancy Ratio (%)	84	84	84	84
Clients Served	11,844	11,844	11,844	11,844
Methadone Maintenance:				
Number of Slots	4,524	4,524	4,524	4,524
Clients Served	8,512	8,512	8,512	8,512
Ambulatory Drug Detox:				
Number of Slots	108	108	108	108

Budget-in-Detail

Clients Detoxed	969	969	969	969
Alcohol & Drug Free Outpatient:				
Number of Slots	3,283	3,283	3,283	3,283
Clients Served	12,030	12,030	12,030	12,030
Intensive Outpatient:				
Number of Slots	187	187	187	187
Clients Served	1,299	1,299	1,299	1,299

Personnel Summary

	As of 06/30/2000		2000-2001	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Fulltime Positions</i>								
General Fund	5	0	0	5	5	5	5	5
<i>Other Positions Equated to Full Time</i>			1999-2000	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
General Fund			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
			1	1	1	1	1	1

Financial Summary

	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	253,427	287,816	309,626	307,255	307,088	320,886	319,835	319,644
Other Expenses	317,786	341,070	320,932	315,658	299,184	332,604	328,888	303,692
<u>Capital Outlay</u>								
Equipment	0	0	28,500	13,442	0	24,500	18,670	0
<u>Other Current Expenses</u>								
Drug Treatment for Schizophrenia	11,808	9,659	10,862	10,862	10,569	11,372	11,373	11,080
Connecticut Mental Health Center	1,090,446	1,088,415	1,146,101	1,146,101	1,146,101	1,199,968	1,199,968	1,163,293
Professional Services	346,725	79,114	82,965	83,562	79,356	86,390	87,489	79,356
<u>Pmts to Other Than Local Governments</u>								
Grants for Substance Abuse Services	19,881,076	24,333,517	19,699,625	19,269,625	18,993,541	20,766,682	20,187,047	19,304,915
TOTAL-General Fund	21,901,268	26,139,591	21,598,611	21,146,505	20,835,839	22,742,402	22,153,270	21,181,980
<u>Additional Funds Available</u>								
Bond Funds	1,503,642	474,076	0	0	0	0	0	0
Private Contributions	27,900	69,158	27,900	27,900	27,900	27,900	27,900	27,900
Federal Contributions								
16579 Drug Ctl & Sys Imprv Formula Grt	0	338,087	0	0	0	0	0	0
93000 Miscellaneous Programs	624,012	553,130	0	0	0	0	0	0
93102 Treatment for Women & Children	44,735	0	0	0	0	0	0	0
93230 Cons Knowledge Dev & App Pgm	88,062	120,516	45,799	45,799	45,799	0	0	0
93238 Coop State Treat Outcomes/Perfor	337,241	470,363	156,788	156,788	156,788	0	0	0
93667 Social Services Block Grant	1,171,172	1,232,579	1,232,579	1,232,579	1,232,579	1,232,579	1,232,579	1,232,579
93959 Substance Abuse Block Grants	9,873,146	10,306,986	8,062,840	8,062,840	8,062,840	8,062,840	8,062,840	8,062,840
TOTAL-All Funds	35,571,178	39,704,486	31,124,517	30,672,411	30,361,745	32,065,721	31,476,589	30,505,299
Substance Abuse Services								

SUBPROGRAM: MENTAL HEALTH SERVICES

Statutory Reference:

C.G.S. Section 17a-476

Statement of Need and Program Objectives:

To assess and immediately treat adults in acute emotional crisis in order to stabilize their condition, and to arrange for further treatment when necessary.

To provide individuals with acute or prolonged mental illness services that support the development of stable and productive community living.

To improve or maintain the psychological and social functioning of adults who require ongoing clinical treatment through individual, group and family therapy and medication support when necessary.

Program Description:

There are three major components of community mental health services: Emergency/Crisis Psychiatric Services; Outpatient Clinical Services, including services to special populations; and Partial Hospitalization Services.

Emergency/Crisis Psychiatric Services are available 24-hours-a-day, 7 days-a-week. Crisis workers rapidly assess and treat individuals and families in crisis through face-to-face and hot-line telephone contacts. These services emphasize reducing risk of harm to self or others and evaluate and recommend an appropriate level of care. Emergency

mental health services are provided in crisis intervention centers, in general hospital emergency departments, in walk-in clinics, or by mobile crisis teams. It should be noted that emergency/crisis services are also provided by Assertive Community Treatment (ACT) teams. ACT team services are also funded under Case Management and Residential Services.

Outpatient Services are provided by mental health professionals who evaluate, diagnose and treat individuals or families through medication, regularly scheduled therapy visits, and non-scheduled visits as needed. Outpatient treatment helps to improve or sustain the level of functioning of adults who might otherwise require hospitalization. Outpatient services also focus on the special needs of the communities in which they are located to best serve persons who are impoverished, elderly, minorities, or persons with prior hospitalizations. In addition to outpatient services provided in traditional settings (e.g., mental health clinics), outpatient services are also provided by Assertive Community Treatment (ACT) teams.

Partial Hospitalization Services target those adults recently discharged from inpatient psychiatric facilities and those who require more structured support and intensive treatment than is provided in outpatient clinical services. These services are typically characterized by 4 to 8 hours per day of carefully scheduled activity and treatment, 3 to 5 days per week.

Program Measure	1999-2000 <u>Actual</u>	2000-2001 <u>Estimated</u>	2001-2002 <u>Projected</u>	2002-2003 <u>Projected</u>
Crisis Counseling:				
Unduplicated clients	7,200	7,200	7,200	7,200
Crisis/Respite beds:				
Beds	60	60	60	60
Unduplicated clients	240	240	240	240
Average time from request for an evaluation to disposition determination (hrs)	4	4	4	4
Average time from request for a request for an evaluation to the actual face-to-face evaluation	2	2	2	2
Outpatient:				
Unduplicated clients	17,000	17,350	17,350	17,350
Percent of individuals who will maintain or improve their level of functioning as measured by GAF (%)	84	84	84	84
Partial Hospitalization Services:				
Unduplicated clients	375	375	375	375

Personnel Summary	As of 06/30/2000		2000-2001	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
<i>Permanent Fulltime Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	407	16	0	423	423	423	423	423
<i>Other Positions Equated to Full Time</i>			1999-2000	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
General Fund			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
			38	42	42	42	42	42

Financial Summary	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	39,158,266	40,830,327	28,332,352	28,115,401	28,100,133	29,376,952	29,280,807	29,263,204
Other Expenses	3,217,064	3,384,895	3,307,245	3,252,893	3,083,124	3,396,487	3,358,525	3,101,227
<u>Capital Outlay</u>								
Equipment	0	0	417,264	196,795	0	331,964	252,966	0
<u>Other Current Expenses</u>								
Managed Service System	2,088,903	2,796,079	4,589,732	2,944,271	11,444,271	4,799,174	3,082,652	19,988,435
Drug Treatment for Schizophrenia	590,092	513,494	577,410	577,410	561,824	604,549	604,548	588,962
Connecticut Mental Health Center	2,629,304	2,624,408	2,763,502	2,763,502	2,763,502	2,893,386	2,893,387	2,804,955
Capitol Region Mental Health Center	114,171	120,812	123,591	127,215	114,772	126,557	133,194	114,772
Professional Services	308,430	298,747	315,542	315,541	299,659	330,371	330,371	299,659
General Assistance Managed Care	33,000	0	0	0	0	0	0	0
<u>Pmts to Other Than Local Governments</u>								
Grants for Mental Health Services	17,351,030	21,998,920	23,321,587	23,321,587	23,321,587	24,417,702	24,417,702	23,671,411
TOTAL-General Fund	65,490,260	72,567,682	63,748,225	61,614,615	69,688,872	66,277,142	64,354,152	79,832,625
<u>Additional Funds Available</u>								
Bond Funds	58,417	771,267	0	0	0	0	0	0
Private Contributions	3,231	11,641	2,598	2,598	2,598	2,637	2,637	2,637
Federal Contributions								
93230 Cons Knowledge Dev & App Pgm	5,553	5,553	5,553	5,553	5,553	0	0	0
93958 Community Mental Health Services	2,738,066	3,355,002	3,355,002	3,355,002	3,355,002	3,355,002	3,355,002	3,355,002
TOTAL-All Funds	68,295,527	76,711,145	67,111,378	64,977,768	73,052,025	69,634,781	67,711,791	83,190,264

Mental Health Services**SUBPROGRAM: LONG TERM CARE AND SHELTERS****Statutory Reference:**

C.G.S. Section 17a-635(4)

Statement of Need and Program Objectives:

To reduce occurrences of problem drinking and repeated admissions to costly institutional and medical services among the population with relapsing substance abuse disorders by providing alternatives that include the provision of basic care services in an appropriate non-institutional setting.

Program Description:

With respect to addiction services, shelters and long-term residential programs often deal with the public intoxicant and/or those individuals who have a history of repeated contacts with the treatment system. This population is generally indigent, often homeless, with minimal or non-existent social supports. Given the socio-economic status of this population and the non-medical basic care approach, these services

cannot rely on client fees nor do they qualify for third party reimbursements. Consequently, such services rely heavily on DMHAS funding.

The long-term residential programs are designed to be an appropriate alternative to the use of costly medical services (detoxification, emergency rooms, and state hospitals) by the relapsing population. They dispense basic care and rehabilitation services. DMHAS-funded shelters are located in the major urban areas and serve destitute individuals by providing food, shelter and other necessary supports. AIDS residences for homeless persons with symptomatic HIV disease are included in this category. Shelter staff and DMHAS providers make special efforts to secure and coordinate referrals to substance abuse treatment providers as well as health and other human service agencies in their respective communities.

Budget-in-Detail

Program Measure	1999-2000	2000-2001	2001-2002	2002-2003
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Long Term Care (LTC)				
Number of Beds	140	140	140	140
Occupancy Ratio (%)	93	93	93	93
Clients Served	748	748	748	748
Average Length of Stay (ALOS)	65	65	65	65
Shelters:				
DMHAS funded shelters	4	4	4	4
DMHAS funded beds	140	140	140	140
Clients Served (bed days)	47,194	47,194	47,194	47,194

Financial Summary (Net of Reimbursements)	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
<u>Pmts to Other Than Local Governments</u>								
Grants for Substance Abuse Services	989,788	734,084	757,252	779,459	768,291	775,426	816,094	780,732
TOTAL-General Fund	989,788	734,084	757,252	779,459	768,291	775,426	816,094	780,732
<u>Additional Funds Available</u>								
Private Contributions	15,300	15,300	15,300	15,300	15,300	15,300	15,300	15,300
Federal Contributions								
93667 Social Services Block Grant	818,908	854,203	854,203	854,203	854,203	854,203	854,203	854,203
93959 Substance Abuse Block Grants	238,441	314,746	246,216	246,216	246,216	328,113	328,113	328,113
TOTAL-All Funds	2,062,437	1,918,333	1,872,971	1,895,178	1,884,010	1,973,042	2,013,710	1,978,348
Long Term Care and Shelters								

SUBPROGRAM: RESIDENTIAL SUPPORT SERVICES

Statutory Reference:

C.G.S. Sections 17a-476

Statement of Need and Program Objectives:

To assure that persons with serious and prolonged mental illness learn or relearn the skills necessary for independent living and live in clean, safe, affordable residences.

Program Description:

Mental health residential services offer a variety of housing opportunities, including group homes, family-style community residences (funded by other public agencies), and supervised/ supported apartment programs. Some individuals may need support for an extended period while others can live independently after shorter periods of support. Services of these programs, which vary in intensity with the independence of the living environment, include supervision, counseling and follow-up to assure that residents receive needed community support and psychiatric services.

Program Measure	1999-2000	2000-2001	2001-2002	2002-2003
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Group Homes:				
Beds	185	185	185	185
Unduplicated clients	333	350	350	350
Supervised/Supportive Apartments:				
Beds/Capacity	1,929	1,929	1,929	1,929
Unduplicated clients	2,130	2,130	2,130	2,130

Personnel Summary	As of 06/30/2000		2000-2001	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Fulltime Positions</i>								
General Fund	176	7	0	183	183	183	183	183
<i>Other Positions Equated to Full Time</i>								
General Fund			14	16	16	16	16	16

Financial Summary (Net of Reimbursements)	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	14,905,332	15,998,621	11,242,688	9,024,599	9,019,698	11,641,444	9,364,744	9,359,114
Other Expenses	599,167	700,886	673,147	662,084	627,530	689,924	682,213	629,948
<u>Capital Outlay</u>								
Equipment	0	0	37,600	17,733	0	44,600	33,986	0
<u>Other Current Expenses</u>								
Housing Supports and Services	85,532	243,734	249,340	256,652	3,702,069	255,324	268,715	5,214,815
Managed Service System	4,873,485	4,648,032	5,010,662	7,021,283	7,021,283	5,130,917	7,351,283	7,126,602
Drug Treatment for Schizophrenia	358	375	421	421	410	441	441	430
Professional Services	2,664	2,991	3,159	3,159	3,000	3,307	3,307	3,000
Special Populations	1,206,326	0	0	0	0	0	0	0

<u>Pmts to Other Than Local Governments</u>								
Grants for Mental Health Services	26,095,583	25,379,716	26,139,108	26,905,651	24,960,234	26,766,446	28,170,217	25,363,819
TOTAL-General Fund	47,768,447	46,974,355	43,356,125	43,891,582	45,334,224	44,532,403	45,874,906	47,697,728
<u>Additional Funds Available</u>								
Bond Funds	368,505	41,722	0	0	0	0	0	0
Federal Contributions								
14235 Supporting Housing Demo Pgm	852,490	1,264,583	940,056	940,056	940,056	289,168	289,168	289,168
14238 Shelter Plus Care	3,347,741	3,433,799	3,522,208	3,522,208	3,522,208	3,228,001	3,228,001	3,228,001
93230 Cons Knowledge Dev & App Pgm	14,600	14,600	14,600	14,600	14,600	0	0	0
93667 Social Services Block Grant	91,541	91,541	91,541	91,541	91,541	91,541	91,541	91,541
93958 Community Mental Health Services	2,279	2,792	2,792	2,792	2,792	2,792	2,792	2,792
TOTAL-All Funds	52,445,603	51,823,392	47,927,322	48,462,779	49,905,421	48,143,905	49,486,408	51,309,230
Residential Support Services								

SUBPROGRAM: CASE MANAGEMENT

Statutory Reference:

C.G.S. Section 17a-476, PA 95-194 and PA 96-268

Statement of Need and Program Objectives:

To assist persons with psychiatric disabilities to remain in the community by providing planning, linkage to other services, support, monitoring and advocacy.

Program Description:

This program provides a person with a psychiatric illness with a clinical case manager who ensures that the person is actively involved in the services he or she requires to achieve satisfactory level of quality of life in the community. Clients are assigned to one of three levels of case

management depending upon their assessed need for services. "Assertive Community Treatment" (ACT, the most intensive), "standard", or "monitoring" (the least intensive). Case managers identify required services by assessing the needs of their clients and developing a service plan with the client and others to meet those needs. Case management includes activities such as linkage to services and community supports, monitoring client progress and appropriateness of services, advocacy, crisis prevention and intervention, an outreach. Case management may also be provided by residential programs. These services are also provided by Assertive Community Treatment (ACT) teams and residential programs.

Program Measure

	1999-2000 Actual	2000-2001 Estimated	2001-2002 Projected	2002-2003 Projected
Case Management:				
Unduplicated clients	10,120	10,120	10,120	10,120

Personnel Summary

	As of 06/30/2000		2000-2001	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	432	29	0	461	461	461	461	461
				1999-2000	2000-2001	2001-2002	2001-2002	2002-2003
				Actual	Estimated	Requested	Recommended	Requested
General Fund			29	33	33	33	33	33

Financial Summary

(Net of Reimbursements)	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	15,316,718	16,821,310	30,243,880	30,012,292	29,995,994	31,491,102	31,388,038	31,369,168
Other Expenses	3,262,979	3,291,807	3,184,427	3,132,094	2,968,630	3,264,216	3,227,733	2,980,455
<u>Capital Outlay</u>								
Equipment	0	0	210,300	99,184	0	287,200	218,854	0
<u>Other Current Expenses</u>								
Housing Supports and Services	987,782	845,737	865,189	890,561	890,561	885,953	932,417	924,204
Managed Service System	1,368,341	1,025,064	1,048,640	1,048,640	1,048,640	1,073,807	1,073,807	1,064,370
Drug Treatment for Schizophrenia	274,062	233,051	262,060	262,060	254,986	274,377	274,377	267,303
Connecticut Mental Health Center	119,823	119,600	125,939	125,939	125,939	131,858	131,858	127,828
Capitol Region Mental Health Center	173,135	147,113	150,497	154,910	139,758	154,109	162,191	139,758
Professional Services	274,561	301,981	318,958	318,957	302,903	333,947	333,948	302,903
TBI Community Services	8,660	0	0	0	0	0	0	0
<u>Pmts to Other Than Local Governments</u>								
Grants for Substance Abuse Services	312,000	0	0	0	0	0	0	0
Grants for Mental Health Services	14,005,221	15,338,434	15,797,379	16,260,645	16,207,995	16,176,517	17,024,895	16,451,905
Employment Opportunities	15,608	15,791	16,207	16,207	16,207	16,596	16,596	16,450
TOTAL-General Fund	36,118,890	38,139,888	52,223,476	52,321,489	51,951,613	54,089,682	54,784,714	53,644,344
<u>Additional Funds Available</u>								
Bond Funds	23,672	369,463	0	0	0	0	0	0
Private Contributions	69,764	7,181	0	0	0	0	0	0
Federal Contributions								
93125 MH Planning & Demonstration Proj	613,737	0	0	0	0	0	0	0
93148 Coop Agreements for Homeless	674	2,233	0	0	0	0	0	0
93150 MH Services for Homeless BI Gt	261,830	261,830	261,830	261,830	261,830	261,830	261,830	261,830
93230 Cons Knowledge Dev & App Pgm	21,937	0	0	0	0	0	0	0
93630 Development Disabil-Support/Advo	206	1,332	0	0	0	0	0	0
93667 Social Services Block Grant	212,434	212,434	212,434	212,434	212,434	212,434	212,434	212,434
93958 Community Mental Health Services	468,533	573,693	573,693	573,693	573,693	573,693	573,693	573,693
TOTAL-All Funds	37,791,677	39,568,054	53,271,433	53,369,446	52,999,570	55,137,639	55,832,671	54,692,301
Case Management								

SUBPROGRAM: SOCIAL AND VOCATIONAL REHABILITATION

Statutory Reference:

C.G.S. Sections 17a-476 and 17a-635(4)

Statement of Need and Program Objectives:

To assist persons with psychiatric and substance abuse disabilities to successfully engage in community-based employment through the provision of specialized work related services and supports and to participate in community life by teaching them pre-vocational and daily living skills, improving their interpersonal skills, and increasing their ability to plan and manage their lives.

Program Description:

Specialized vocational services and supports that enable persons with prolonged mental illness or substance abuse disabilities to participate successfully in the competitive labor market include: vocational counseling and assessment, the development of specific occupational skills, job seeking and retention training, assistance with job search, self esteem building, life planning and relapse prevention. In addition to competitive employment, a variety of supported work settings such as transitional employment, individual placement, mobile work crews, enclaves, and small enterprises are also available.

Follow-up and ongoing support through one-to-one counseling and peer support groups are made available as needed.

In accordance with individual needs, social rehabilitation services provided may include the following:

Daily living skills: Training in hygiene, cooking and nutrition, budgeting, and housekeeping to enable the person to live with the maximum possible independence.

Interpersonal skills: Training in relating to other individuals and groups within the community.

Life management skills: Training to use personal, social and recreation time more effectively and to increase self-esteem.

Pre-vocational skills: Training to learn and master appropriate work-related behaviors such as punctuality, regular attendance, perseverance, the ability to interact with co-workers, and the ability to follow directions.

Program Measure

	1999-2000 <u>Actual</u>	2000-2001 <u>Estimated</u>	2001-2002 <u>Projected</u>	2002-2003 <u>Projected</u>
Vocational Services:				
Unduplicated clients	2,338	2,350	2,350	2,350
Social Rehabilitation Services:				
Unduplicated clients	5,449	5,450	5,450	5,450

Personnel Summary

	As of 06/30/2000		2000-2001	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	66	1	0	67	67	67	67	67
			1999-2000	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund			9	8	8	8	8	8

Financial Summary

	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Personal Services	7,219,983	7,595,465	4,399,252	4,365,565	4,363,194	4,587,117	4,572,104	4,569,355
Other Expenses	551,991	526,812	512,218	503,800	477,507	524,563	518,700	478,962
<u>Capital Outlay</u>								
Equipment	0	0	37,600	17,733	0	39,800	30,329	0
<u>Other Current Expenses</u>								
Managed Service System	802,841	592,394	606,018	623,791	623,791	620,563	653,109	633,148
Drug Treatment for Schizophrenia	826	675	759	759	739	795	795	775
Connecticut Mental Health Center	74,692	74,553	78,504	78,504	78,504	82,194	82,194	79,682
Capitol Region Mental Health Center	19,359	27,174	27,799	28,614	25,814	28,466	29,959	25,814
Professional Services	12,340	8,956	9,459	9,459	8,983	9,904	9,904	8,983
<u>Pmts to Other Than Local Governments</u>								
Grants for Mental Health Services	9,533,474	9,715,258	10,006,731	10,299,380	10,299,380	10,246,892	10,783,451	10,453,871
Employment Opportunities	9,157,797	9,265,222	9,509,408	9,509,408	9,509,408	9,737,633	9,737,634	9,652,049
TOTAL-General Fund	27,373,303	27,806,509	25,187,748	25,437,013	25,387,320	25,877,927	26,418,179	25,902,639
<u>Additional Funds Available</u>								
Bond Funds	21,896	50,168	0	0	0	0	0	0
Private Contributions	245,926	12,481	0	0	0	0	0	0
Federal Contributions								
93150 MH Services for Homeless BI Gt	38,170	38,170	38,170	38,170	38,170	38,170	38,170	38,170
93230 Cons Knowledge Dev & App Pgm	3,650	3,650	3,650	3,650	3,650	0	0	0
93958 Community Mental Health Services	53,044	62,563	62,563	62,563	62,563	62,563	62,563	62,563
TOTAL-All Funds	27,735,989	27,973,541	25,292,131	25,541,396	25,491,703	25,978,660	26,518,912	26,003,372

Social and Vocational Rehabilitation

SUBPROGRAM: SPECIAL PROGRAMS

Statutory Reference:

C.G.S. Sections 17a-560 through 17a-576

Statement of Need and Program Objectives:

To assist the courts in diagnosing the mental status of individuals being processed through the criminal justice system and to provide ongoing

psychiatric care and treatment to individuals found not guilty by reason of insanity.

To overcome communication barriers in treating persons who are deaf and hearing impaired who suffer from mental illness by operating diagnostic outpatient treatment services with staff specially trained in treating these individuals.

To provide mental health services to young adults with specialized needs at the level of service required.

To provide appropriate community-based services to persons with Acquired Brain Injury or Traumatic Brain Injury (ABI/TBI) upon discharge from DMHAS facilities or to divert them from unnecessary inpatient admissions.

The Jail Diversion Program reduces criminalization of persons with behavioral health disorders by providing courts with clinical alternatives to incarceration.

Program Description:

Forensic Services are provided through court clinics located in Hartford, New Haven, Bridgeport and Norwich. The court clinics are responsible for assessments as required by statute. In addition, court clinics provide consultations to public defenders, judges, and criminal justice and correctional personnel as well as instruction and supervision to medical students, residents, and social work and nursing students.

Mentally Ill/Deaf and Hearing Impaired Outpatient Services are provided by staff specially trained in treating these individuals. Also under this program, the agency trains professionals to provide services, provides a network of consultative services, and develops intermediate care programs and housing for individuals who have been discharged from state hospitals.

Specialized Services are provided to young adults who may have been referred from the Department of Children and Families (DCF) and, per a clinical assessment, have been determined to require ongoing mental health services. These services may be provided in residential or outpatient settings and may include intensive supervision.

Community Services for clients with ABI/TBI consist primarily of case management services and residential supports and are closely linked to the neuropsychiatric service at CT Valley Hospital and in coordination with the ABI services of the Department of Social Services.

Jail Diversion Programs are provided statewide to all geographical area courts. These programs are provided on site at the court to identify, diagnose, refer into treatment, and monitor defendants with behavioral health treatment needs, thus reducing the need for incarceration, and facilitating access to treatment.

Program Measure

	1999-2000 <u>Actual</u>	2000-2001 <u>Estimated</u>	2001-2002 <u>Projected</u>	2002-2003 <u>Projected</u>
Special Populations:				
Unduplicated Clients	48	149	149	149
Transitional Youth:				
Unduplicated clients	17	27	27	27
Deaf & Hearing Impaired:				
Unduplicated Clients	96	96	96	96
Inpatient:				
Beds	2	2	2	2
Average Daily Census	1.1	1.1	1.1	1.1
Admissions	23	25	25	25
Discharges	22	22	22	22
Jail Diversion:				
Unduplicated Clients	1,256	2,000	2,500	2,750
Court Clinics:				
Forensic Evaluation	1,915	1,915	1,915	1,915
Court Appearances	400	400	400	400

Personnel Summary

	As of 06/30/2000		2000-2001	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003	
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>	
Permanent Fulltime Positions									
General Fund	47	2	0	49	49	49	49	49	
Private Contributions	1	0	-1	0	0	0	0	0	
				1999-2000	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
Other Positions Equated to Full Time				<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund				4	4	4	4	4	4

Financial Summary

	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	2,925,291	3,064,119	3,251,299	3,226,403	3,224,651	3,397,074	3,385,956	3,383,920
Other Expenses	260,339	271,404	459,594	452,041	428,449	471,382	466,113	430,404
<u>Capital Outlay</u>								
Equipment	0	0	18,500	8,725	0	17,000	12,954	0
<u>Other Current Expenses</u>								
Managed Service System	4,918	130,830	1,606,356	3,259,819	0	1,644,909	3,413,030	0
Connecticut Mental Health Center	709,024	707,704	936,267	745,212	745,212	963,620	780,237	756,390
Capitol Region Mental Health Center	49,953	68,682	70,262	72,322	65,248	71,948	75,721	65,248
Professional Services	488,819	494,234	517,294	522,017	495,743	537,901	546,552	495,743
Nursing Home Screening	483,330	483,918	506,768	506,997	487,345	530,617	530,846	492,843
Special Populations	3,938,370	19,463,023	23,437,787	21,741,527	21,741,527	24,473,947	22,974,253	22,839,748
TBI Community Services	28,024	1,605,075	2,060,620	3,010,760	3,010,760	2,129,620	4,131,415	3,985,675
Transitional Youth	0	3,279,998	3,357,473	3,452,931	3,452,931	3,442,502	3,617,314	3,511,582
Jail Diversion	0	0	0	0	3,259,819	0	0	3,308,716
<u>Pmts to Other Than Local Governments</u>								
Grants for Mental Health Services	324,697	0	0	0	0	0	0	0
TOTAL-General Fund	9,212,765	29,568,987	36,222,220	36,998,754	36,911,685	37,680,520	39,934,391	39,270,269

Budget-in-Detail

Additional Funds Available

Bond Funds	1,607	42,345	0	0	0	0	0	0
Private Contributions	933,670	490,733	250,000	250,000	250,000	275,000	275,000	275,000
TOTAL-All Funds	10,148,042	30,102,065	36,472,220	37,248,754	37,161,685	37,955,520	40,209,391	39,545,269
Special Programs								

PROGRAM: INPATIENT HOSPITAL SERVICES

Statutory Reference:

C.G.S. Section 17a-458, 17a-560-576 and 17a-635(4)

Statement of Need and Program Objectives:

To improve the mental health (level of functioning) of adults gravely disabled by mental illness and those dangerous to self or others in order to enable them to live in a less restrictive treatment setting through the provision of inpatient treatment programs.

To reduce negative consequences associated with alcohol and drug use and abuse by increasing the number of individuals seeking care, increasing family and significant others participation in treatment, and reducing drug and alcohol abusing behavior through the funding of a range of direct care services.

To protect the individual and society by operating a maximum security facility that provides tertiary-level psychiatric care and treatment of forensic patients who have presented diagnostic and/or severe behavioral management problems for other Department of Mental Health and Addiction Services or Department of Correction facilities.

To assist the courts in diagnosing the mental status of individuals being processed through the criminal justice system and to provide ongoing psychiatric care and treatment to individuals found not competent to stand trial or not guilty by reason of insanity.

Program Description:

There are three components of inpatient hospital services: Mental Health Inpatient Services; Substance Abuse Inpatient Services; and Forensic Inpatient Services.

Personnel Summary

	As of 06/30/2000		2000-2001	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Fulltime Positions								
General Fund	2,012	45	-3	2,054	2,054	2,054	2,054	2,054
Private Contributions	2	0	0	2	2	2	2	2
Other Positions Equated to Full Time								
General Fund			1999-2000 <u>Actual</u>	2000-2001 <u>Estimated</u>	2001-2002 <u>Requested</u>	2001-2002 <u>Recommended</u>	2002-2003 <u>Requested</u>	2002-2003 <u>Recommended</u>
			142	126	126	126	126	126

Financial Summary

	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	102,845,843	106,569,696	139,735,305	138,665,302	138,590,003	145,393,347	144,917,502	144,830,381
Other Expenses	15,651,724	14,250,943	15,228,522	14,978,253	14,196,538	15,498,702	15,325,477	14,151,384
Capital Outlay	0	0	1,094,250	516,083	0	647,049	493,069	0
Other Current Expenses	7,611,485	7,736,637	8,235,763	8,235,762	7,981,395	8,613,203	8,613,202	8,141,848
Pmts to Other Than Local Governments	875,123	920,753	976,112	976,112	976,112	1,021,989	1,021,989	990,754
TOTAL-General Fund	126,984,175	129,478,029	165,269,952	163,371,512	161,744,048	171,174,290	170,371,239	168,114,367
<u>Additional Funds Available</u>								
Special Funds, Non-Appropriated	2,007,369	2,067,590	678,351	678,351	678,351	0	0	0
Bond Funds	131,435	779,971	0	0	0	0	0	0
Federal Contributions	206	0	0	0	0	0	0	0
Private Contributions	457,857	495,457	479,220	479,220	479,220	479,220	479,220	479,220
TOTAL-All Funds	129,581,042	132,821,047	166,427,523	164,529,083	162,901,619	171,653,510	170,850,459	168,593,587
Inpatient Hospital Services								

SUBPROGRAM: MENTAL HEALTH INPATIENT SERVICES

Statutory Reference:

C.G.S. Section 17a-458

Statement of Need and Program Objectives:

To improve the mental health (level of functioning) of adults gravely disabled by mental illness and those dangerous to self or others in order to enable them to live in a less restrictive treatment setting through the provision of inpatient treatment programs.

Program Description:

There are four facilities offering inpatient services operated by the Department of Mental Health and Addiction Services, each providing

services to a wide range of psychiatrically disabled patients. One facility provides specialized services to individuals involved with the criminal justice system. In addition, some acute care services are provided under contract by general hospitals. All of the inpatient facilities provide a range of therapeutic programs designed to meet patient treatment needs in the most cost-effective manner possible. Specialty services address Geriatrics, Traumatic/Acquired Brain Injury, Cognitive Rehabilitation and Dialectical Behavior Training.

Program Measure

	1999-2000	2000-2001	2001-2002	2002-2003
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Southwest Connecticut Mental Health System - Bridgeport Mental Health Division:				
Beds	42	42	42	42
Average Daily Census	35	35	35	35
Admissions	624	624	624	624
Discharges	529	529	529	529
Unduplicated Clients	452	452	452	452

Cedarcrest Hospital - Mental Health Division				
Beds	76	76	76	76
Average Daily Census	70	70	70	70
Admissions	645	645	645	645
Discharges	628	628	628	628
Unduplicated Clients	598	598	598	598
Connecticut Mental Health Center				
Beds	39	39	39	39
Average Daily Census	21	21	21	21
Admissions	1,020	1,020	1,020	1,020
Discharges	1,019	1,019	1,019	1,019
Unduplicated Clients	697	697	697	697
Connecticut Valley Hospital - Mental Health Division				
Beds	210	210	210	210
Average Daily Census	207	207	207	207
Admissions	187	187	187	187
Discharges	186	186	186	186
Unduplicated Clients	431	431	431	431

Personnel Summary	As of 06/30/2000		2000-2001	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
<i>Permanent Fulltime Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	985	26	-3	1,008	1,008	1,008	1,008	1,008
Private Contributions	2	0	0	2	2	2	2	2
<i>Other Positions Equated to Full Time</i>				1999-2000	2000-2001	2001-2002	2001-2002	2002-2003
General Fund			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
			81	74	74	74	74	74

Financial Summary	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	47,898,097	48,493,616	72,512,983	71,957,725	71,918,650	75,546,363	75,299,114	75,253,846
Other Expenses	7,642,114	7,244,363	7,705,755	7,579,117	7,183,563	7,837,700	7,750,100	7,156,361
<u>Capital Outlay</u>								
Equipment	0	0	746,650	352,144	0	354,949	270,481	0
<u>Other Current Expenses</u>								
Drug Treatment for Schizophrenia	1,263,702	1,298,063	1,459,636	1,459,636	1,420,235	1,528,239	1,528,239	1,488,839
Legal Services	403,409	399,711	419,134	419,134	399,711	429,193	429,193	399,711
Connecticut Mental Health Center	823,167	821,634	865,181	865,181	865,181	905,844	905,845	878,159
Professional Services	1,711,872	1,509,550	1,594,411	1,594,410	1,514,160	1,669,348	1,669,347	1,514,160
<u>Pmts to Other Than Local Governments</u>								
Grants for Mental Health Services	875,123	920,753	976,112	976,112	976,112	1,021,989	1,021,989	990,754
TOTAL-General Fund	60,617,484	60,687,690	86,279,862	85,203,459	84,277,612	89,293,625	88,874,308	87,681,830
<u>Additional Funds Available</u>								
Special Funds, Non-Appropriated	1,224,495	1,261,230	413,794	413,794	413,794	0	0	0
Bond Funds	76,746	463,941	0	0	0	0	0	0
Private Contributions	406,420	439,020	427,783	427,783	427,783	427,783	427,783	427,783
Federal Contributions								
84034 Public Library Services	206	0	0	0	0	0	0	0
TOTAL-All Funds	62,325,351	62,851,881	87,121,439	86,045,036	85,119,189	89,721,408	89,302,091	88,109,613

Mental Health Inpatient Services

SUBPROGRAM: SUBSTANCE ABUSE INPATIENT SERVICES

Statutory Reference:

C.G.S. Section 17a-635(4)

Statement of Need and Program Objectives:

To reduce the negative consequences associated with alcohol and other drugs by reducing alcohol and other drug abusing behavior through a system that is responsive to the individual's needs, increasing the number of individuals seeking care, increasing the participation of family and significant others in treatment, and funding a range of direct care services that are responsive to individual varying levels of dysfunction.

Program Description:

Treatment and rehabilitation is provided for individuals, regardless of ability to pay, through the direct operation by DMHAS of substance abuse inpatient beds. There are two primary treatment settings:

Residential Medically Managed Detoxification Services. These include 24 hour medically directed evaluation, care and treatment of a substance abuse client in a medically managed inpatient setting which includes 24 hour physician and nursing coverage.

Intensive Medically Monitored Inpatient Treatment Services. These offer an organized service, staffed by designated addiction treatment personnel including physicians, that provides a planned regimen of 24-hour professionally directed evaluation, care and treatment in an inpatient setting. These services are provided to patients whose subacute biomedical and emotional/behavioral problems are sufficiently severe to require inpatient care.

Budget-in-Detail

Program Measure	1999-2000	2000-2001	2001-2002	2002-2003
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Southwest Connecticut Mental Health System - Bridgeport Addiction Services Division:				
Number of Beds (Detox)	20	20	20	20
Patient Days (Detox)	6,969	6,969	6,969	6,969
Unduplicated Clients (Detox)	917	917	917	917
Utilization Ratio (%)	96	96	96	96
Cedarcrest Hospital - Blue Hills Substance Abuse Services Division				
Number of Beds (Detox and Rehab)	40	40	40	40
Patient Days (Detox and Rehab)	13,507	13,507	13,507	13,507
Unduplicated Clients (Detox and Rehab)	885	885	885	885
Utilization Ratio (%)	92	92	92	92
Connecticut Valley Hospital - Addiction Services				
Number of Beds (Detox and Rehab)	140	140	140	140
Patient Days (Detox and Rehab)	46,719	46,719	46,719	46,719
Unduplicated Clients (Detox and Rehab)	1,980	1,980	1,980	1,980
Utilization Ratio (%)	91	91	91	91

Personnel Summary	As of 06/30/2000		2000-2001	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Fulltime Positions</i>								
General Fund	446	16	0	462	462	462	462	462

<i>Other Positions Equated to Full Time</i>	1999-2000	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	39	33	33	33	33	33

Financial Summary	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
<i>(Net of Reimbursements)</i>								
Personal Services	21,175,527	21,832,738	30,348,604	30,116,214	30,099,860	31,509,706	31,406,581	31,387,700
Other Expenses	3,704,767	3,363,511	3,413,615	3,357,515	3,182,286	3,476,010	3,437,160	3,173,837
<i>Capital Outlay</i>								
Equipment	0	0	222,100	104,749	0	161,250	122,877	0
<i>Other Current Expenses</i>								
Drug Treatment for Schizophrenia	647,687	614,022	690,452	690,452	671,815	722,903	722,903	704,266
Professional Services	778,361	831,212	877,939	877,939	833,750	919,202	919,202	833,750
TOTAL-General Fund	26,306,342	26,641,483	35,552,710	35,146,869	34,787,711	36,789,071	36,608,723	36,099,553
<i>Additional Funds Available</i>								
Special Funds, Non-Appropriated	782,874	806,360	264,557	264,557	264,557	0	0	0
Bond Funds	32,589	176,040	0	0	0	0	0	0
Private Contributions	20,898	25,898	20,898	20,898	20,898	20,898	20,898	20,898
TOTAL-All Funds	27,142,703	27,649,781	35,838,165	35,432,324	35,073,166	36,809,969	36,629,621	36,120,451

Substance Abuse Inpatient Services

SUBPROGRAM: FORENSIC INPATIENT SERVICES

Statutory Reference:

C.G.S. Section 17a-560 through 17a-576

Statement of Need and Program Objectives:

To protect the individual and society by operating a maximum security hospital that provides tertiary-level psychiatric care and treatment of forensic patients who have presented diagnostic and/or severe behavioral management problems for other Department of Mental Health and Addiction Services or Department of Correction facilities.

To perform court ordered evaluation of forensic patients.

To provide ongoing psychiatric care and treatment to individuals found not guilty by reason of insanity.

Program Description:

The Whiting Forensic Division of the Connecticut Valley Hospital consists of the state's sole maximum-security hospital, as well as intermediate level inpatient services. The division accepts referrals of men and women 18 years of age or older from both psychiatric and correctional institutions, as well as persons committed by the superior court for evaluation and treatment. Services provided for patients include psychiatric and nursing services, occupational therapy, education services, vocational assessment and recreational activities. The division also provides assessments of dangerous offenders, research, teaching and training services in the field of forensic psychiatry.

Program Measure	1999-2000	2000-2001	2001-2002	2002-2003
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Connecticut Valley Hospital - Forensic Division				
Beds	200	200	200	200
Average Daily Census	175	175	175	175
Admissions	72	72	72	72
Discharges	53	53	53	53
Unduplicated Clients	215	215	215	215

Personnel Summary	As of 06/30/2000		2000-2001	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Fulltime Positions</i>								
General Fund	581	3	0	584	584	584	584	584
			1999-2000	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
<i>Other Positions Equated to Full Time</i>			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund			22	19	19	19	19	19
Financial Summary	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	33,772,219	36,243,342	36,873,718	36,591,363	36,571,493	38,337,278	38,211,807	38,188,835
Other Expenses	4,304,843	3,643,069	4,109,152	4,041,621	3,830,689	4,184,992	4,138,217	3,821,186
<u>Capital Outlay</u>								
Equipment	0	0	125,500	59,190	0	130,850	99,711	0
<u>Other Current Expenses</u>								
Drug Treatment for Schizophrenia	618,728	602,312	677,284	677,284	659,002	709,117	709,116	690,834
Connecticut Mental Health Center	723,337	1,017,097	972,541	972,541	972,541	1,018,250	1,018,250	987,129
Professional Services	641,222	643,036	679,185	679,185	645,000	711,107	711,107	645,000
TOTAL-General Fund	40,060,349	42,148,856	43,437,380	43,021,184	42,678,725	45,091,594	44,888,208	44,332,984
<u>Additional Funds Available</u>								
Bond Funds	22,100	139,990	0	0	0	0	0	0
Private Contributions	30,539	30,539	30,539	30,539	30,539	30,539	30,539	30,539
TOTAL-All Funds	40,112,988	42,319,385	43,467,919	43,051,723	42,709,264	45,122,133	44,918,747	44,363,523
Forensic Inpatient Services								

PRORAM: ADVOCACY AND PREVENTION

Statutory Reference:

C.G.S. Sections 17a-451, 17a-459, 17a-476, 17a-560-576, 17a-635(4), 17a-636(a)(4) and (9), 17a-637(c) and 54-56g(d)

Statement of Need and Program Objectives:

To improve the prevention, treatment and rehabilitation methods used in providing psychiatric and substance abuse services;

To reduce the probability that individuals at some time will need intervention or treatment for psychiatric or substance abuse disabilities;

To limit the further development/progression of psychiatric and substance abuse problems by identifying individuals with such problems and referring them to appropriate treatment (early intervention).

Program Description:

There are three components of advocacy and prevention: Research; Substance Abuse Prevention and Intervention; and Consultation, Education and Training.

Personnel Summary	As of 06/30/2000		2000-2001	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Fulltime Positions</i>								
General Fund	77	10	5	92	92	92	92	98
Federal Contributions	8	0	-4	4	4	4	4	4
Private Contributions	5	0	6	11	6	6	6	6
			1999-2000	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
<i>Other Positions Equated to Full Time</i>			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund			18	6	6	6	6	6
Federal Contributions			2	0	0	0	0	0
Private Contributions			0	2	0	0	0	0
Financial Summary	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	7,655,890	7,911,792	5,334,901	5,294,050	5,291,175	5,635,499	5,617,055	5,613,678
Other Expenses	1,414,061	1,440,998	1,411,085	1,387,896	1,315,462	1,474,326	1,457,847	1,346,161
Capital Outlay	0	0	9,000	4,245	0	26,300	20,041	0
Other Current Expenses	4,795,882	5,170,773	6,326,861	6,307,873	2,837,144	6,515,333	6,495,086	2,867,572
Pmts to Other Than Local Governments	1,714,658	1,566,984	1,612,028	1,612,028	1,731,133	1,650,716	1,650,717	1,731,240
TOTAL-General Fund	15,580,491	16,090,547	14,693,875	14,606,092	11,174,914	15,302,174	15,240,746	11,558,651
<u>Additional Funds Available</u>								
Bond Funds	40,773	48,463	0	0	0	0	0	0
Federal Contributions	8,657,853	16,801,929	13,455,484	13,455,484	13,455,484	8,589,050	8,589,050	8,589,050
Private Contributions	1,825,008	2,353,473	1,787,710	1,787,710	1,787,710	1,825,912	1,825,912	1,825,912
TOTAL-All Funds	26,104,125	35,294,412	29,937,069	29,849,286	26,418,108	25,717,136	25,655,708	21,973,613
Advocacy and Prevention								

SUBPROGRAM: RESEARCH

Statutory Reference:

C.G.S. Sections 17a-451 and 17a-459

Statement of Need and Program Objectives:

To improve the prevention, treatment and rehabilitation methods used in providing mental health and substance abuse services by carrying

out basic, epidemiologic and clinical research activities through partnership agreements with Yale University and the University of Connecticut.

Program Description:

The department, through its partnership with Yale University, provides support for research at the Connecticut Mental Health Center. Current studies include: basic research into brain mechanisms operative in the treatment and pathophysiology of schizophrenia, depression, panic and anxiety and epidemiological research into the incidence and prevalence of mental illnesses; clinical research into the diagnosis and treatment of depression, schizophrenia and panic disorders; research into the prevention of mental disorders and complications resulting from treatment; and the study of the use of medication in treating various mental illnesses. Funds provided to Yale are used as matching funds

to garner additional research funding from the federal government and private foundations. The department also obtains federal funding for research through the work of two DMHAS divisions: Research, and the Office of Planning, Program Analysis and Support. These divisions work closely with the University of Connecticut and Yale University to research a wide range of topics. Current studies are investigating Assertive Community Treatment for persons with co-occurring substance use and mental health disorders; criminal justice diversion programs; supported housing; homeless families affected by addictive disorders; consumer-operated service programs; and assessment of need for treatment and prevention of addictive disorders.

Personnel Summary

Permanent Fulltime Positions	As of 06/30/2000		2000-2001	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	24	1	0	25	25	25	25	25
			1999-2000	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
Other Positions Equated to Full Time			Actual	Estimated	Requested	Recommended	Requested	Recommended
General Fund			4	3	3	3	3	3

Financial Summary

(Net of Reimbursements)	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	946,894	877,382	1,655,465	1,642,789	1,641,897	1,775,008	1,769,199	1,768,135
Other Expenses	730,085	727,055	709,463	697,804	661,386	753,615	745,191	688,102
<u>Capital Outlay</u>								
Equipment	0	0	6,000	2,830	0	18,200	13,869	0
<u>Other Current Expenses</u>								
Drug Treatment for Schizophrenia	28,020	22,921	25,774	25,774	25,078	26,986	26,985	26,288
Connecticut Mental Health Center	1,343,113	1,340,612	1,411,664	1,411,664	1,411,664	1,478,013	1,478,012	1,432,839
Professional Services	156,718	113,738	120,132	120,132	114,085	125,778	125,778	114,085
TOTAL-General Fund	3,204,830	3,081,708	3,928,498	3,900,993	3,854,110	4,177,600	4,159,034	4,029,449
<u>Additional Funds Available</u>								
Bond Funds	9,114	23,000	0	0	0	0	0	0
Private Contributions	115,675	0	0	0	0	0	0	0
Federal Contributions								
93230 Cons Knowledge Dev & App Pgm	515,397	1,350,805	991,224	991,224	991,224	473,464	473,464	473,464
93242 Mental Health Research Grants	307,675	308,076	0	0	0	0	0	0
93273 Alcohol Research Programs	12,107	0	0	0	0	0	0	0
TOTAL-All Funds	4,164,798	4,763,589	4,919,722	4,892,217	4,845,334	4,651,064	4,632,498	4,502,913

Research

SUBPROGRAM: PREVENTION AND INTERVENTION

Statutory Reference:

C.G.S. Sections 17a-635(4), 17a-636(a)(4) and (9), 17a-637(c) and 54-56g(d)

Statement of Need and Program Objectives:

To prevent or delay the age of first use of alcohol, tobacco and other drugs.

To reduce the probability that individuals at some time will need intervention or treatment services.

To reduce progression of alcohol, tobacco and other drug problems through identification and referral (early intervention).

To foster the involvement of all segments of the community and major societal systems to do prevention.

To promote mental health by designing and implementing comprehensive health education programs that focus on prevention, early detection and access to treatment and support resources in partnership with families, school systems, academic institutions and other professionals.

Program Description:

Prevention and Intervention Services uses a capacity building and direct service model to support comprehensive, accessible programming for a broad range of populations. Prevention strategies include information and public awareness; education and skills development, alternative activities; community development, capacity building and system change; community mobilization and social policy initiatives; and early intervention services. The seven major prevention approaches are:

Statewide Prevention Infrastructure Programs, also known as the Resource Links, support and train individuals and organizations to integrate prevention within their communities. The Resource Links include the Regional Action Councils; Drugs Don't Work! The Governor's Prevention Partnership; The CENTER; The Connecticut Clearinghouse; The Connecticut Assets Network, and The Connecticut Institute for Cultural Literacy and Wellness.

State Incentive Grant/Governor's Prevention Initiative for Youth coordinates and redirects all substance abuse funding within the state and develops a comprehensive statewide strategy to reduce drug use among youth ages 12 – 17 years. Twenty-one community-based programs implementing science-based and innovative prevention interventions target youth ages 12-17 and their families.

Best Practices Initiative supports 13 prevention programs utilizing research-based practices with specific populations on a regional basis.

Family Strengthening Programs develops and field-tests effective prevention interventions for children of substance abusing parents. Families receive culturally competent services based on the research-based Strengthening Families Program, offered through local community-based organizations.

Local Prevention Councils support 120 local, municipal-based substance abuse prevention councils to increase public awareness of alcohol, tobacco and other drug abuse and stimulate the development of local prevention activities.

Tobacco Compliance and Control Program reduces underage access to tobacco products by conducting a buy-rate inspection of retail outlets

and educating cigarette dealers, children and the general public about tobacco sales laws.

Primary Prevention in Mental Health Initiative will use the Governor's Blue Ribbon Commission on Mental Health report as a blueprint to

promote mental health and develop a comprehensive state plan. Prevention staff will collaborate with state agencies, community providers, consumers and other stakeholders to implement the Expert Panel on Prevention recommendations.

Program Measure

	1999-2000	2000-2001	2001-2002	2002-2003
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Prevention Programs:				
Best Practices:				
Number of Programs	13	13	13	13
Number of Events	3,401	2,287	2,287	2,287
Number of Participants	19,737	41,655	41,655	41,655
Resource Links:				
Number of Programs	18	19	19	19
Number of Events	754	1,014	1,014	1,014
Number of Participants	1,249,966	2,312,655	2,312,655	2,312,655
Governor's Prevention Initiative for Youth (GPIY):				
Number of Programs	21	21	21	21
Number of Events		3,362	3,362	3,362
Number of Participants		1,033,529	1,033,529	1,033,529
Children of Substance Abusing Parents (COSAP):				
Number of Programs	6	6	6	6
Number of Events		1,196	1,196	1,196
Number of Participants		762	762	762
Other Prevention Programs:				
Number of Programs	3	3	3	3
Number of Events		170	170	170
Number of Participants		2,383	2,383	2,383
Pretrial Alcohol Education Services (PAES):				
Number of Assessments	5,501	6,000	6,000	6,000
Number of Group Sessions	5,228	5,500	5,500	5,500
Pretrial Drug Education Program (PDEP):				
Number of Assessments	3,325	3,325	3,325	3,325
Number of Group Sessions	2,991	2,991	2,991	2,991

Personnel Summary

	As of 06/30/2000		2000-2001	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Fulltime Positions</i>								
General Fund	13	0	3	16	16	16	16	22
Federal Contributions	8	0	-4	4	4	4	4	4
Private Contributions	5	0	6	11	6	6	6	6
<i>Other Positions Equated to Full Time</i>								
General Fund			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Federal Contributions			2	2	2	2	2	2
Private Contributions			0	0	0	0	0	0

Financial Summary

	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	803,944	825,439	892,820	885,983	885,502	942,014	938,931	938,367
Other Expenses	59,794	67,306	65,612	64,534	61,166	67,187	66,436	61,346
<u>Other Current Expenses</u>								
Pre-Trial Drug Education	650,932	813,376	1,778,359	1,778,359	0	1,821,040	1,821,040	0
Pre-Trial Alcohol Education System	1,139,991	1,365,864	1,320,554	1,320,554	0	1,352,247	1,352,247	0
Regional Action Councils	978,374	990,125	1,012,898	1,012,898	750,125	1,037,208	1,037,208	750,125
Chronic Gamblers Treatment and Rehab	0	0	121,288	102,300	0	125,000	104,755	0
<u>Pmts to Other Than Local Governments</u>								
Grants for Substance Abuse Services	952,409	862,521	889,743	889,743	1,019,669	911,097	911,097	1,016,161
Gov's Partnership-Protect CT Workforce	459,000	470,475	481,296	481,296	470,475	492,847	492,847	470,475
TOTAL-General Fund	5,044,444	5,395,106	6,562,570	6,535,667	3,186,937	6,748,640	6,724,561	3,236,474
<u>Additional Funds Available</u>								
Bond Funds	22,245	0	0	0	0	0	0	0
Private Contributions	1,535,299	2,270,233	1,755,831	1,755,831	1,755,831	1,793,077	1,793,077	1,793,077
Federal Contributions								
93000 Miscellaneous Programs	3,000	0	0	0	0	0	0	0
93116 Tuberculosis Control Program	82,627	11,485	0	0	0	0	0	0
93230 Cons Knowledge Dev & App Pgm	2,765,939	4,697,457	4,315,349	4,315,349	4,315,349	0	0	0
93283 CDC-Investigations & Tech Assist	257,551	0	0	0	0	0	0	0
93667 Social Services Block Grant	19,001	18,982	18,982	18,982	18,982	18,982	18,982	18,982
93959 Substance Abuse Block Grants	4,315,026	10,350,146	8,096,604	8,096,604	8,096,604	8,096,604	8,096,604	8,096,604
99125 Other Federal Assistance	321,928	0	0	0	0	0	0	0
TOTAL-All Funds	14,367,060	22,743,409	20,749,336	20,722,433	17,373,703	16,657,303	16,633,224	13,145,137
Substance Abuse Prev & Intervention								

SUBPROGRAM: CONSULTATION, EDUCATION AND TRAINING

Statutory Reference:

C.G.S. Section 17a-476

Statement of Need and Program Objectives:

To improve the understanding of mental illness and substance abuse by the citizens, service providers and government employees who come into contact with these persons by increasing the availability of information, education, training and consultation opportunities.

To provide continuing development and training for professional and para-professional staff in support of the knowledge and technical skills required to perform the prevention, diagnostic, treatment and rehabilitation tasks necessary to operate DMHAS' programs.

Program Description:

DMHAS currently provides a full spectrum of education and training services through a public/private training model to a wide range of people including mental health professionals, substance abuse counselors, direct care workers, addiction services prevention professionals, criminal justice staff, managers, supervisors,

administrators, concerned citizens, consumers and families. Education, training, prevention, academic and resource linkages are also provided through a wide array of sources. Consultation and education services are available to the general public, as well as in-service training for professional groups. DMHAS has an Office of Community Education whose charge is to educate the citizens of Connecticut regarding services available within DMHAS as well as inform citizens of the nature of the illness and the addictions and to advocate on behalf of our clients.

Comprehensive training is also available for professionals and volunteers in the field of substance abuse prevention, intervention and treatment through the CENTER. Education and training is based on a scope and sequence of skills and knowledge that encompass all facets of treatment, cultural competence, women's issues and prevention. This ensures that counselors, prevention specialists, and other helping professionals can receive the course work necessary for (re)certification and ongoing professional development.

Personnel Summary

	As of 06/30/2000		2000-2001	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
<i>Permanent Fulltime Positions</i>								
General Fund	40	9	2	51	51	51	51	51
<i>Other Positions Equated to Full Time</i>			1999-2000	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
General Fund			Actual	Estimated	Requested	Recommended	Requested	Recommended
			12	1	1	1	1	1

Financial Summary

	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
(Net of Reimbursements)	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	5,905,052	6,208,971	2,786,616	2,765,278	2,763,776	2,918,477	2,908,925	2,907,176
Other Expenses	624,182	646,637	636,010	625,558	592,910	653,524	646,220	596,713
<u>Capital Outlay</u>								
Equipment	0	0	3,000	1,415	0	8,100	6,172	0
<u>Other Current Expenses</u>								
Managed Service System	498,734	524,137	536,192	536,192	536,192	549,061	549,061	544,235
<u>Pmts to Other Than Local Governments</u>								
Grants for Mental Health Services	303,249	233,988	240,989	240,989	240,989	246,772	246,773	244,604
TOTAL-General Fund	7,331,217	7,613,733	4,202,807	4,169,432	4,133,867	4,375,934	4,357,151	4,292,728
<u>Additional Funds Available</u>								
Bond Funds	9,414	25,463	0	0	0	0	0	0
Private Contributions	174,034	83,240	31,879	31,879	31,879	32,835	32,835	32,835
Federal Contributions								
93125 MH Planning & Demonstration Proj	1,816	0	0	0	0	0	0	0
93230 Cons Knowledge Dev & App Pgm	32,500	32,500	22,500	22,500	22,500	0	0	0
93238 Coop State Treat Outcomes/Perfor	23,286	32,478	10,825	10,825	10,825	0	0	0
TOTAL-All Funds	7,572,267	7,787,414	4,268,011	4,234,636	4,199,071	4,408,769	4,389,986	4,325,563

Consultation, Education and Training

PROGRAM: MANAGEMENT AND SUPPORT

Statutory Reference:

C.G.S. Sections 17a-450, 17a-451, 17a-480, 17a-636 and 17a-637

Statement of Need and Program Objectives:

To ensure that the Department of Mental Health and Addiction Services provides appropriate, efficient and effective programs and services to Connecticut's adults who suffer from psychiatric or substance abuse disabilities, within the resources available.

To lead the state's community-based prevention, intervention and treatment efforts through the development of a comprehensive policy and the assurance of the quality and appropriate range of services provided by the statewide network of grant funded programs.

To plan for, integrate, coordinate, support and direct the delivery of programs and services to individuals through systems of care.

Program Description:

The Management and Support Program has five major functions.

Conducts Comprehensive Statewide Planning, Research, data collection and policy analysis to support the development of programs that address psychiatric and substance abuse disabilities.

Supports Administrative and Financial Management of the agency's programs including the operation of state programs by performing such functions as accounting, budget coordination, grants/contracts management, field audit and personnel services.

Ensures Responsible Program Management and the provision of quality services through on-site monitoring of grantees, program evaluation, training and technical assistance.

Informs the General Public (through press releases and television public service announcements), community organizations, municipalities, legislators and other state agencies about psychiatric and substance abuse disabilities and available resources in the state.

In response to the federal Nursing Home Reform Act, the department is also involved in determining the appropriateness of nursing home care and the need for active treatment for persons suffering with mental illness.

Program Measure

	1999-2000 <u>Actual</u>	2000-2001 <u>Estimated</u>	2001-2002 <u>Projected</u>	2002-2003 <u>Projected</u>
Management and Support Services:				
Human Service Contracts	596	600	600	600
Personal Service Agreements	305	325	350	350
Property Leases	12	14	14	14
Audits Reviewed	130	150	160	160
General Assistance Audits Conducted	8	10	12	12

Personnel Summary

	As of 06/30/2000		2000-2001	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Fulltime Positions</i>								
General Fund	230	28	-10	248	248	238	248	238
Federal Contributions	7	0	-1	6	6	6	6	6
Private Contributions	0	0	1	1	0	0	0	0
<i>Other Positions Equated to Full Time</i>								
General Fund				6	6	6	6	6
Federal Contributions				1	1	0	0	0

Financial Summary

	1999-2000 <u>Actual</u>	2000-2001 <u>Estimated</u>	2001-2002 <u>Requested</u>	Current <u>Services</u>	2001-2002 <u>Recommended</u>	2002-2003 <u>Requested</u>	Current <u>Services</u>	2002-2003 <u>Recommended</u>
(Net of Reimbursements)								
Personal Services	13,750,826	14,551,659	15,783,432	15,662,572	15,454,176	16,581,474	16,525,369	15,938,281
Other Expenses	2,904,859	5,673,801	6,815,026	6,703,026	6,353,193	6,561,174	6,487,841	5,990,803
<u>Capital Outlay</u>								
Equipment	1,000	1,000	1,964,800	926,663	1,000	544,600	415,000	1,000
<u>Other Current Expenses</u>								
Managed Service System	62,180	35,547	36,365	36,365	36,365	37,238	37,238	36,910
Professional Services	32,729	497,447	510,444	510,444	483,968	522,695	522,695	483,968
Workers' Compensation Claims	6,268,353	7,069,397	7,020,361	7,213,238	5,358,972	7,188,850	7,569,773	5,710,241
Special Populations	235,113	0	0	0	0	0	0	0
TBI Community Services	8,186	0	0	0	0	0	0	0
Year 2000 Conversion	1,548,462	0	0	0	0	0	0	0
<u>Pmts to Other Than Local Governments</u>								
Grants for Substance Abuse Services	3,000	0	0	0	0	0	0	0
Grants for Mental Health Services	496,804	500,891	515,878	515,878	515,878	528,259	528,259	523,616
TOTAL-General Fund	25,311,512	28,329,742	32,646,306	31,568,186	28,203,552	31,964,290	32,086,175	28,688,819
<u>Additional Funds Available</u>								
Bond Funds	173,138	421,252	0	0	0	0	0	0
Private Contributions	3,606	75,438	4,000	4,000	4,000	4,000	4,000	4,000
Federal Contributions								
93000 Miscellaneous Programs	20,978	23,047	0	0	0	0	0	0
93119 Tech Asst-Rel BG for Comm MH Svc	132,306	214,871	71,624	71,624	71,624	0	0	0
93230 Cons Knowledge Dev & App Pgm	90,057	264,617	76,188	76,188	76,188	0	0	0
93238 Coop State Treat Outcomes/Perfor	63,013	87,886	29,296	29,296	29,296	0	0	0
93891 Alcohol Research Center Grnts	11,945	114,961	0	0	0	0	0	0
99125 Other Federal Assistance	47,794	0	0	0	0	0	0	0
TOTAL-All Funds	25,854,349	29,531,814	32,827,414	31,749,294	28,384,660	31,968,290	32,090,175	28,688,819
Management and Support								

PROGRAM: GENERAL ASSISTANCE MANAGED CARE

Statutory Reference:

C.G.S. Section 17a-453a, PA 97-8

Statement of Need and Program Objectives:

To operate a publicly managed system of behavioral health care for persons on General Assistance who have behavioral health disorders that assures the delivery of appropriate, cost-effective treatment and support services.

To promote recovery by ensuring access to a full continuum of behavioral health, ancillary and supportive services that address the treatment needs of General Assistance recipients.

To provide targeted interventions for identified persons with special treatment needs (care/case management clients) that facilitates movement toward recovery and self-sufficiency.

To make efficient use of program resources allowing for reinvestment into the treatment system.

Program Description:

The department actively manages the General Assistance Behavioral Health Program (GA BHP) for the provision of all mental health and addiction services to persons on General Assistance. The program is operated and managed through a process of continuous evaluation of client, provider and systems outcomes. Client eligibility is determined by the Department of Social Services. Administrative functions of the program are managed through the use of an Administrative Services Organization (ASO). The ASO is responsible for utilization management, claims payment, credentialing, provider and client relations, and other administrative functions.

The Department has expanded client treatment options by offering access to state operated and private providers representing the full continuum of behavioral health care. The quality of services delivered is maximized through a system of credentialing, monitoring and audit. Care/case management services are provided to identified clients through a statewide system of state-operated and private Behavioral

Budget-in-Detail

Health Units. These services are designed to assist client's navigation of the treatment system and to improve linkages to appropriate treatment (care management) and to assist clients in accessing the appropriate support and wraparound services needed to sustain treatment and maintain recovery (case management). Transitional basic needs supports (e.g. housing, transportation, clothing, personal care, etc.), provided through the Basic Needs Program, assist individuals in maintaining their engagement and involvement in

treatment and movement toward self-sufficiency. Additionally, a model of a transitional living program with intensive care/case management (the Recovery House) is being piloted in 2 Regions.

In addition to the direct treatment and case management services, ancillary services such as laboratory, pharmacy and transportation are provided through the GA BHP.

Program Measure

	1999-2000 <u>Actual</u>	2000-2001 <u>Estimated</u>	2001-2002 <u>Projected</u>	2002-2003 <u>Projected</u>
General Assistance Managed Care: Unduplicated Clients	17,981	19,797	20,787	21,826

Financial Summary

	1999-2000 <u>Actual</u>	2000-2001 <u>Estimated</u>	2001-2002 <u>Requested</u>	Current <u>Services</u>	2001-2002 <u>Recommended</u>	2002-2003 <u>Requested</u>	Current <u>Services</u>	2002-2003 <u>Recommended</u>
(Net of Reimbursements)								
Other Expenses	0	0	122	120	114	125	125	115
<u>Capital Outlay</u>								
Equipment	0	0	28,000	13,206	0	26,500	20,194	0
<u>Other Current Expenses</u>								
General Assistance Managed Care	59,329,835	60,142,534	70,185,511	72,088,584	70,216,477	74,560,205	80,044,118	76,463,067
TOTAL-General Fund	59,329,835	60,142,534	70,213,633	72,101,910	70,216,591	74,586,830	80,064,437	76,463,182
General Assistance								

PROGRAM: DISPROPORTIONATE SHARE PAYMENTS

Statutory Reference:

C.G.S. Chapter 302, Part IV

Statement of Need and Program Objectives:

To allow the Department of Social Services to make disproportionate share payments for Department of Mental Health and Addiction Services' psychiatric hospitals.

Program Description:

Under federal law (OBRA-90), Medicaid is allowed to reimburse the state for the cost of care for uninsured low-income persons in certain state-operated psychiatric facilities. The cost of such care must be paid by the Department of Social Services in order to qualify for federal reimbursement. A grant account in the Department of Social Services exists for this purpose.

Financial Summary

	1999-2000 <u>Actual</u>	2000-2001 <u>Estimated</u>	2001-2002 <u>Requested</u>	Current <u>Services</u>	2001-2002 <u>Recommended</u>	2002-2003 <u>Requested</u>	Current <u>Services</u>	2002-2003 <u>Recommended</u>
(Net of Reimbursements)								
Personal Services	-120,927,351	-100,040,000	-100,040,000	-100,040,000	-75,040,000	-100,040,000	-100,040,000	-75,040,000
Other Expenses	-17,072,649	-12,600,000	-12,600,000	-12,600,000	-2,600,000	-12,600,000	-12,600,000	-2,600,000
TOTAL-General Fund	-138,000,000	-112,640,000	-112,640,000	-112,640,000	-77,640,000	-112,640,000	-112,640,000	-77,640,000
Disproportionate Share Payments								

AGENCY FINANCIAL SUMMARY - GENERAL FUND

Current Expenses by Minor Object	1999-2000 <u>Actual</u>	2000-2001 <u>Estimated</u>	2001-2002 <u>Requested</u>	2001-2002 <u>Recommended</u>	2002-2003 <u>Requested</u>	2002-2003 <u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	160,321,812	167,902,642	183,966,931	183,753,001	192,054,352	191,259,517
Other Positions	9,164,986	9,182,830	9,459,277	9,694,773	9,743,053	10,235,256
Other	-109,379,829	-82,866,555	-76,102,370	-54,622,718	-75,266,015	-53,510,543
Overtime	22,997,256	19,903,108	21,800,547	21,012,706	22,415,155	22,184,165
TOTAL-Personal Services Gross	83,104,225	114,122,025	139,124,385	159,837,762	148,946,545	170,168,395
Less Reimbursements	0	-531,220	-531,650	-531,650	-561,650	-561,650
Less Turnover	0	0	-6,292,439	-6,292,439	-6,557,760	-6,557,760
Less Personal Services Reductions	0	0	0	-1,439,154	0	-2,356,578
TOTAL-Personal Services Net	83,104,225	113,590,805	132,300,296	151,574,519	141,827,135	160,692,407
<u>Other Expenses-Contractual Services</u>						
Advertising	295,800	316,740	312,174	316,740	319,665	316,740
Printing And Binding	63,553	67,145	68,694	67,145	70,341	67,145
Dues And Subscriptions	217,870	204,994	209,710	204,994	214,741	204,994
Utility Services	3,342,434	3,367,880	3,970,896	2,084,424	3,993,448	2,100,074
Rents, Storage & Leasing	2,882,916	2,925,241	2,846,580	2,925,241	2,914,896	2,925,241
Telecommunication Services	1,711,713	1,501,224	1,380,002	1,501,224	1,425,871	1,513,974
General Repairs	1,003,399	911,447	887,999	911,447	916,711	918,847
Motor Vehicle Expenses	1,125,571	1,253,440	1,204,911	1,253,440	1,233,828	1,253,440
Fees For Outside Professional Services	1,248,786	2,661,695	2,712,931	2,752,526	2,778,720	2,752,526
Fees For Non-Professional Services	926,271	922,401	887,311	922,401	908,604	922,401

DP Services, Rentals and Maintenance	1,346,709	2,603,622	2,581,439	3,852,143	2,643,388	3,466,363	
Postage	208,126	195,083	199,571	195,083	204,362	195,083	
Travel	458,868	448,822	459,143	448,822	470,163	448,822	
Other Contractual Services	1,766,157	2,157,049	1,658,275	1,657,049	1,700,767	1,659,749	
Disproportionate Share	-17,072,649	-12,600,000	-12,600,000	-2,600,000	-12,600,000	-2,600,000	
<u>Other Expenses-Commodities</u>							
Agricultural, Horticultural, And Dairy	2,691,886	2,945,748	2,690,762	2,945,748	2,755,341	2,945,748	
Books	280,267	246,402	245,934	246,402	251,839	246,402	
Law Enforcement, Clothing/Personal Supplies	379,913	380,298	376,768	380,298	385,808	380,298	
Maintenance and Motor Vehicle Supplies	1,641,532	1,516,164	1,429,746	1,453,364	1,470,564	1,459,864	
Medical Supplies	3,272,545	3,141,504	2,937,561	3,141,504	3,008,061	3,141,504	
Fuel	626,717	734,844	607,765	1,103,443	603,637	1,103,443	
Office Supplies	1,828,059	1,196,611	1,192,208	1,196,611	1,220,822	1,196,611	
Miscellaneous Commodities	337,435	423,134	3,286,761	423,134	2,945,401	427,334	
<u>Other Expenses-Sundry</u>							
Sundry - Other Items	523,443	474,279	472,908	474,279	484,256	474,279	
TOTAL-Other Expenses Gross	11,107,321	17,995,767	20,020,049	27,857,462	20,321,234	27,520,882	
Less Reimbursements	0	-713,151	-707,731	-707,731	-707,731	-707,731	
TOTAL-Other Expenses Net	11,107,321	17,282,616	19,312,318	27,149,731	19,613,503	26,813,151	

<u>Other Current Expenses</u>							
Housing Supports and Services	1,073,314	1,089,471	1,114,529	4,592,630	1,141,277	6,139,019	
Pre-Trial Drug Education	650,932	813,376	1,778,359	0	1,821,040	0	
Pre-Trial Alcohol Education System	1,139,991	1,365,864	1,320,554	0	1,352,247	0	
Managed Service System	9,699,402	9,752,083	13,433,965	20,710,542	13,855,669	29,393,700	
Drug Treatment for Schizophrenia	3,435,283	3,294,572	3,704,658	3,604,658	3,878,779	3,778,777	
Legal Services	403,409	399,711	419,134	399,711	429,193	399,711	
Connecticut Mental Health Center	7,512,906	7,794,023	8,299,699	8,108,644	8,673,133	8,230,275	
Capitol Region Mental Health Center	356,618	363,781	372,149	345,592	381,080	345,592	
Professional Services	4,754,441	4,781,006	5,029,488	4,780,607	5,249,950	4,780,607	
Regional Action Councils	978,374	990,125	1,012,898	750,125	1,037,208	750,125	
General Assistance Managed Care	59,362,835	60,142,534	70,185,511	70,216,477	74,560,205	76,463,067	
Chronic Gamblers Treatment and Rehab	0	0	121,288	0	125,000	0	
Workers' Compensation Claims	6,268,353	7,069,397	7,020,361	5,358,972	7,188,850	5,710,241	
Nursing Home Screening	483,330	483,918	506,768	487,345	530,617	492,843	
Special Populations	5,379,809	19,463,023	23,437,787	21,741,527	24,473,947	22,839,748	
TBI Community Services	44,870	1,605,075	2,060,620	3,010,760	2,129,620	3,985,675	
Transitional Youth	0	3,279,998	3,357,473	3,452,931	3,442,502	3,511,582	
Year 2000 Conversion	1,548,462	0	0	0	0	0	
Jail Diversion	0	0	0	3,259,819	0	3,308,716	
TOTAL-Other Current Expenses	103,092,329	122,687,957	143,175,241	150,820,340	150,270,317	170,129,678	
<u>Pmts to Other Than Local Govts</u>							
Grants for Substance Abuse Services	22,138,273	25,930,122	21,346,620	20,781,501	22,453,205	21,101,808	
Gov's Partnership-Protect CT Workforce	459,000	470,475	481,296	470,475	492,847	470,475	
Grants for Mental Health Services	68,985,181	74,087,960	76,997,784	76,522,175	79,404,577	77,699,980	
Employment Opportunities	9,173,405	9,281,013	9,525,615	9,525,615	9,754,229	9,668,499	
TOTAL-Pmts to Other Than Local Govts	100,755,859	109,769,570	108,351,315	107,299,766	112,104,858	108,940,762	

Character & Major Object Summary	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	83,104,225	113,590,805	132,300,296	128,341,000	151,574,519	141,827,135	138,773,650	160,692,407
Other Expenses Net	11,107,321	17,282,616	19,312,318	18,787,865	27,149,731	19,613,503	19,253,462	26,813,151
Capital Outlay	1,000	1,000	3,845,814	1,813,809	1,000	1,989,513	1,516,063	1,000
Other Current Expenses	103,092,329	122,687,957	143,175,241	146,496,024	150,820,340	150,270,317	159,002,767	170,129,678
Pmts to Other Than Local Governments	100,755,859	109,769,570	108,351,315	109,465,980	107,299,766	112,104,858	114,354,601	108,940,762
TOTAL-General Fund Net	298,060,734	363,331,948	406,984,984	404,904,678	436,845,356	425,805,326	432,900,543	466,576,998
<u>Additional Funds Available</u>								
Special Funds, Non-Appropriated	2,007,369	2,067,590	678,351	678,351	678,351	0	0	0
Bond Funds	2,323,085	3,029,727	0	0	0	0	0	0
Federal Contributions	30,946,354	41,021,696	33,315,109	33,315,109	33,315,109	27,181,979	27,181,979	27,181,979
Private Contributions	3,582,262	3,530,862	2,566,728	2,566,728	2,566,728	2,629,969	2,629,969	2,629,969
TOTAL-All Funds Net	336,919,804	412,981,823	443,545,172	441,464,866	473,405,544	455,617,274	462,712,491	496,388,946

PSYCHIATRIC SECURITY REVIEW BOARD

AGENCY DESCRIPTION:

The Psychiatric Security Review Board (PSRB) has jurisdiction over all persons acquitted of a crime by reason of mental disease or defect who are committed to it by Superior Court. The six-member, autonomous

board consists of a psychiatrist, psychologist, probation expert, a citizen, a victim services expert, and an attorney appointed by the Governor in accord with the General Assembly.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Reduce Agency Personal Services
- Transfer Equipment to CEPF
- Reduce Inflation and Other Miscellaneous Reductions

	<u>2001-2002</u>	<u>2002-2003</u>
	-687	-729
		-3,000
	-1,596	-3,198

AGENCY PROGRAMS:

<i>Personnel Summary</i>	As of 06/30/2000		2000-2001	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
<i>Permanent Fulltime Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	4	0	0	4	4	4	4	4
<i>Agency Programs by Total Funds (Net of Reimbursements)</i>	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Psychiatric Security Review Board	295,368	292,700	304,385	303,631	301,348	324,947	321,669	314,742
TOTAL Agency Programs - All Funds Gross	295,368	292,700	304,385	303,631	301,348	324,947	321,669	314,742
Less Turnover								
Less Personal Services Reduction								
TOTAL Agency Programs - All Funds Net	295,368	292,700	304,385	303,631	301,348	324,947	321,669	314,742
<i>Summary of Funding</i>								
General Fund Net	291,828	287,200	304,385	303,631	301,348	324,947	321,669	314,742
Bond Funds	3,540	5,500	0	0	0	0	0	0
TOTAL Agency Programs - All Funds Net	295,368	292,700	304,385	303,631	301,348	324,947	321,669	314,742

PROGRAM: PSYCHIATRIC SECURITY REVIEW

Statutory Reference:

C. G. S. Sections 17a-580 through 17a-603, Section 53a-169, PA 98-111

Statement of Need and Program Objectives:

To protect the safety of Connecticut citizens and certain individuals by ordering appropriate treatment, confinement or conditional release of persons accused of crimes but found not guilty by reason of mental disease or mental defect.

Program Description:

The Psychiatric Security Review Board has jurisdiction over all persons acquitted of a crime by reason of mental disease or defect who are committed to it by Superior Court. The board reviews cases and holds

periodic hearings on cases which may result in any of the following orders: maximum security confinement, confinement in a hospital, temporary leave for a confined acquttee, conditional release, or modification or termination of conditional release. The board may recommend to the court either discharge from or continued confinement beyond the original commitment term. The board monitors the acquttees in the community at least monthly and those in institutions at least once every six months. The board registers sex offenders under "Megan's Law" and registers all acquttees with the Department of Public Safety for enforcement of the gun control laws.

Program Measure

	1999-2000	2000-2001	2001-2002	2002-2003
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Psychiatric Security Review Board hearings	206	225	250	250
Cases under Psychiatric Security Review Board jurisdiction	183	200	210	210
Psychiatric Security Review Board hearings decisions rendered	203	250	275	275
Acquttees being monitored in the community	36	40	45	45
Acquttees who had new criminal charges brought against them while confined	1	0	0	0
Acquttees in community who had new criminal charges brought them while on conditional release	1	0	0	0

Personnel Summary

	As of 06/30/2000		2000-2001	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
<i>Permanent Fulltime Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	4	0	0	4	4	4	4	4

Financial Summary

	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	214,605	235,678	251,267	250,513	249,826	267,228	263,949	263,220

Budget-in-Detail

Other Expenses	46,223	50,522	52,118	52,118	50,522	53,719	53,720	50,522
<u>Capital Outlay</u>								
Equipment	1,000	1,000	1,000	1,000	1,000	4,000	4,000	1,000
<u>Other Current Expenses</u>								
Year 2000 Conversion	30,000	0	0	0	0	0	0	0
TOTAL-General Fund	291,828	287,200	304,385	303,631	301,348	324,947	321,669	314,742
<u>Additional Funds Available</u>								
Bond Funds	3,540	5,500	0	0	0	0	0	0
TOTAL-All Funds	295,368	292,700	304,385	303,631	301,348	324,947	321,669	314,742

Psychiatric Security Review Board

AGENCY FINANCIAL SUMMARY - GENERAL FUND

<i>Current Expenses by Minor Object</i>	1999-2000	2000-2001	2001-2002	2001-2002	2002-2003	2002-2003
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	210,608	228,261	242,639	240,090	256,988	253,220
Other	3,642	5,000	7,128	7,184	8,740	7,306
Overtime	355	2,417	1,500	2,552	1,500	2,694
TOTAL-Personal Services Gross	214,605	235,678	251,267	249,826	267,228	263,220
Less Reimbursements						
Less Turnover						
TOTAL-Personal Services Net	214,605	235,678	251,267	249,826	267,228	263,220
<u>Other Expenses-Contractual Services</u>						
Telecommunication Services	93	0	0	0	0	0
Fees For Outside Professional Services	23,442	29,869	30,990	29,869	32,084	29,869
Fees For Non-Professional Services	365	399	408	399	418	399
Travel	12,887	9,940	10,169	9,940	10,413	9,940
Other Contractual Services	5,700	6,230	6,373	6,230	6,526	6,230
<u>Other Expenses-Commodities</u>						
Agricultural, Horticultural, And Dairy	462	505	517	505	529	505
Books	28	31	32	31	33	31
Office Supplies	2,037	2,227	2,278	2,227	2,333	2,227
<u>Other Expenses-Sundry</u>						
Sundry - Other Items	1,209	1,321	1,351	1,321	1,383	1,321
TOTAL-Other Expenses Gross	46,223	50,522	52,118	50,522	53,719	50,522
Less Reimbursements						
TOTAL-Other Expenses Net	46,223	50,522	52,118	50,522	53,719	50,522
<u>Other Current Expenses</u>						
Year 2000 Conversion	30,000	0	0	0	0	0
TOTAL-Other Current Expenses	30,000	0	0	0	0	0

<i>Character & Major Object Summary</i>	1999-2000	2000-2001	2001-2002	Current	2001-2002	2002-2003	Current	2002-2003
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	214,605	235,678	251,267	250,513	249,826	267,228	263,949	263,220
Other Expenses Net	46,223	50,522	52,118	52,118	50,522	53,719	53,720	50,522
Capital Outlay	1,000	1,000	1,000	1,000	1,000	4,000	4,000	1,000
Other Current Expenses	30,000	0	0	0	0	0	0	0
TOTAL-General Fund Net	291,828	287,200	304,385	303,631	301,348	324,947	321,669	314,742
<u>Additional Funds Available</u>								
Bond Funds	3,540	5,500	0	0	0	0	0	0
TOTAL-All Funds Net	295,368	292,700	304,385	303,631	301,348	324,947	321,669	314,742